ISR Experiments, Data Reduction, and Analysis

Michael J. Nicolls

ISR Summer School, July 2014



Outline

- ISR Pulses and Experiments
 - The Nature of the IS Target
 - F-Region Experiments
 - E-Region Experiments
 - D-Region Experiments
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 - ACF / Spectra Estimation
- 3 Level-1 Processing
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 - ACF / Spectral Fits
- 4 Level-2 Processing
 - Generalities
 - Vector Velocities / Electric Fields
 - E-Region Winds
 - Collision Freqs. / Conductivities / Currents / Joule Heating



(a.k.a, frequency and range aliased targets)

- For a target with a bandwidth B, you must sample at a rate F_s exceeding B (e.g., for IS at 450 MHz, $B \sim 40 \rm \ kHz$).
- For a target which could be as far away as R_{max} , radar pulses must be at least $2R_{max}/c$ apart.

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Is an ISR target (probed at 450 MHz, $B \sim$ 40 kHz)

at a range $R \sim 750 \text{ km}$ overspread?

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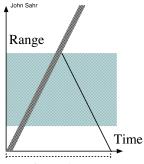
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•
$$B < F_s < \frac{c}{2R_{max}}$$

• or:
$$B\frac{2R_{max}}{c} < 1$$

- At 450 MHz, $B \sim$ 40 kHz, $R \sim$ 750 km (5 ms) \rightarrow highly overspread
- Do we get the range right or the spectrum right??



 $T \min = 1/F \max$

Any ideas how to resolve this?

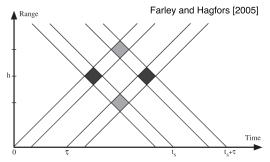
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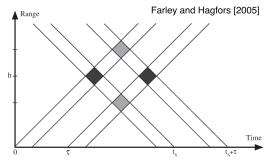


$$v_1 = v_h(t_s) + v_{h-\delta}(t_s), \ v_2 = v_h(t_s + \tau) + v_{h+\delta}(t_s + \tau), \ \langle v_1 v_2^* \rangle = ?$$

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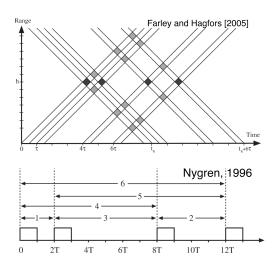
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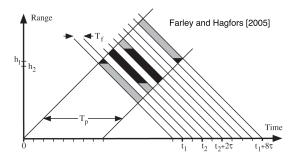


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Generalization - Multipulses



Generalization - Long pulses



Measurement Statistics

 $v_1 = v(t)$, $v_2 = v(t + \tau) \rightarrow v_1 = x_1 + ix_2$, $v_2 = x_3 + ix_4$ where the scattering process is represented by the 4-dimensional joint Gaussian probability distribution, $p(x_1, x_2, x_3, x_4)$.

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Defining ρ is the normalized acf (complex) and $S=2\sigma^2$ is the signal power.

$$\langle v_1 v_2^* \rangle = S \rho(\tau) = \langle (x_1 + ix_2)(x_3 - ix_4) \rangle = c_{13} + c_{24} + i(c_{23} - c_{14})$$

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Covariance matrix of p is then:

$$C = \sigma^2 \begin{bmatrix} 1 & 0 & \rho_r & -\rho_I \\ 0 & 1 & \rho_I & \rho_r \\ \rho_r & \rho_I & 1 & 0 \\ -\rho_I & \rho_r & 0 & 1 \end{bmatrix}$$

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With variance:

$$\sigma_{\hat{S}}^2 = \langle (\hat{S} - S)^2 \rangle = \langle \hat{S}^2 \rangle - S^2$$
 $\langle \hat{S}^2 \rangle = ?$

 $\text{fourth-moment theorem: } \langle v_1\,v_2\,v_3\,v_4\rangle = \langle v_1\,v_2\rangle\langle v_3\,v_4\rangle + \langle v_1\,v_3\rangle\langle v_2\,v_4\rangle + \langle v_1\,v_4\rangle\langle v_2\,v_3\rangle.$

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5%:
$$K = 1/(0.05)^2 = 400$$
; 1%: $K = 1/(0.01)^2 = 10^4$.

