



Introduction to Satisfiability Solving with Practical Applications

Niklas Een

SAT solvers

Inner workings

The SAT problem

A **literal** p is a variable x or its negation $\neg x$.

A **clause** C is a disjunction of literals: $x_2 \vee \neg x_{41} \vee x_{15}$

A **CNF** is a conjunction of clauses:

$$(x_2 \vee \neg x_{41} \vee x_{15}) \wedge (x_6 \vee \neg x_2) \wedge (x_{31} \vee \neg x_{41} \vee \neg x_6 \vee x_{156})$$

The **SAT-problem** is:

- Find a boolean assignment
- such that each clause has a true literal

First problem shown to be NP-complete (1971)

What's a clause?

A clause of size n can be viewed as n propagation rules:

$$a \vee b \vee c$$

is equivalent to:

$$(\neg a \wedge \neg b) \rightarrow c$$

$$(\neg a \wedge \neg c) \rightarrow b$$

$$(\neg b \wedge \neg c) \rightarrow a$$

Example: Consider the constraint

$$t = \text{AND}(x, y)$$

$$\begin{aligned}x=0 &\rightarrow t=0 \\y=0 &\rightarrow t=0 \\x=1 \text{ and } y=1 &\rightarrow t=1\end{aligned}$$

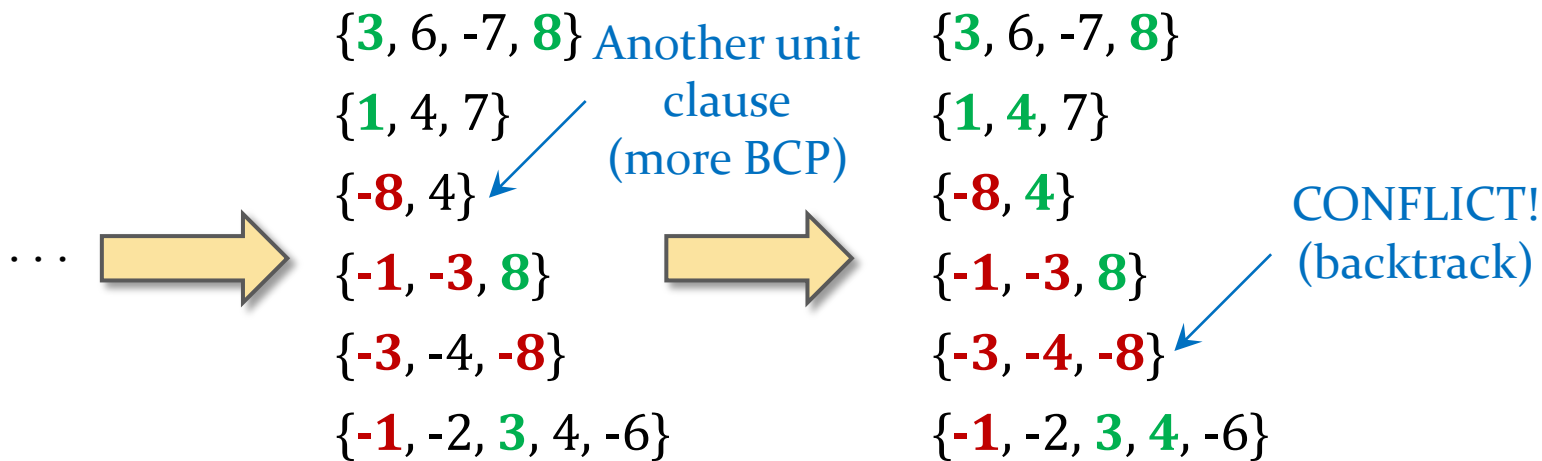
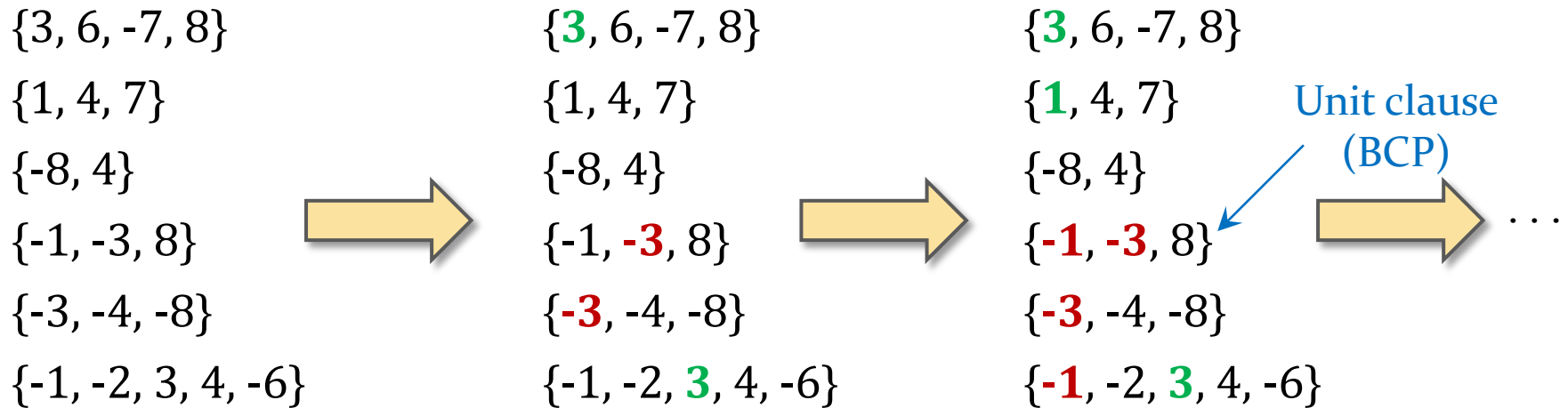
$$\begin{aligned}\neg x &\rightarrow \neg t \\ \neg y &\rightarrow \neg t \\ x \wedge y &\rightarrow t\end{aligned}$$

