

# Basic Radar 2: Fundamentals of Radar Signals

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# Continuous Fourier Transform

$$\mathcal{F}\{f(t)\} = \int_{-\infty}^{\infty} f(t)e^{-j\omega t} dt \quad \mathcal{F}^{-1}\{F(\omega)\} = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(\omega)e^{j\omega t} d\omega$$

Properties:

- Exists when  $\int_{-\infty}^{\infty} |f(t)|^2 dt$  converges
- Linearity  $\mathcal{F}\{\alpha f(t) + \beta g(t)\} = \alpha \mathcal{F}\{f(t)\} + \beta \mathcal{F}\{g(t)\}$
- Time reversal  $\mathcal{F}\{f(-t)\} = \mathcal{F}\{f(t)\}^*$
- Time Delay  $\mathcal{F}\{f(t - t_0)\} = e^{-j\omega t_0} \mathcal{F}\{f(t)\}$
- Convolution Theorem:

$$g(t) = f(t) * h(t) = \int_{-\infty}^{\infty} f(t')h(t - t') dt'$$

$$\mathcal{F}\{g(t)\} = \mathcal{F}\{f(t)\} \times \mathcal{F}\{h(t)\}$$

# Duality Between Time and Frequency

Fourier transform is a unitary operator:  $\mathcal{F}^{-1} = \frac{1}{2\pi} \mathcal{F}^*$ .

All properties have an dual

- Frequency reversal  $\mathcal{F}^{-1} \{F(-\omega)\} = \mathcal{F}^{-1} \{F(\omega)\}^*$
- Frequency shift  $\mathcal{F}^{-1} \{F(\omega - \omega_0)\} = e^{j\omega_0 t} \mathcal{F}^{-1} \{F(\omega)\}$
- Dual Convolution Theorem:

$$G(\omega) = F(\omega) * H(\omega) = \int_{-\infty}^{\infty} F(\omega') H(\omega - \omega') d\omega'$$

$$\mathcal{F}^{-1} \{G(\omega)\} = 2\pi \mathcal{F}^{-1} \{F(\omega)\} \times \mathcal{F}^{-1} \{H(\omega)\}$$

Uncertainty principle (Gabor Limit):

$$\left( \int_{-\infty}^{\infty} t^2 |f(t)|^2 dt \right) \left( \int_{-\infty}^{\infty} \omega^2 |F(\omega)|^2 d\omega \right) \geq \frac{1}{16\pi^2} \left( \int_{-\infty}^{\infty} |f(t)|^2 dt \right)^2$$

Narrow in time  $\leftrightarrow$  Wide in frequency

Wide in time  $\leftrightarrow$  Narrow in frequency

# Discrete-Time Fourier Transform

Suppose I sample data with sampling period  $T$  such that  $f_n = f(nT)$ . The DTFT is defined as

$$\text{DTFT} \{f\} = \sum_{n=-\infty}^{\infty} f_n e^{-j\omega nT}$$

$$\text{DTFT}^{-1} \{F(\omega)\} = \frac{T}{2\pi} \int_{-\frac{\pi}{T}}^{\frac{\pi}{T}} F(\omega) e^{j\omega nT} d\omega$$

- The DTFT maps a discrete sequence onto a function of continuous frequency
- The DTFT is  $\frac{2\pi}{T}$ -periodic in  $\omega$  since  $e^{-j\omega nT} = e^{-j(\omega + \frac{2\pi}{T})nT}$ .
- The DTFT is related to the CFT by:

$$\text{DTFT} \{f\} = \mathcal{F} \left\{ \sum_{n=-\infty}^{\infty} f(nT) \delta(t - nT) \right\}$$

# Discrete Fourier Transform

Given  $N$  discrete samples:

$$F_k = \sum_{n=0}^{N-1} f_n e^{-2\pi j \frac{nk}{N}} \quad k \in [0, N-1] \quad \text{Forward DFT}$$

$$f_n = \frac{1}{N} \sum_{k=0}^{N-1} F_k e^{2\pi j \frac{nk}{N}} \quad n \in [0, N-1] \quad \text{Inverse DFT}$$

- The Fast Fourier Transform (FFT) is an algorithm for computing the DFT that is  $\mathcal{O}(N \log N)$
- The DFT is a discrete sampling of the DTFT.
- If  $f_n = f(nT)$  for sampling period  $T$ , then the corresponding set of discrete frequencies are

$$\omega_k = 2\pi \frac{k}{NT}$$

- Frequency resolution is  $\Delta\omega = \frac{2\pi}{NT}$

# Sampling and Aliasing

Periodicity of complex exponentials:

$$e^{2\pi j \frac{nk}{N}} = e^{2\pi j \frac{nk}{N} + 2\pi jm} \quad \forall m \in \mathbb{Z}$$

From this it follows that

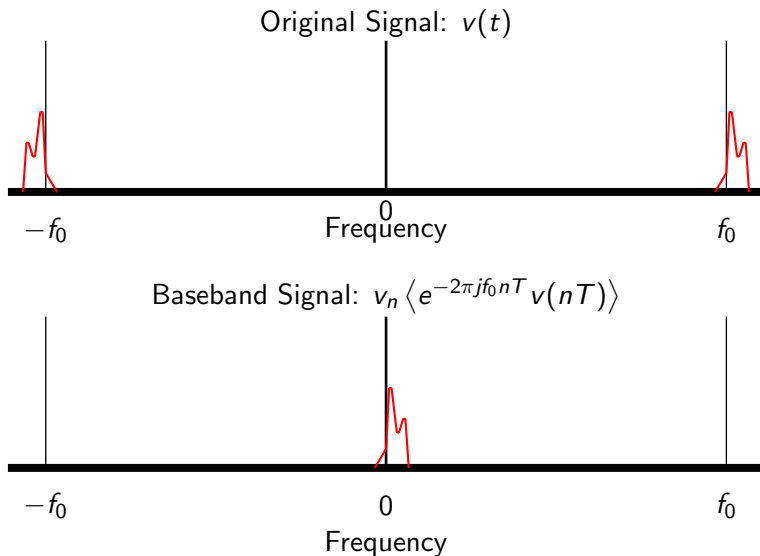
$$F_k = \sum_{n=0}^{N-1} f_n e^{-2\pi j \frac{nTk}{NT}} = \sum_{n=0}^{N-1} f_n e^{-2\pi j \frac{nTk + mnTN}{NT}}$$

$$\omega_k = 2\pi \left( \frac{k}{NT} + \frac{m}{T} \right)$$

For example, with  $T = 2$  ms,  $\frac{1}{T} = 500$  Hz:

- ...  $\leftrightarrow -925$  Hz  $\leftrightarrow -425$  Hz  $\leftrightarrow 75$  Hz  $\leftrightarrow 575$  Hz  $\leftrightarrow 1075$  Hz  $\leftrightarrow \dots$
- The DFT returns frequencies from 0 to  $\frac{1}{T}$  by default. A common convention is to report the spectrum from  $-\frac{1}{2T}$  to  $\frac{1}{2T}$  (fftshift).
  - **Nyquist Sampling Theorem:** All signal frequencies must be  $|\omega| < 2\pi \frac{1}{2T}$  for the DFT and DTFT to avoid frequency aliasing.

# Baseband Sampling





# Windowing and Frequency Resolution

What are the ramifications of using a finite length segment of data in the DFT?

$$\begin{aligned}
 F_k &= \sum_{n=0}^{N-1} f_n e^{-j\omega_k nT} && \text{DFT} \\
 &= \sum_{n=-\infty}^{\infty} f_n r_n^N e^{-j\omega_k nT} && \text{DTFT}
 \end{aligned}$$

where the length  $N$  rectangle function is:

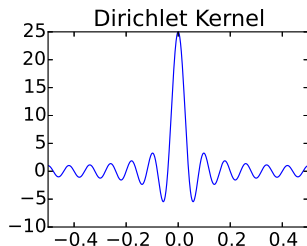
$$r_n^N = \begin{cases} 1 & 0 \leq n < N \\ 0 & \text{otherwise} \end{cases}$$

By the convolution theorem for the DTFT:

$$\text{DTFT} \{ f \times r^N \} = \text{DTFT} \{ f \} * \text{DTFT} \{ r^N \}$$

$$\text{DTFT} \{ r^N \} = e^{-j\frac{N-1}{2}\omega T} \frac{\sin\left(\frac{N}{2}\omega T\right)}{\sin\left(\frac{1}{2}\omega T\right)}$$

Width of Dirichlet kernel is related to  $NT$ .



# Zero-padded Fourier Transforms

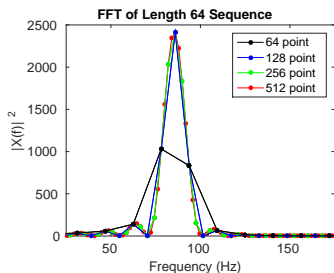
What happens if I try to sample a finer grid of frequencies than the standard DFT?

$$F'_k = \sum_{n=0}^{N-1} f_n e^{-2\pi j \frac{nk}{K}} \quad k \in [0, K-1], K > N$$

This is equivalent to zero padding the original sequence, then taking a standard DFT.

$$f'_n = \begin{cases} f_n & n < N \\ 0 & N < n < K \end{cases}$$

$$F'_k = \sum_{n=0}^{K-1} f'_n e^{-2\pi j \frac{nk}{K}} \quad k \in [0, K-1]$$

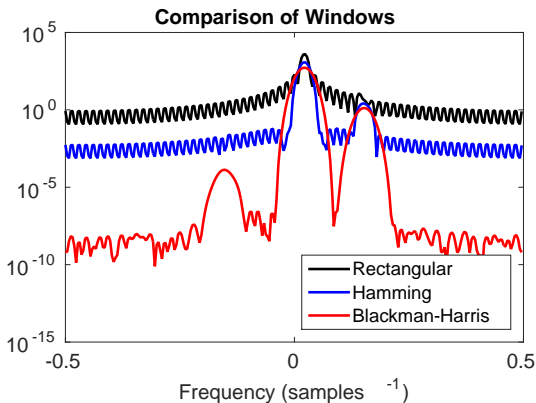


The length  $N$  rectangular window sets the real frequency resolution. Zero padding just interpolates the windowed spectrum.

# Effects of Other Window Functions

Chose tapered window weights  $w_n$  to combat effects of rectangular window

$$F_k = \sum_{n=0}^{N-1} w_n f_n e^{-j\omega_k nT}$$



# Digital Filters

Finite Impulse Response Filter (FIR)    Infinite Impulse Response Filter (IIR)

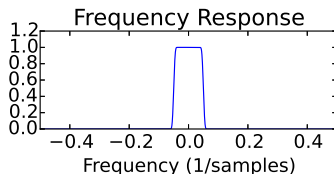
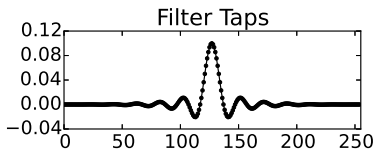
$$y_k = \sum_{n=0}^{N-1} h_n x_{k-n}$$

$$y_k = \sum_{n=0}^{N-1} h_n x_{k-n} + \sum_{m=1}^{M-1} g_m y_{k-m}$$

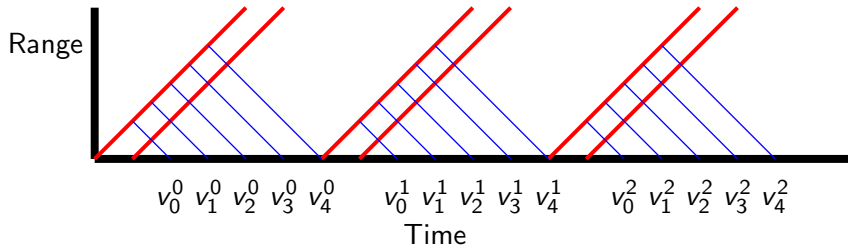
- FIR used extensively in radar signal processing.
- Impulse response is the output when  $x_n = \delta_n$ . For FIR filters this is  $h_n$ .
- Frequency response of an FIR filter:

$$H(\omega) = \sum_{n=0}^{N-1} h_n e^{-j\omega n T} \quad \text{DTFT of Impulse Response}$$

Example: 255-tap FIR Blackman filter used at PFISR



# Range-Time Diagram



Interpret the 1-D receiver voltage as a function of time as if it were a function of both range and time.