Measurement Statistics - Additive Noise

Power estimator is now our estimator of the total signal minus our estimate of the noise power,

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$$\begin{split} \sigma_{\hat{S}}^2 &= \sigma_{\hat{P}_{SN}}^2 + \sigma_N^2 \\ &= (S+N)^2/K + N^2/K_N \\ &\quad \text{Assume } K_N \gg K \\ &\approx (S+N)^2/K \end{split}$$

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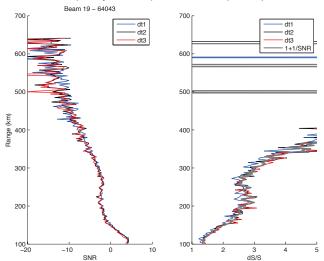
$$\frac{\sigma_{\hat{S}}}{S} \approx \frac{1}{\sqrt{K}} \left(1 + \frac{N}{S} \right)$$

Implications of this formula?



PFISR Data - Additive Noise Example

41 beam experiment, tri-frequency 240 us pulses, ~2500 pulses per beam in 5 minutes



(Note possibly different than most standard radar treatments, following Nygren, 1996)

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$$v(t) = \int_{\mathbf{r}} \text{env}(t - \frac{2R}{c}) \delta v(t, \mathbf{r})$$

where $\langle \delta v(t, \mathbf{r}) \delta v^*(t', \mathbf{r}') \rangle = RP_e \sigma_e(t - t', \mathbf{r}) \delta(\mathbf{r} - \mathbf{r}') d\mathbf{r} d\mathbf{r}'$

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$$v_h(t) = v(t) \star h(t) = \int_{-\infty}^{\infty} h(t - \tau) v(\tau) d\tau = \int_{-\infty}^{\infty} \left[\int_{\mathbf{r}} W_t^A(\tau, \mathbf{r}) \delta v(\tau, \mathbf{r}) \right] d\tau$$

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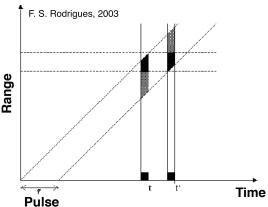
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where $W_t^A(\tau, \mathbf{r}) = h(t-\tau) \mathrm{env}(\tau-\frac{2R}{c})$ is the amplitude ambiguity function. The received signal is a weighted sum of elementary signals from all volume elements times, and the weight in this sum is given by the amplitude ambiguity function. (Nygren, 1996).

Long-pulse of length τ , sampled at t and t' with a box-car impulse response.



What we really care about is the ambiguity for a lagged product (estimate of the autocorrelation function at a given lag).

$$\langle v_h(t)v_h^*(t') \rangle = R \int_{\mathbf{r}} P_e(\mathbf{r}) \left[\int_{-\infty}^{\infty} W_{t,t'}(\nu,\mathbf{r}) \sigma_e(\nu,\mathbf{r}) d\nu \right] d\mathbf{r}$$

where $\nu = t - t'$ and

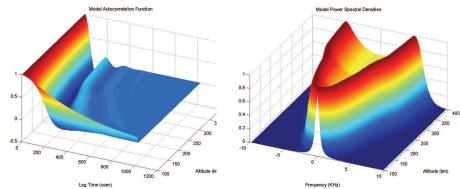
$$W_{t,t'}(
u,\mathbf{r}) = \int_{-\infty}^{\infty} W_t^A(au,\mathbf{r}) W_{t'}^{A*}(au-
u,\mathbf{r}) d au$$

(cross-correlation of two amplitude ambiguity functions, in time direction)

The estimated lagged product is a weighted average of the plasma acf in both space and time. These weights are given by $W_{t,t'}$.

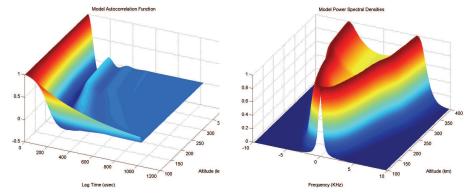
Experiments

To design an effective an experiment, we need to know our target. Why?