$$\begin{aligned}\{x, \neg t\} \\ \{y, \neg t\} \\ \{\neg x, \neg y, t\}\end{aligned}$$



$$\neg t \wedge y \rightarrow \neg x$$

Example



Search Components

Decision heuristic

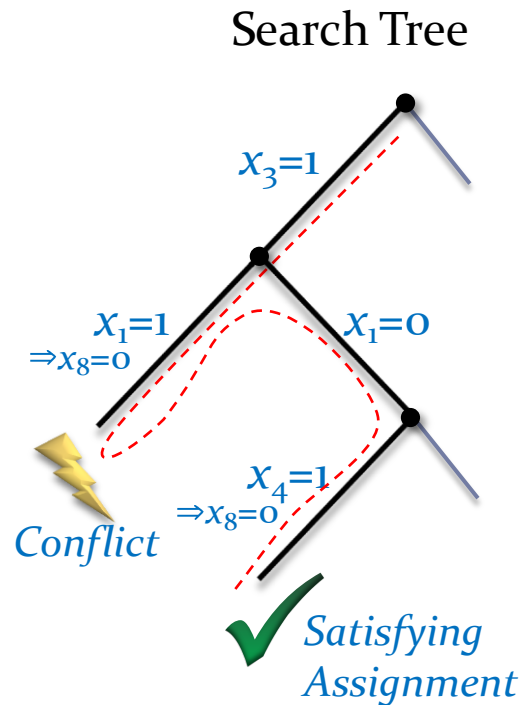
Propagation Static ($x_1, x_2, x_3 \dots$)

Backtracking

- State based
 - Shortest non-satisfied clause, most common literal etc.
- History based
 - Pick variables that lead to conflicts in the past.