- $v_n^k$  is the sample of range gate  $n$  after pulse  $k$
- $n$  is the “fast-time” dimension (range), and  $k$  is the “slow-time” dimension (time)
- Range gate  $n$  is at a range of  $R = \frac{ct_n}{2}$
- Each slow-time sample is separated by one interpulse period (IPP)

# Range-Doppler Processing

Range-Time Data Array:

$$\begin{pmatrix} v_0^0 & v_0^1 & \cdots & v_0^{K-1} \\ v_1^0 & v_1^1 & \cdots & v_1^{K-1} \\ \vdots & \vdots & \vdots & \vdots \\ v_{N-1}^0 & v_{N-1}^1 & \cdots & v_{N-1}^{K-1} \end{pmatrix}$$

Take an FFT along the slow-time dimension for each range

$$u_n^m = \sum_{k=0}^{K-1} v_n^k e^{2\pi j \frac{mk}{K}}$$

Range-Frequency Data Array:

$$\begin{pmatrix} u_0^0 & u_0^1 & \cdots & u_0^{K-1} \\ u_1^0 & u_1^1 & \cdots & u_1^{K-1} \\ \vdots & \vdots & \vdots & \vdots \\ u_{N-1}^0 & u_{N-1}^1 & \cdots & u_{N-1}^{K-1} \end{pmatrix}$$

Physically  $|u_n^m|^2$  is proportional to the received power at

- Range  $r_n = \frac{ct_n}{2}$
- Frequency  $\omega_m = 2\pi \frac{m}{K\tau_{IPP}}$
- Doppler velocity  $V_m = \frac{c}{2} \frac{\omega_m}{2\pi f_0}$

# Doppler Aliasing

The FFTs are performed along the slow time dimension, hence the effective sampling rate is  $\tau_{\text{IPP}}$ .

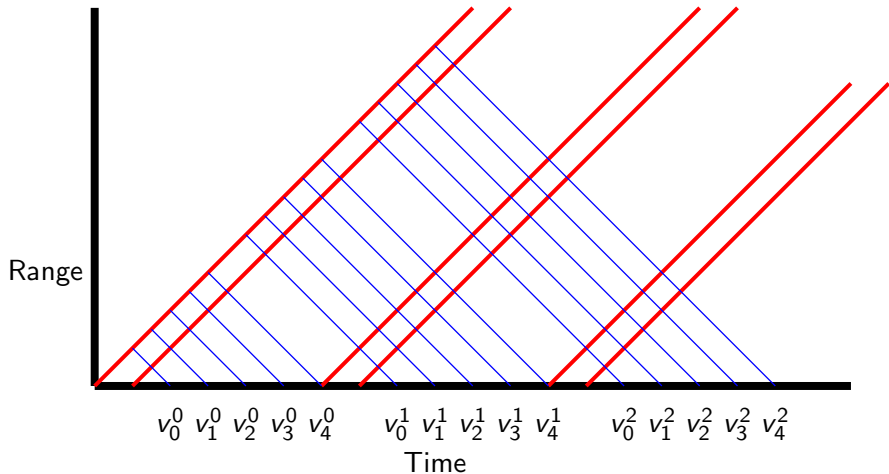
In order to avoid aliasing in the FFTs for each range:

$$\tau_{\text{IPP}} < \frac{1}{2f_{\text{max}}}$$

Short IPPs implies pulses very close together.

Is there any problem with having pulses very close together?

# Range Aliasing



$$r_n = \frac{ct_n}{2} + m \frac{cT_{IPP}}{2} \quad \text{for any integer } m$$



# Underspread and Overspread Targets

Shorter IPP:

- Captures wide bandwidth
- Leads to range aliasing

Longer IPP:

- Captures a large range extent
- Leads to frequency aliasing

If an IPP exists than can avoid both range and frequency aliasing the target is **underspread**. Otherwise it is **overspread**.

**Underspread Example:** D-region ISR at 450 MHz

- Ranges 0-90 km, Frequencies  $\pm 75$  Hz
- 2 ms IPP gives 300 km unambiguous range,  $\pm 250$  Hz frequencies

**Overspread Example:** F-region ISR at 450 MHz

- Ranges 0-600 km, Frequencies  $\pm 10$  kHz
- 600 km unambiguous range requires a  $> 4$  ms IPP
- $\pm 10$  kHz unambiguous frequencies requires a  $< 50$   $\mu$ s IPP

# Gaussian Random Variables

A Gaussian random variable  $X$  has the following probability density function (Normal Distribution):

$$p(x) = \frac{1}{\sqrt{2\pi}\sigma} \exp \left\{ -\frac{x - \mu}{2\sigma^2} \right\}$$

$$E\{X\} = \mu$$

$$\text{Var}\{X\} = E\{(X - \mu)^2\} = E\{X^2\} - \mu^2 = \sigma^2$$

A vector of random variables  $\mathbf{X} = [X_0 X_1 X_2 \cdots X_{N-1}]^T$  is jointly Gaussian if each in they have the joint PDF

$$p(\mathbf{x}) = \frac{1}{(2\pi)^{\frac{N}{2}} |C|^{\frac{1}{2}}} \exp \left\{ -\frac{1}{2} [\mathbf{x} - \mu]^T C^{-1} [\mathbf{x} - \mu] \right\}$$

$$E\{\mathbf{X}\} = \mu$$

$$\text{Cov}\{\mathbf{X}\} = E\{[\mathbf{X} - \mu][\mathbf{X} - \mu]^T\} = C$$

# Properties of Jointly Gaussian Random Variables

- Linear combinations:

$$Z = \alpha X + \beta Y + \gamma \quad E\{Z\} = \alpha E\{X\} + \beta E\{Y\} + \gamma$$

$$\text{Var}\{Z\} = \alpha^2 \text{Var}\{X\} + \beta^2 \text{Var}\{Y\} + 2\alpha\beta \text{Cov}\{X, Y\}$$