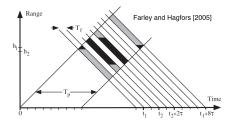
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Much of what I present next will be specific to ISRs within a specific range of frequencies (\sim VHF-UHF).

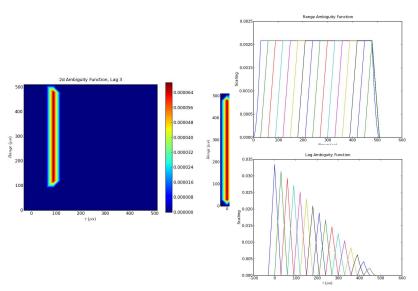
Standard F-region Experiment - Long Pulse



- At high altitudes, use a single long pulse with mismatched filter (oversampled) to measure all lags of the ACF at once
- Sacrifice range resolution
- E.g., 300-500 μ s pulse (F region) or even 1-2 ms (topside)

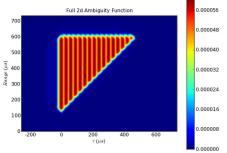
Long Pulse Ambiguity Function

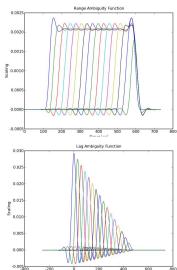
Ambiguity function with a boxcar filter. 480 μ s long pulse, 30 μ s sampling.



Long Pulse Ambiguity Function

- Ambiguity function including filter effects.
- 480 μ s long pulse, 30 μ s sampling.
- With filter effects.





 $\tau(\mu s)$

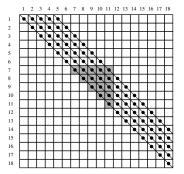
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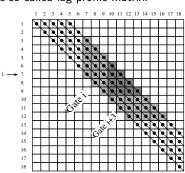
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"Simple solution" - Gating using elements of the so-called lag-profile matrix.



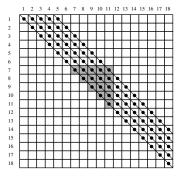


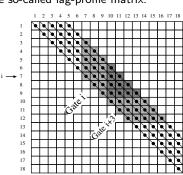
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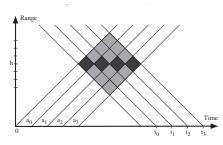




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A better method - treat as an inverse problem: deconvolution or full profile methodologies. These are active areas of research.

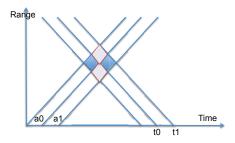
Standard E-region Experiment - Coded Pulse



Farley and Hagfors [2005]

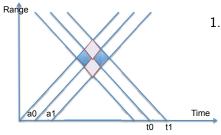
E.g., consider lag estimate using $v(t_0)$ and $v^*(t_1)$ - choose a_n such that clutter terms cancel.

- At lower altitudes, we require better range resolution.
- For this, we utilize binary coded pulse ACF measurements (do not compress pulse or eliminate clutter like BC eliminate correlation of clutter)
- Random (CLP) or alternating (cyclic codes)
- E.g., for AMISR standard experiment is 480 μ s, 16-baud (4.5 km), randomized strong code (32 pulses) with an uncoded 30 μ s pulse for zero-lag normalization.



Lag estimate using $v(t_0)$ and $v^*(t_1)$ - choose a_0 and a_1 such that clutter terms cancel.

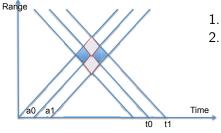
hint: a_0 and a_1 binary [+1,-1]



1. How many pulses do you need?

Lag estimate using $v(t_0)$ and $v^*(t_1)$ - choose a_0 and a_1 such that clutter terms cancel.

hint: a₀ and a₁ binary [+1,-1]

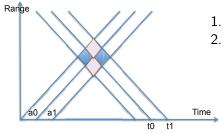


- 1. How many pulses do you need?
- 2. Fill out the following table:

	<i>a</i> ₀	a_1
Pulse1	?	?
Pulse2	?	?