- Matrix generalization:

$$\mathbf{Y} = \mathbf{A}\mathbf{X} + \mathbf{b} \quad E\{\mathbf{Y}\} = \mathbf{A}E\{\mathbf{X}\} + \mathbf{b} \quad \text{Cov}\{\mathbf{Y}\} = \mathbf{A} \text{Cov}\{\mathbf{X}\} \mathbf{A}^T$$

Special cases for zero mean random variables:

- Odd moments are zero:

$$E\{V_1\} = E\{V_1 V_2 V_3\} = E\{V_1 V_2 V_3 V_4 V_5\} = \dots = 0$$

- Fourth moment theorem:  $E\{V_1 V_2 V_3 V_4\} =$

$$E\{V_1 V_2\} E\{V_3 V_4\} + E\{V_1 V_3\} E\{V_2 V_4\} + E\{V_1 V_4\} E\{V_2 V_3\}$$

- General even moment theorem (Isserlis' Theorem)

$$E\{V_1 V_2 \dots V_{2n-1} V_{2n}\} = \sum \prod E\{V_i V_j\}$$

# Complex Gaussian Random Variables

ISR signals are complex valued, zero mean, and random phase.

$$V = V_R + jV_I \quad E\{V_R\} = E\{V_I\} = 0$$

$$E\{VV^*\} = \sigma^2 \quad E\{V_R V_I\} = 0 \quad \text{Cov} \left\{ \begin{pmatrix} V_R \\ V_I \end{pmatrix} \right\} = \frac{1}{2} \begin{pmatrix} \sigma^2 & 0 \\ 0 & \sigma^2 \end{pmatrix}$$

When we talk about correlations between ISR signals

$$E\{V_1 V_1^*\} = \sigma_1^2 \quad E\{V_2 V_2^*\} = \sigma_2^2$$

$$E\{V_1 V_2^*\} = \rho = \rho_R + j\rho_I$$

What we really mean is

$$V_1 = V_{1R} + jV_{1I} \quad V_2 = V_{2R} + jV_{2I}$$

$$\text{Cov} \left\{ \begin{pmatrix} V_{1R} \\ V_{1I} \\ V_{2R} \\ V_{2I} \end{pmatrix} \right\} = \frac{1}{2} \begin{pmatrix} \sigma_1^2 & 0 & \rho_R & -\rho_I \\ 0 & \sigma_1^2 & \rho_I & \rho_R \\ \rho_R & \rho_I & \sigma_2^2 & 0 \\ -\rho_I & \rho_R & 0 & \sigma_2^2 \end{pmatrix}$$

# Stochastic Processes: Definitions and Terminology

- Stochastic Process (aka Random Process):  $V(t)$  where value at every time is a random variable
- Gaussian Stochastic Process:
  - PDF of each  $V(t)$  is a Gaussian distribution (aka normal distribution)
  - Joint PDF of any subset of samples of  $V(t)$  is a jointly Gaussian distribution (aka Multivariate Normal Distribution)
- Moments of a Stochastic Process:
  - Mean:  $\bar{V}(t) = E \{V(t)\}$
  - Autocorrelation:  $R(t, t - \tau) = E \{V(t)V^*(t - \tau)\}$
  - Autocovariance:
 
$$C(t, t - \tau) = E \{ [V(t) - \bar{V}(t)] [V^*(t - \tau) - \bar{V}^*(t - \tau)] \} = R(t, t - \tau) - \bar{V}(t)\bar{V}^*(t - \tau)$$
- (Wide Sense) Stationary Stochastic Process
  - $\bar{V}(t) = \bar{V}$  is independent of  $t$
  - $R(t, t - \tau) = R(\tau)$  is independent of  $t$
- ISR signals are Gaussian, zero mean, and stationary as long as the ionospheric state parameters are constant.

# Examples of Discrete Stochastic Process

Gaussian white noise  $W_n$ :

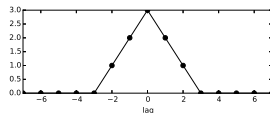
$$\bar{W} = 0 \quad R_\ell = E \{ W_n W_{n-\ell}^* \} = \begin{cases} \sigma_0^2 & \ell = 0 \\ 0 & \ell \neq 0 \end{cases}$$

3-point running sum of Gaussian white noise

$$V_n = W_n + W_{n-1} + W_{n-2}$$

$$R_\ell = E \{ [W_n + W_{n-1} + W_{n-2}] [W_{n-\ell} + W_{n-\ell-1} + W_{n-\ell-2}]^* \}$$

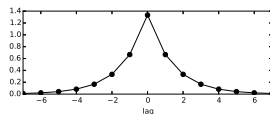
$$= \begin{cases} (3 - |\ell|) \sigma_0^2 & |\ell| < 3 \\ 0 & |\ell| \geq 3 \end{cases}$$



Autoregressive model

$$Y_n = \alpha Y_{n-1} + W_n \quad Y_n = W_n + \alpha W_{n-1} + \alpha^2 W_{n-2} + \alpha^3 W_{n-3} + \dots$$

$$R_\ell = \frac{\alpha^{|\ell|}}{1 - \alpha^2} \sigma_0^2$$



# Examples of Continuous Random Processes

Voltage across a warm resistor (Nyquist Noise Theorem)

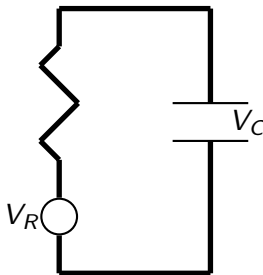
$$E \{V_R(t)\} = 0 \quad E \{V_R(t)V_R^*(t - \tau)\} = 4Rk_B T\delta(\tau)$$

Voltage across capacitor connected to a warm resistor

$$V_C(t) = \frac{1}{RC} \int_{-\infty}^t V_R(t') - V_C(t') dt'$$

$$V_C(t) = \frac{1}{RC} \int_{-\infty}^t e^{\frac{t'-t}{RC}} V_R(t') dt'$$

$$E \{V_C(t) V_C(t - \tau)\} = \frac{2Rk_B T}{RC} e^{-\frac{|\tau|}{RC}}$$



# Power Spectrum

- Fourier transform of a WSS random process does not exist since  $\int_{-\infty}^{\infty} |V(t)|^2 dt \rightarrow \infty$
- Fourier transform of the ACF does exist, and is called the **Power Spectrum**.

$$S(\omega) \equiv \mathcal{F}\{R(\tau)\} = \int_{-\infty}^{\infty} R(\tau) e^{-j\omega\tau} d\tau$$

Properties of Power Spectrum:

- $S(\omega)$  is real and  $S(\omega) \geq 0$  [follows from  $R(\tau) = R(-\tau)^*$ ]
- $\int_{-\infty}^{\infty} S(\omega) d\omega = R(0)$  [total power]
- $\int_{\omega_1}^{\omega_2} S(\omega) d\omega =$  power in the band from  $\omega_1$  to  $\omega_2$
- If  $y(t) = h(t) * x(t) \rightarrow S_Y(\omega) = |H(\omega)|^2 S_X(\omega)$  where  $H(\omega) = \mathcal{F}\{h(t)\}$
- Short correlation times  $\leftrightarrow$  wide bandwidth and vice versa.



# Power Estimation

Given  $K$  samples  $v_i$  of a WSS random process  $V(t)$  with true power  $P = R(0) = E\{V(t)V^*(t)\}$ , and assuming the samples are far enough apart that they are uncorrelated

Power estimator:

$$\hat{P} = \frac{1}{K} \sum_{i=0}^{K-1} v_i v_i^*$$

Expected value of power estimator:

$$E\{\hat{P}\} = P \quad \text{unbiased estimator}$$

Variance of power estimator:

$$\delta\hat{P}^2 = E\left\{\left(\hat{P} - P\right)^2\right\} = \frac{1}{K}P^2$$

$$\text{Relative error } \frac{\delta\hat{P}}{P} = \frac{1}{\sqrt{K}}$$

# Signal Power Estimation with Added Noise

Given  $K$  samples  $v_i = s_i + n_i$ , and an independently known noise power,  $N$

$$\hat{S} = \frac{1}{K} \sum_{i=0}^{K-1} v_i v_i^* - N$$

$$E \{ \hat{S} \} = S \quad \text{unbiased estimator}$$

$$\text{Var} \{ \hat{S} \} = \frac{1}{K} (S + N)^2$$

$$\frac{\delta \hat{S}}{S} = \frac{1}{\sqrt{K}} \left( 1 + \frac{1}{S/N} \right)$$

For example,  $\frac{\delta \hat{S}}{S} = 0.5$  with a  $S/N = 0.1$  requires  $K = 484$ .

## ACF Estimation (Pulse-to-Pulse)

Now assume pulses are taken close together and are correlated.

Unbiased Estimator:

$$\hat{R}_\ell = \frac{1}{K-\ell} \sum_{n=\ell}^{K-1} v_n v_{n-\ell}^*$$

$$E \left\{ \hat{R}_\ell \right\} = R_\ell$$

Biased Estimator:

$$\tilde{R}_\ell = \frac{1}{K} \sum_{n=\ell}^{K-1} v_n v_{n-\ell}^*$$

$$E \left\{ \tilde{R}_\ell \right\} = \frac{K-\ell}{K} R_\ell \quad \text{[triangular window]}$$

$$\text{Var} \left\{ \tilde{R}_\ell \right\} = \frac{1}{K^2} \sum_{n=\ell}^{K-1} \sum_{m=\ell}^{K-1} |R_{m-n}|^2 \approx \frac{1}{K} |R_0|^2$$

# Spectral Estimation (Pulse-to-Pulse Periodograms)

Given  $v_0, v_1, v_2, \dots, v_{K-1}$  I could compute

$$\check{V}_n = \sum_{k=0}^{K-1} v_k e^{-2\pi j \frac{nk}{K}} \quad n \in [0, K-1]$$

$$\check{S}_n = |\check{V}_n|^2$$

$$\check{R}_k = \frac{1}{K} \sum_{n=0}^{K-1} \check{S}_n e^{2\pi j \frac{nk}{K}}$$

This turns out to be biased by periodic **wrap-around effects**. For example:

$$K\check{R}_2 = v_2 v_0^* + v_3 v_1^* + \dots + v_{K-1} v_{K-3}^* + v_0 v_{K-2}^* + v_1 v_{K-1}^*$$

This is called a periodogram, and generally shouldn't be used.

# Zero-padded Periodograms

A better estimator is the zero padded periodogram:

$$\tilde{V}_n = \sum_{k=0}^{K-1} v_k e^{-2\pi j \frac{nk}{2K}} \quad n \in [0, 2K - 1] \quad \tilde{S}_n = \left| \tilde{V}_n \right|^2$$

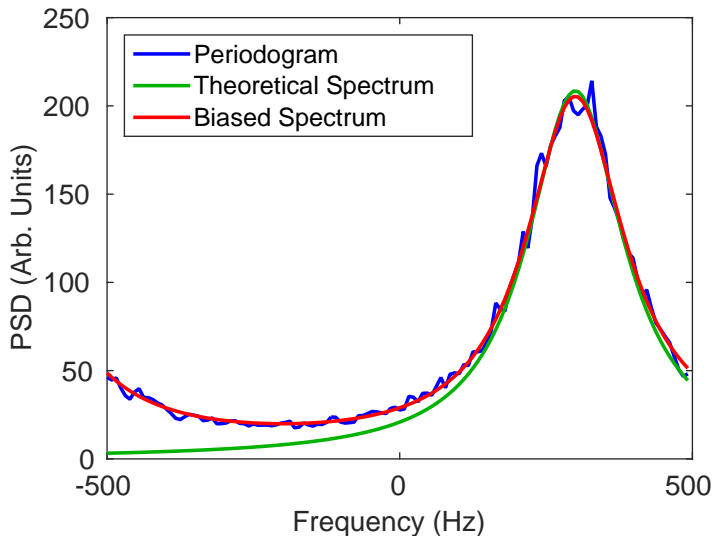
$$\tilde{R}_k = \frac{1}{2K} \sum_{n=0}^{2K-1} \tilde{S}_n e^{2\pi j \frac{nk}{2K}}$$

$$= \frac{1}{K} \sum_{n=k}^{K-1} v_n v_{n-k}^*$$

This provides a fast way to compute the biased ACF estimator using FFTs.