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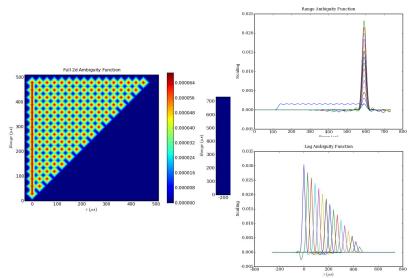
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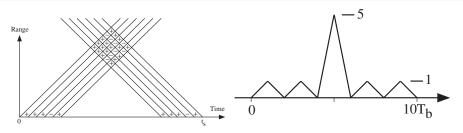
$$\langle a_0 v_0 a_1 v_1^* \rangle = \dots$$

Standard E-region Experiment - Ambiguity Function

Ambiguity function including filter effects. 480 μs (16-baud, 30 μs baud, 32 pulse).



Standard E/F-region Power Measurement



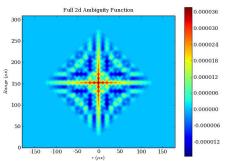
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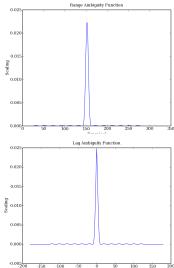
- Pulse compression code allow for high sensitivity, high range resolution power measurements
- Plasma must remain correlated over pulse length (limits range of use for most systems).
- Typical code is 13-baud Barker code, 130 μ s.

E/F-region Power Measurement - Ambiguity Function

Ambiguity function including filter effects.

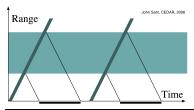
130 μ s (13-baud, 10 μ s baud, 5 μ s sampling).





 $\tau(\mu s)$

Standard *D*-region Experiments



- Long correlation times (narrow spectral widths) in the *D* region require pulse-to-pulse techniques
- E.g., PFISR employs coded double-pulse techniques that give range resolutions up to 600 m and spectral resolutions up to 1 Hz.

Mode	Pulse	Baud	δR	au	IPP	δf	Nyquist	δt
0	130 μ s	$10~\mu s$	1.5 km	5 μs (0.75 km)	2 ms	2 Hz	250 Hz	1 s
1	260 μ s	10 μ s	1.5 km	5 <i>μs</i> (0.75 km)	4 ms	1 Hz	125 Hz	2.5 s
2	130 μ s	10 μ s	1.5 km	5 <i>μs</i> (0.75 km)	2 ms	2 Hz	250 Hz	1.8 s
3	280 μ s	10 μ s	1.5 km	5 <i>μs</i> (0.75 km)	3 ms	1.3 Hz	167 Hz	2.7 s
4	$112~\mu$ s	4 μ s	0.6 km	$2 \mu s (0.3 \text{ km})$	3 ms	1.3 Hz	167 Hz	2.7 s

General

A typical experiment consists of:

- Data samples
- Noise samples
- Cal pulse samples

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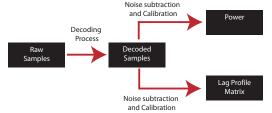
- Interleaving of pulses (possibly on different frequencies)
 - Clutter considerations, Noise & Cal sample placement
 - Maximization of duty cycle
- Beam pointing, Distribution of pulses, Integration time considerations
- All this can be very complicated

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Power Estimation

Received power can be written as

$$P_r = \frac{P_t \tau_p}{r^2} K_{sys} \frac{N_e}{(1+k^2 \lambda_D^2)(1+k^2 \lambda_D^2 + T_r)} \text{ Watts}$$

where

 P_r - received power (Watts)

 P_t - transmit power (Watts)

 au_p - pulse length (seconds)

r - range (meters)

 N_e - electron density (m⁻³)

k - Bragg scattering wavenumber (rad/m)

 λ_D - Debye length (m)

 T_r - electron to ion temperature ratio

 K_{sys} - system constant (m^5/s)

Power Estimation

Received signal power needs to be calibrated to absolute units of Watts. To do this, we in general (a) take noise samples and (b) inject a calibration pulse (at each AEU for AMISR), which is then summed in the same way as the signal. The absolute calibration power in Watts is:

$$P_{cal} = k_B T_{cal} B$$
 Watts

where

 k_B - Boltzmann constant (J/kg K)

 T_{cal} - temperature of calibration source (K)

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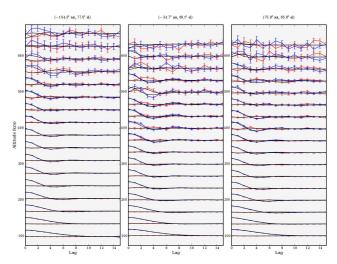
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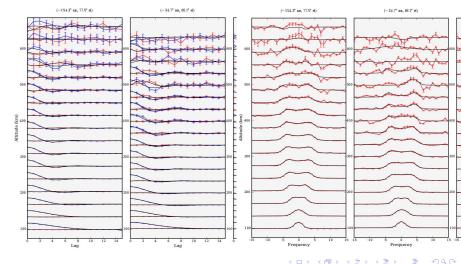
The measurement of the calibration power (after noise subtraction) can then be used as a yardstick to convert the received power to Watts. This is done as,

$$P_r = P_{cal} * (Signal - Noise) / (Cal - Noise)$$
 Watts

ACF / Spectra Estimation - E/F region

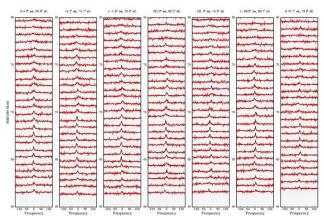


ACF / Spectra Estimation - E/F region



ACF / Spectra Estimation - D region





Recall,

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Calibrated received power can easily be inverted to determine N_e (if one makes assumptions about T_r), but what about K_{sys} ?

Within K_{sys} is embedded information on the gain, which might vary with look-angle [e.g., AMISR] or change with time [hopefully slowly].

$$f_r^2 \approx f_p^2 + \frac{3k^2}{4\pi^2} \frac{k_B T_e}{m_r} + f_c^2 \sin^2 \alpha$$

where

 f_r - plasma line frequency (Hz)

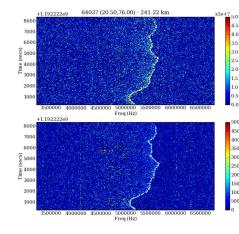
 f_p - plasma frequency (Hz)

 T_e - electron temperature (K)

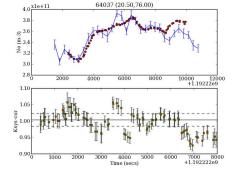
 m_e - electron mass (kg)

f_c - electron cyclotron frequency (Hz)

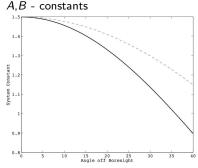
 α - magnetic aspect angle

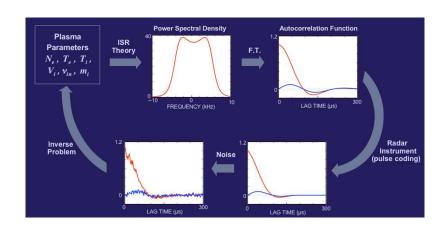


$$K_{sys} = A \cos^B(\theta_{BS}) \text{ m}^5/\text{s}$$



θ_{BS} - angle off boresight





General Complicating Factors:

- Range smearing
- Lag smearing
- Pulse coding effects / "Self"-clutter
- Clutter (geophysical and not e.g., mountains, irregularities, turbulence, non-Maxwellian)
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- E-region Collision frequency, Temperature
- D-region Complete ambiguity

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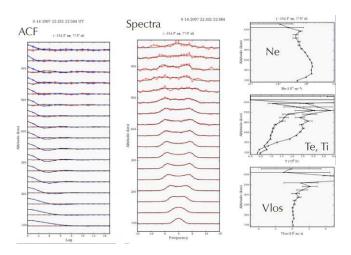
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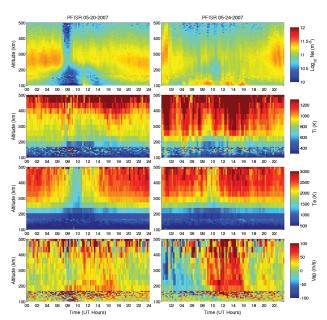
Approach:

- F-region Te, Ti, Vlos, Ne
- Bottomside Assume a composition profile
- E-region $<\sim 105 km$, assume $T_e=T_i$
- D-region Fit a Lorentzian (width, Doppler, N_e)

Fitting Spectra - Example



Fitting Spectra - Example



Ions: Magnetized or Unmagnetized?