- $\tilde{R}_k$  is a **sampled** and **triangularly windowed** estimate of  $R(\tau)$ .
- $\tilde{S}_n$  is an **aliased** and **smoothed** estimate of  $S(\omega)$ .

# Effects of Aliasing and Windowing on Periodograms



# Combining Coherent and Incoherent Integration

Divide a sequence of  $M$  pulses into  $L$  sets of  $K$  such that  $M = LK$ .

$$\tilde{R}_\ell = \frac{1}{L} \sum_{l=0}^{L-1} \frac{1}{K} \sum_{k=l}^{K-1} v_k v_{k-l}^* \quad \ell \in [0, K-1]$$

Increasing the coherent integration time  $K$  gives

- Longer lags in  $\tilde{R}$
- Higher frequency resolution in  $\tilde{S}$

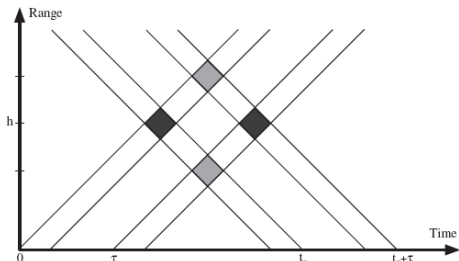
Increasing the incoherent integration time  $L$  gives

- Better statistics

In general  $K$  should be long enough to capture the correlation time of the process; longer is computationally wasteful.

Unlike the coherent integration samples, the incoherent integration intervals do not need to be contiguous in time.

# Double Pulse Experiment



$$\begin{aligned}
 v(t_s + \tau)v^*(t_s) &= \left[ s(h; t + \tau) + s\left(h + \frac{c\tau}{2}; t + \frac{\tau}{2}\right) \right] \left[ s(h; t) + s\left(h - \frac{c\tau}{2}; t + \frac{\tau}{2}\right) \right] \\
 &= s(h; t + \tau)s^*(h; t) + s(h; t + \tau)s^*\left(h - \frac{c\tau}{2}; t + \frac{\tau}{2}\right) \\
 &\quad + s\left(h + \frac{c\tau}{2}; t + \frac{\tau}{2}\right)s^*(h; t) + s\left(h + \frac{c\tau}{2}; t + \frac{\tau}{2}\right)s^*\left(h - \frac{c\tau}{2}; t + \frac{\tau}{2}\right)
 \end{aligned}$$

ISR signals from disjoint altitudes are uncorrelated:

$$E \{ s(h_1; t + \tau) s^*(h_2; t) \} = \begin{cases} R(h_1; \tau) & h_1 = h_2 \\ 0 & h_1 \neq h_2 \end{cases}$$

$$E \{ v(t_s + \tau)v^*(t_s) \} = E \{ s(h; t + \tau) s^*(h; t) \} = R(h; \tau)$$



# Error Analysis of Double Pulse Experiment

Without self-clutter (dual polarization):

$$v_1 = s(h; t + \tau) + n(t + \tau) \quad v_2 = s(h; t) + n(t)$$

$$\hat{R}(\tau) = \frac{1}{K} \sum_{i=0}^{K-1} v_{i1} v_{i2}^* \quad E \{ \hat{R}(\tau) \} = E \{ v_1 v_2^* \} = R(h; \tau)$$

$$\begin{aligned} \text{Var} \{ \hat{R}(\tau) \} &= \frac{1}{K} \left[ E \{ v_1 v_2^* v_1^* v_2 \} - |E \{ v_1 v_2^* \}|^2 \right] \\ &= \frac{1}{K} \left[ E \{ v_1 v_2^* \} E \{ v_1^* v_2 \} + E \{ v_1 v_1^* \} E \{ v_2^* v_2 \} \right. \\ &\quad \left. + \cancel{E \{ v_1 v_2 \} E \{ v_2^* v_1^* \}} - |E \{ v_1 v_2^* \}|^2 \right] \\ &= \frac{1}{K} E \{ v_1 v_1^* \} E \{ v_2^* v_2 \} \\ &= \frac{1}{K} [S(h) + N]^2 = \frac{S^2(h)}{K} \left[ 1 + \frac{1}{S(h)/N} \right]^2 \end{aligned}$$

# Double Pulse Experiment with Self-Clutter

With self-clutter (single polarization):

$$v_1 = s(h; t + \tau) + s\left(h + \frac{c\tau}{2}; t + \frac{\tau}{2}\right) + n(t + \tau)$$

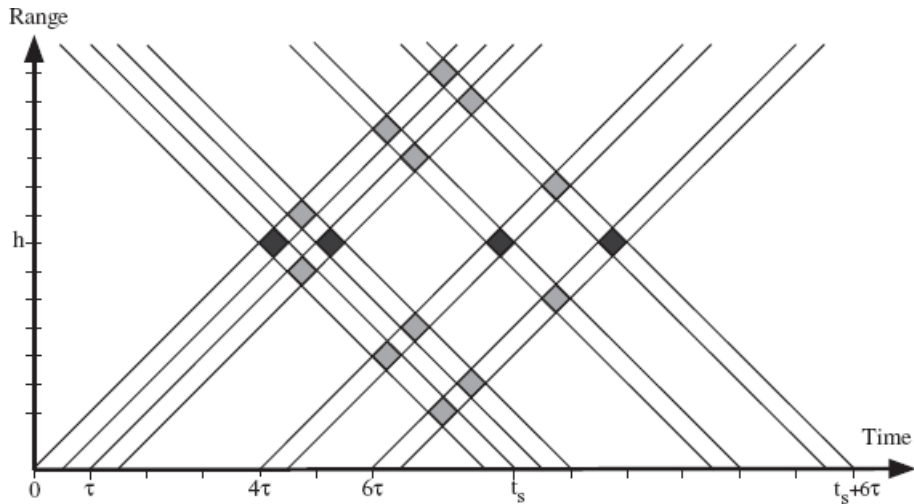
$$v_2 = s(h; t) + s\left(h - \frac{c\tau}{2}; t + \frac{\tau}{2}\right) + n(t)$$

$$\hat{R}(\tau) = \frac{1}{K} \sum_{i=0}^{K-1} v_{i1} v_{i2}^* \quad E\{\hat{R}(\tau)\} = E\{v_1 v_2^*\} = R(h; \tau)$$

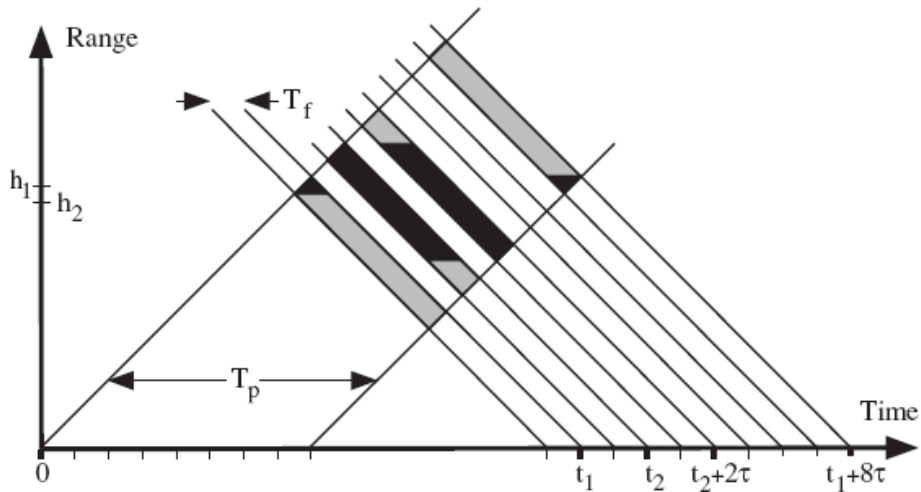
$$\begin{aligned} \text{Var}\{\hat{R}(\tau)\} &= \frac{1}{K} E\{v_1 v_1^*\} E\{v_2^* v_2\} \\ &= \frac{1}{K} \left[ S(h) + s\left(h + \frac{c\tau}{2}\right) + N \right] \left[ S(h) + s\left(h - \frac{c\tau}{2}\right) + N \right] \end{aligned}$$

Signal-to-Noise ratio  $\rightarrow$  Signal-to-(Noise+Clutter) ratio

# Multipulse Experiments



# Uncoded Long Pulse



# Amplitude Ambiguity Function

After transmitting a pulse envelope  $s(t)$ , the scattered signal is

$$x(t) = \int d^3\mathbf{r} e^{j\mathbf{k}\cdot\mathbf{r}} s\left(t - \frac{2r}{c}\right) \Delta N_e\left(\mathbf{r}, t - \frac{r}{c}\right)$$

The receiver records a filtered and sampled version of the scattered signal

$$\begin{aligned} y(t_s) &= \int dt x(t) h^*(t_s - t) \\ &= \int dt d^3\mathbf{r} e^{j\mathbf{k}\cdot\mathbf{r}} s\left(t - \frac{2r}{c}\right) \Delta N_e\left(\mathbf{r}, t - \frac{r}{c}\right) h^*(t_s - t) \end{aligned}$$

Define the **amplitude ambiguity function**

$$\begin{aligned} W_{t_s} &\equiv s\left(t - \frac{2r}{c}\right) h^*(t_s - t) \\ y(t_s) &= \int dt d^3\mathbf{r} e^{j\mathbf{k}\cdot\mathbf{r}} W_{t_s}(t, r) \Delta N_e\left(\mathbf{r}, t - \frac{r}{c}\right) \end{aligned}$$

# Range-Lag Ambiguity Function

When we form ACFs, we take products of samples and average:

$$\langle y(t_{s2}) y^*(t_{s1}) \rangle = \int dt_1 dt_2 d^3 \mathbf{r}_1 d^3 \mathbf{r}_2 e^{j\mathbf{k} \cdot (\mathbf{r}_2 - \mathbf{r}_1)} \\ \left\langle \Delta N_e \left( \mathbf{r}_2, t_2 - \frac{r_2}{c} \right) \Delta N_e^* \left( \mathbf{r}_1, t_1 - \frac{r_1}{c} \right) \right\rangle \\ W_{ts2}(t_2, r_2) W_{ts1}^*(t_1, r_1)$$

Change variables  $t_1 = t$   $t_2 = t + \tau$   $\mathbf{r}_1 = \mathbf{r}$   $\mathbf{r}_2 = \mathbf{r} + \mathbf{r}'$