Depends on ratio of gyrofrequency (qB/m_i) to collision frequency (ν_{in})

Both winds and electric fields matter for the ions.
 Simple steady-state ion-momentum eqn:

$$C = e(\mathbf{E} + \mathbf{v}_i \times \mathbf{B}) - m_i \nu_{in}(\mathbf{v}_i - \mathbf{u})$$

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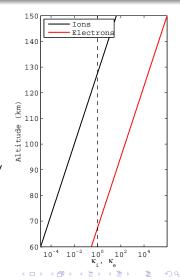
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where
$$\kappa_i = eB/m_i\nu_{in} = \Omega_i/\nu_{in}$$
. The vector velocity can then be solved for $\mathbf{v}_i = b_i C\mathbf{E} + C\mathbf{u}$ where

- Whereas electrons are collisionless $\mathbf{v_e} = \mathbf{E} \times \mathbf{B}/B^2$
- Currents flow even in the absence of winds:

 $b_i = e/m_i \nu_{in} = \kappa_i/B$

$$\mathbf{J} = n_e e(\mathbf{v}_i - \mathbf{v}_e) = \sigma \cdot (\mathbf{E} + \mathbf{u} \times \mathbf{B})$$



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If we can neglect Earth curvature ("high enough" elevation angles),

$$\mathbf{k} = \begin{bmatrix} k_e \\ k_n \\ k_z \end{bmatrix} = \begin{bmatrix} \cos\theta\sin\phi \\ \cos\theta\cos\phi \\ \sin\theta \end{bmatrix}$$

where θ , ϕ are elevation and azimuth angles, respectively.

For a local geomagnetic coordinate system we can use the rotation matrix,

$$R_{geo \to gmag} = \begin{bmatrix} \cos \delta & -\sin \delta & 0\\ \sin I \sin \delta & \cos \delta \sin I & \cos I\\ -\cos I \sin \delta & -\cos I \cos \delta & \sin I \end{bmatrix}$$

where δ (\sim 22° for PFISR) and I (\sim 77.5° for PFISR) are the declination and dip angles, respectively.

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$$\mathbf{k} = \begin{bmatrix} k_{pe} \\ k_{pn} \\ k_{ap} \end{bmatrix} = \begin{bmatrix} k_e \cos \delta - k_n \sin \delta \\ k_z \cos I + \sin I (k_n \cos \delta + k_e \sin \delta) \\ k_z \sin I - \cos I (k_n \cos \delta + k_e \sin \delta) \end{bmatrix}.$$

Vector Velocities - Two Point

Two LOS velocity measurements can be written as,

$$\begin{bmatrix} v_{los}^{1} \\ v_{los}^{2} \end{bmatrix} = \begin{bmatrix} k_{pe}^{1} & k_{pn}^{1} & k_{ap}^{1} \\ k_{pe}^{2} & k_{pn}^{2} & k_{ap}^{2} \end{bmatrix} \begin{bmatrix} v_{pe} \\ v_{pn} \\ v_{ap} \end{bmatrix}$$

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Can be solved for v_{pn} and v_{pe} assuming $v_{ap} \approx 0$,

$$v_{pn} = \frac{v_{los}^1 - \frac{k_{pe}^1}{k_{pe}^2} v_{los}^2 - v_{ap} \left(k_{ap}^1 - k_{ap}^2 \frac{k_{pe}^1}{k_{pe}^2}\right)}{k_{pn}^1 \left(1 - \frac{k_{pn}^2}{k_{pn}^1} \frac{k_{pe}^1}{k_{pe}^2}\right)} \approx \frac{v_{los}^1 - \frac{k_{pe}^1}{k_{pe}^2} v_{los}^2}{k_{pn}^1 \left(1 - \frac{k_{pn}^2}{k_{pn}^1} \frac{k_{pe}^1}{k_{pe}^2}\right)}$$

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Implies that you need look directions with different **k** vectors.

Multiple measurements can be written as,

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$$\hat{\mathbf{v}}_i = \mathbf{\Sigma}_{v} A^T (A \mathbf{\Sigma}_{v} A^T + \mathbf{\Sigma}_{e})^{-1} \mathbf{v}_{los}$$

Error covariance.

$$\Sigma_{\hat{v}} = \Sigma_{v} - \Sigma_{v} A^{T} (A \Sigma_{v} A^{T} + \Sigma_{e})^{-1} A \Sigma_{v} = (A^{T} \Sigma_{e}^{-1} A + \Sigma_{v}^{-1})^{-1}$$

Electric Fields

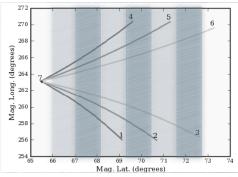
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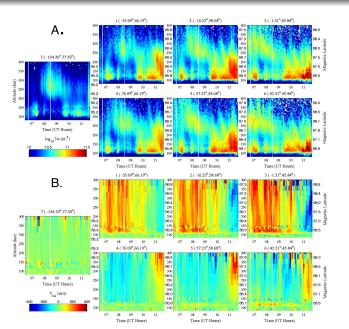
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Electric Fields - Example

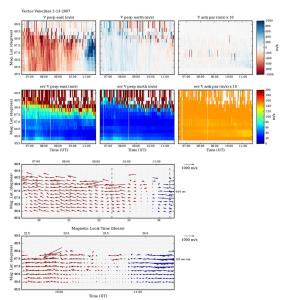


Electron Density

LOS Velocities

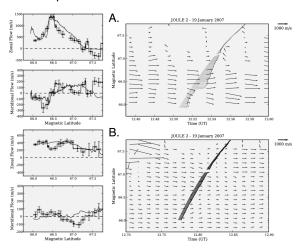
Electric Fields - Example

Resolved Vectors



Electric Fields - Example

Comparison to rocket-measured E-fields.



At lower altitudes, the ions become collisional and transition from $\mathbf{E} \times \mathbf{B}$ drifting at high altitudes to drifting with the neutral winds at low altitudes.

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$$\mathbf{x} = [E_{pe} \ E_{pn} \ E_{||} \ u_{pe}^{1} \ u_{pn}^{1} \ u_{||}^{1} \ u_{pe}^{2} \ u_{pn}^{2} \ u_{||}^{2} \ ... \ u_{pe}^{n} \ u_{pn}^{n} \ u_{||}^{n}]^{T}$$

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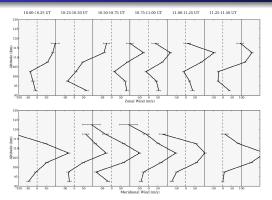
An obvious problem is the ambiguity in terms of \mathbf{E} and \mathbf{u} . Solution is to invert all measurements from all altitudes at once, allowing winds to vary with altitude but the electric field to map along field lines. Forward model becomes,

$$\mathbf{x} = [E_{pe} \ E_{pn} \ E_{||} \ u_{pe}^{1} \ u_{pn}^{1} \ u_{||}^{1} \ u_{pe}^{2} \ u_{pn}^{2} \ u_{||}^{2} \ ... \ u_{pe}^{n} \ u_{pn}^{n} \ u_{||}^{n}]^{T}$$

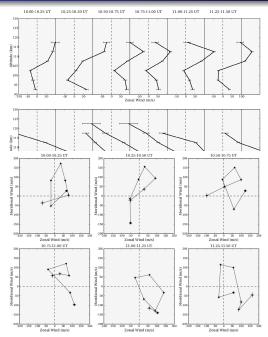
This allows for direct constraint of both the vertical wind and the parallel electric field, both of which we expect to be small.

$$\Sigma_{v}^{gmag} = J_{geo
ightarrow gmag} \Sigma_{v}^{geo} J_{geo
ightarrow gmag}^{T}$$

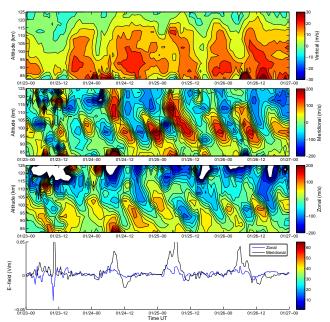
E-Region Winds - Example



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Collision Frequency

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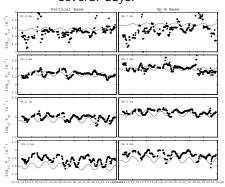
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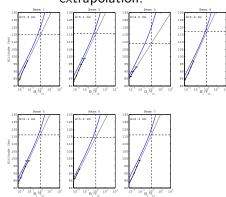
- ① Direct fits at lower altitudes (spectral width $\sim \propto T_n/\nu_{in}$)
- Examination of variation of LOS velocity with altitude

Collision Frequency - Method 1

Semi-diurnal variation over several days.