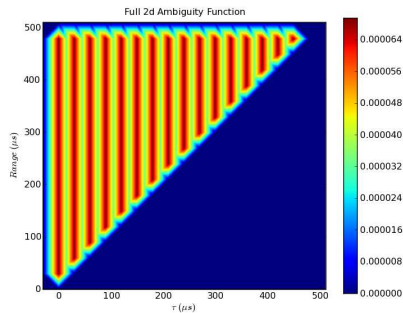
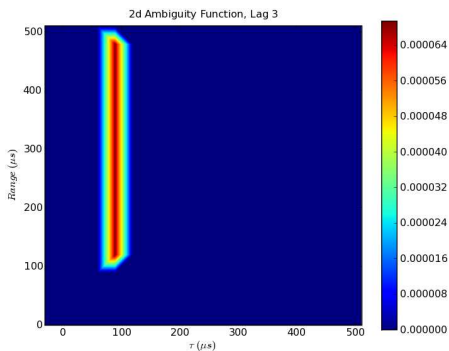
Perform  $\mathbf{r}'$  integral and take expected value

$$\langle y(t_{s2}) y^*(t_{s1}) \rangle = \int d\tau d^3 \mathbf{r} R(\mathbf{k}, \tau, \mathbf{r}) \underbrace{\int dt W_{ts2}(t + \tau, r) W_{ts1}^*(t, r)}_{W_{ts1, ts2}(\tau, r)}$$

The measured lag-product is the ISR ACF we want  $R(\mathbf{k}, \tau, \mathbf{r})$  blurred the the **range-lag ambiguity function**  $W_{ts1, ts2}(\tau, r)$

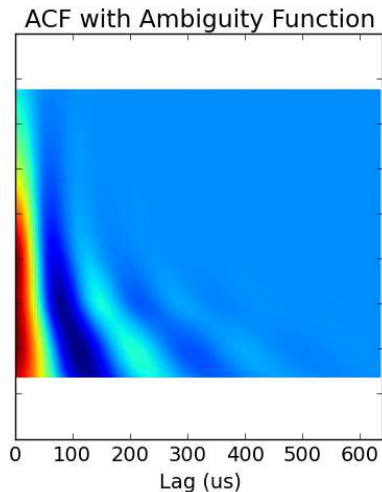
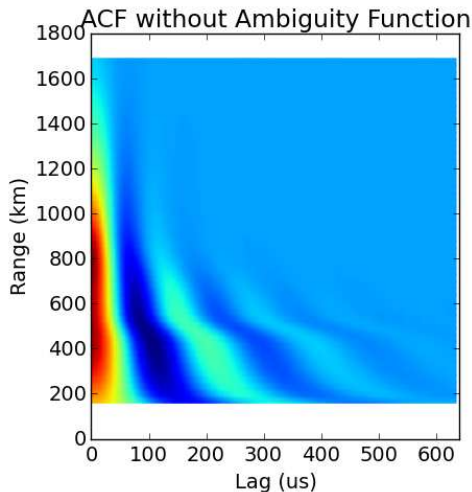
# 2-D Range-lag Ambiguity Function of Long Pulse

Ambiguity function with a boxcar filter. 480  $\mu\text{s}$  long pulse, 30  $\mu\text{s}$  sampling.



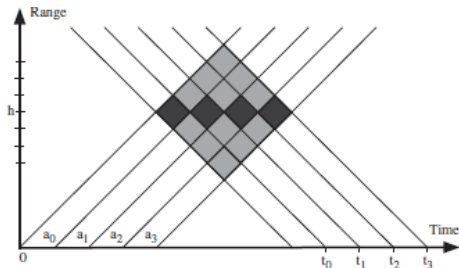
# Theoretical Long Pulse Examples

A particular exaggerated example using 1.5 ms long pulses and a profile with a sharp  $T_e$  gradient at 500 km.





# Random Codes and Alternating Codes



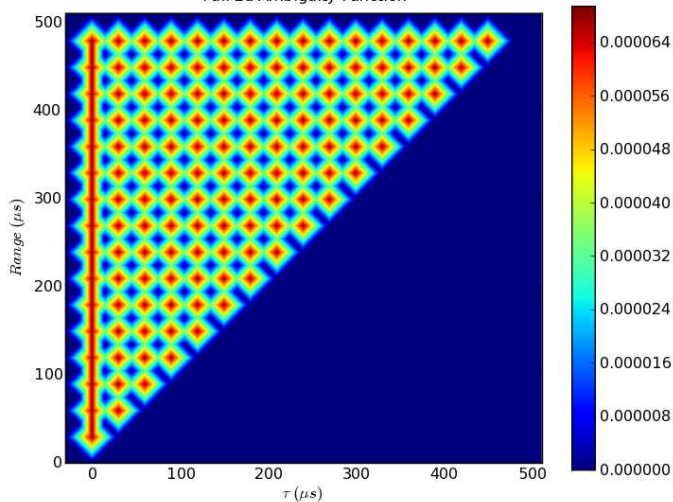
$$a_0 a_1 v_0 v_1^* = a_0 (a_0 s_h^t + a_1 s_{h-1}^{t+\frac{1}{2}} + a_2 s_{h-2}^{t+1} + a_3 s_{h-3}^{t+\frac{3}{2}}) \times \\ a_1 (a_0 s_{h+1}^{t+\frac{1}{2}} + a_1 s_h^{t+1} + a_2 s_{h-1}^{t+\frac{3}{2}} + a_3 s_{h-2}^{t+2})^*$$

$$E \{ a_0 a_1 v_0 v_1^* \} = E \{ s_h^t s_h^{*t+1} \} + a_0 a_2 E \left\{ s_{h-1}^{t+\frac{1}{2}} s_{h-1}^{*t+\frac{3}{2}} \right\} \\ + a_0 a_1 a_2 a_3 E \{ s_{h-2}^{t+1} s_{h-2}^{*t+2} \}$$

# Range-lag Ambiguity Function of Alternating Codes

Ambiguity function for a boxcar filter.  $480 \mu\text{s}$  (16-baud,  $30 \mu\text{s}$  baud, 32 pulse).

Full 2d Ambiguity Function



# Canonical ISR Experiments

- D-region: Underspread pulse-to-pulse processing
- Perpendicular to  $\mathbf{B}$  drifts (Jicamarca): Underspread pulse-to-pulse processing
- E-region: Alternating codes, overspread processing
- F-region and topside: Uncoded long pulse, overspread processing

Active area of research: Combination modes that compute lags both within the pulse (overspread) and pulse-to-pulse (underspread) in order to estimate the properties of the D-, E-, and F-regions simultaneously.