Altitude profile and extrapolation.



Collision Frequency - Method 2 - Example

The rotation of the LOS velocity with altitude is a good indicator of collision frequency effects.

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E.g., take the vertical beam,

$$v_z = v_{\perp n} \cos I + v_{||} \sin I$$

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Perp-north and parallel components given by,

$$v_{\perp n} = \kappa_i (1 + \kappa_i^2)^{-1} \left(b_i E_{\perp e} + u_{\perp e} \right) + \left(1 + \kappa_i^2 \right)^{-1} \left(b_i E_{\perp n} + u_{\perp n} \right)$$
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$$v_z' = v_z - v_{||} \sin I$$

Under strong convection (electric field) conditions, neglect winds

$$v_z' \sim b_i (1 + \kappa_i^2)^{-1} \left[\kappa_i E_{\perp e} + E_{\perp n} \right] \cos I$$

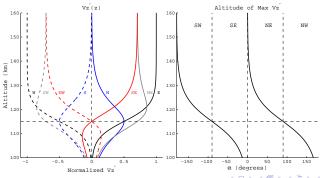
$$v_z^\prime \sim b_i (1+\kappa_i^2)^{-1} \left[\kappa_i E_{\perp e} + E_{\perp n}\right] \cos I$$

$$\begin{aligned} v_z' &\sim b_i (1+\kappa_i^2)^{-1} \left[\kappa_i E_{\perp e} + E_{\perp n}\right] \cos I \\ \text{If } \kappa_i(z) &= \kappa_0 e^{(z-z_0)/H} \text{, vertical ion velocity will maximize at} \\ z_{\max \ v_z'} &= z_0 + H \ln \kappa_0^{-1} + H \ln \left[\frac{\cos \alpha \pm 1}{\sin \alpha}\right] \end{aligned}$$

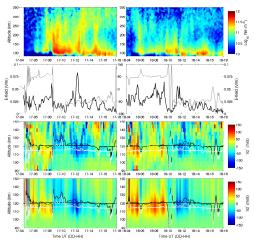
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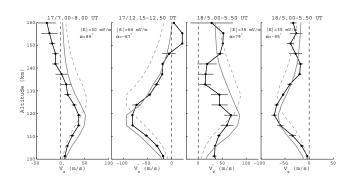


Collision Frequency - Method 2

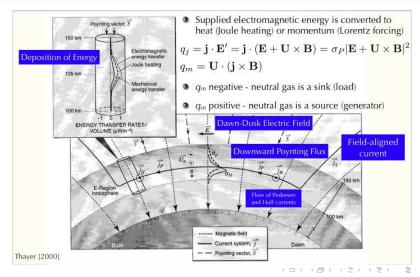


Collision Frequency - Method 2

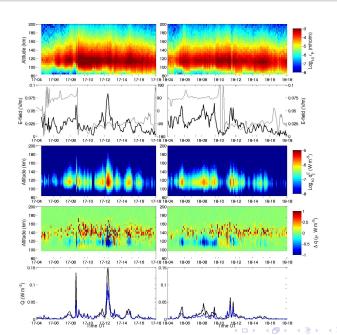
Profiles of v'_z during high convection conditions. Dashed - with MSIS; Solid - scaled by a factor of 2.



Conductivities / Currents / Joule Heating Rates



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Active Areas of Reserch

- Full profile / deconvolution techniques for IS fitting
- Taking advantage of space and time information;
 Optimal inference of parameters
- Optimization and standardization of approaches
- Additional parameters: molecular ion composition, height-resolved plasma lines, topside parameters, etc.
- Additional parameters ++: *D*-region momentum fluxes, higher altitude winds, etc.
- etc.