Propagation

Backtracking



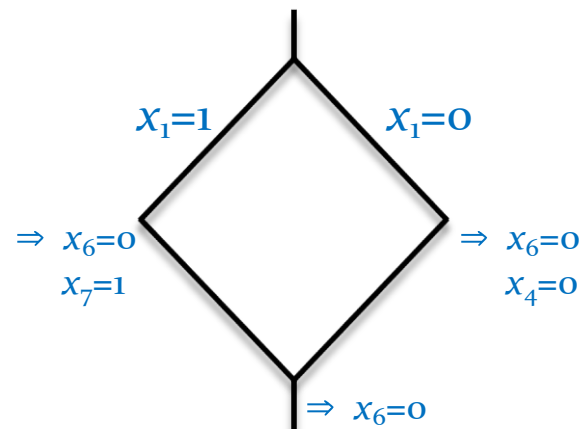
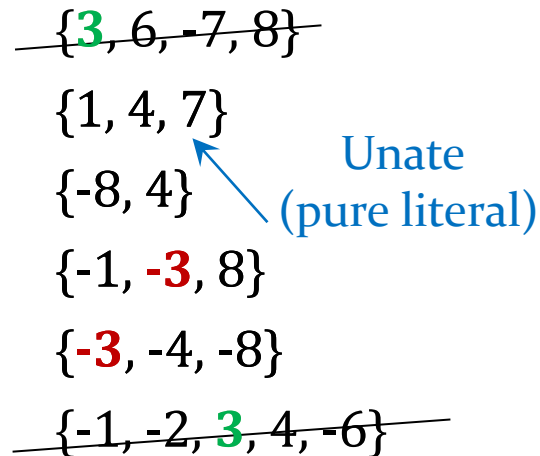
Search Components

Decision heuristic

Propagation

- Unit propagation ("BCP")
- Unate propagation
- Probing/Dilemma
- Equivalence classes

Backtracking



Search Components

Decision heuristic

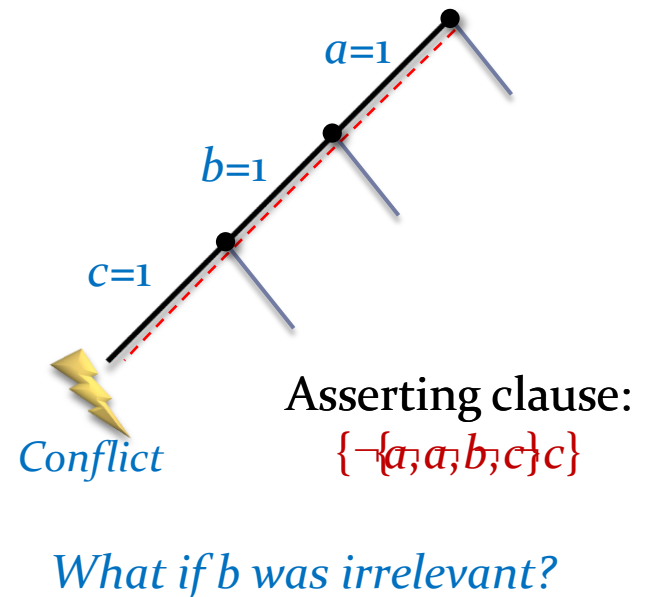
Propagation

Backtracking

- Flip last decision
(standard recursive backtracking)
- Conflict analysis:
 - Learn an *asserting clause*
 - [...]

May be expressed in any variables, not just decisions.
Must have only *one* variable from the last decision level.

```
dp11(assign){  
  "do BCP";  
  if "conflict": return FALSE;  
  if "complete assign": return TRUE;  
  "pick decision variable x";  
  return dp11(assign[x=0])  
    || dp11(assign[x=1]);  
}
```



Search Components

Decision heuristic

Propagation

Backtracking

- Flip last decision
(standard recursive backtracking)
- Conflict analysis:
 - Learn an asserting clause
 - Backjumping
 - No recursion
 - Can be viewed as a resolution strategy, guided by conflicts.
 - Together with *variable activity*, most important innovation.

```
dpll(assign){  
  "do BCP";  
  if "conflict": return FALSE;  
  if "complete assign": return TRUE;  
  "pick decision variable x";  
  return dpll(assign[x=0])  
    || dpll(assign[x=1]);  
}
```

```
forever{ - CDCL procedure  
  "do BCP"  
  if "no conflict":  
    if "complete assign": return TRUE;  
    "pick decision x=0 or x=1";  
  else:  
    if "at top-level": return FALSE;  
    "analyze conflict"  
    "undo assignments"  
    "add conflict clause"  
}
```

Conflict Analysis – Graph View

Conflicting clause:

$\{\neg x_{10587}, \neg x_{10592}, \neg x_{10588}\}$

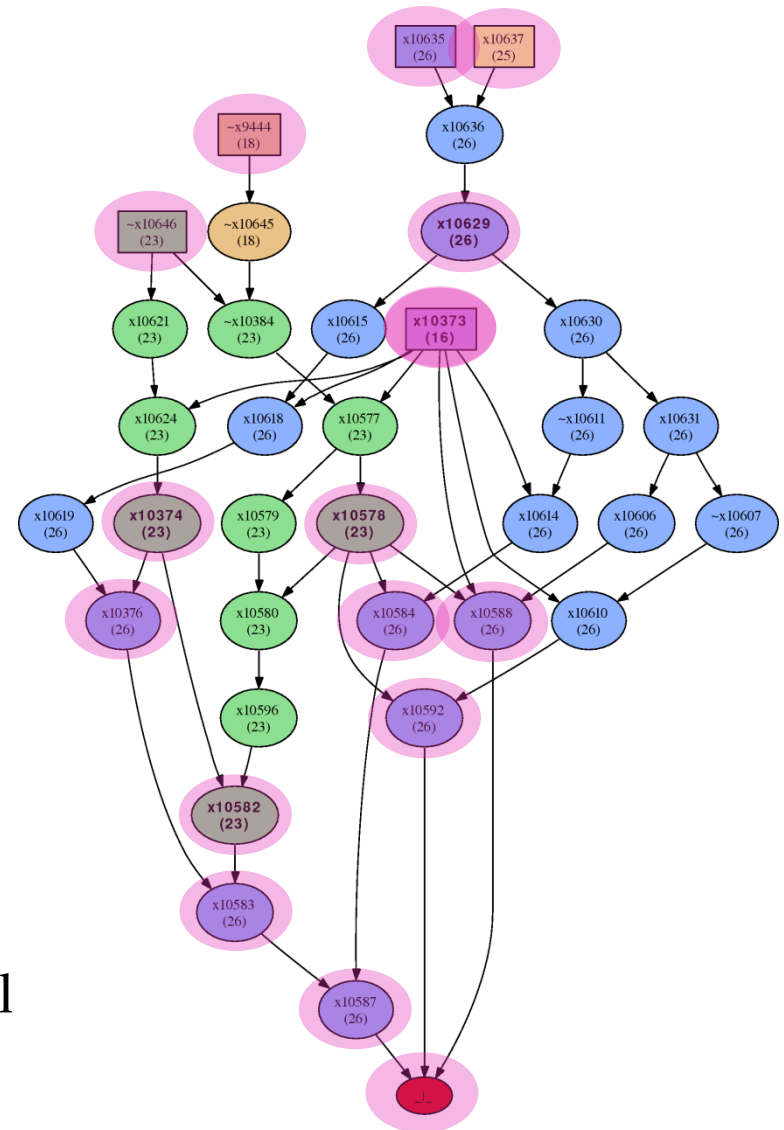
One option:

- Trace back to decision variables
- Would learn:

$\{x_{10646}, x_{9444}, \neg x_{10373}, \neg x_{10635}, \neg x_{10637}\}$

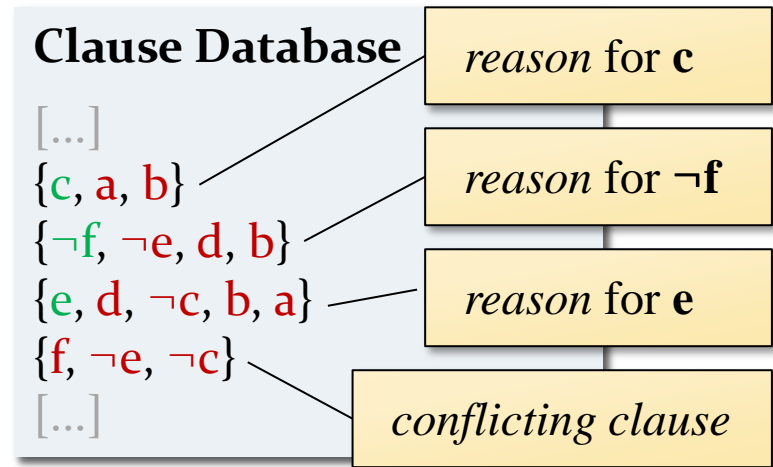
Other option:

- Stop earlier
- Asserting if only one literal left at the highest decision level
- Keep expanding nodes from that level



Conflict Analysis – Resolution View

Decision	Implications
$\neg a$	–
$\neg b$	c
$\neg d$	e, $\neg f$



start with the conflicting clause resolve with reason of last assigned literal

{f, $\neg e$, $\neg c$ } { $\neg f$, $\neg e$, d, b}

keep resolving until only one literal of last decision level

resolve on f

{ $\neg e$, d, $\neg c$, b} {e, d, $\neg c$, b, a}

Conflict Clause Minimization:
Continue to resolve if result is a strict subset

resolve on e

{d, $\neg c$, b, a}

Done! or not?

Resolution:
{x, A} res. { $\neg x$, B} = {A, B}

blue = last decision level

Variable Activity

The VSIDS activity heuristic:

- Bump literals of the learned (conflict) clause
- Decay by halving activity periodically

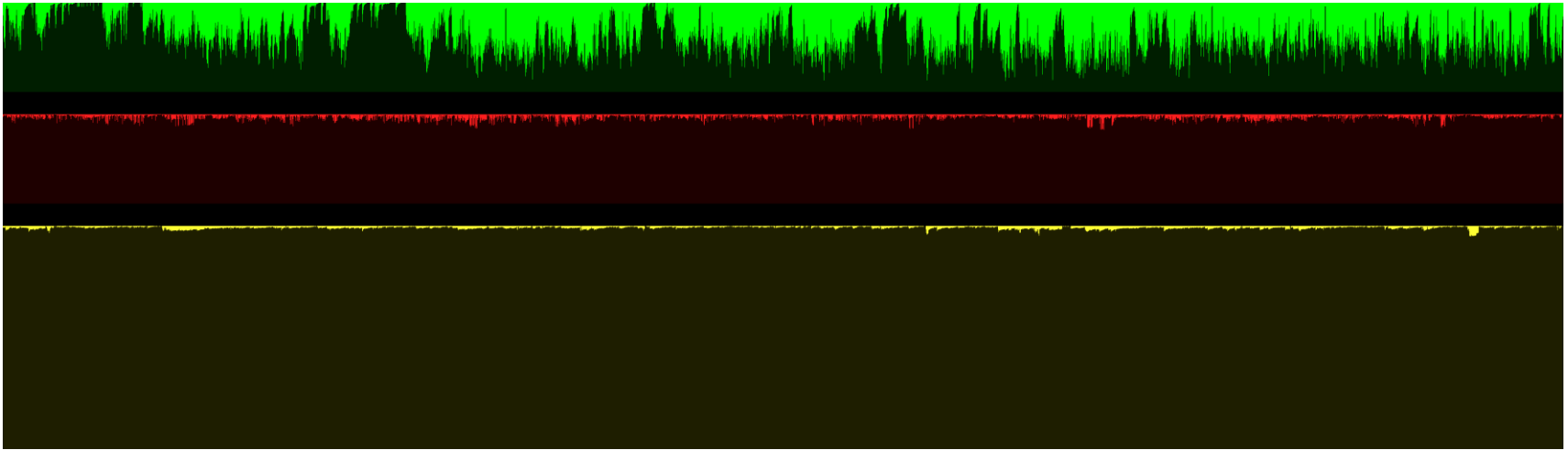
Modified activity heuristic:

- Bump *variables* of *all* clauses participating in analysis
- Decay after each conflict

Effect:

- Give preference to the very latest conflicts (Berkmin/VMTF)
- Longer memory (15000 decays before minimal float value)

Execution of CDCL Solver



Green – Activity of decision variable

Red – Length of learned clause

Yellow – Decision depth when conflict occurred

Other Techniques

Two watched literals

- not moved during backtrack;
- migrate to silent places
- improves with length of clauses
- most BCP in learned clauses (often 90%), which are long

Restarts with polarity memoization

- frequent restarts, except sometimes: 1, 1, 2, 1, 1, 2, 4, 1, 1, 2, 1, 1, 2, 4, 8...
- not real restarts
- compresses assignment stack => more focus on active variables

Conflict-clause deletion

- remove clauses that don't participate in conflict analysis
- handles subsumed clauses better than original scheme (based on length)

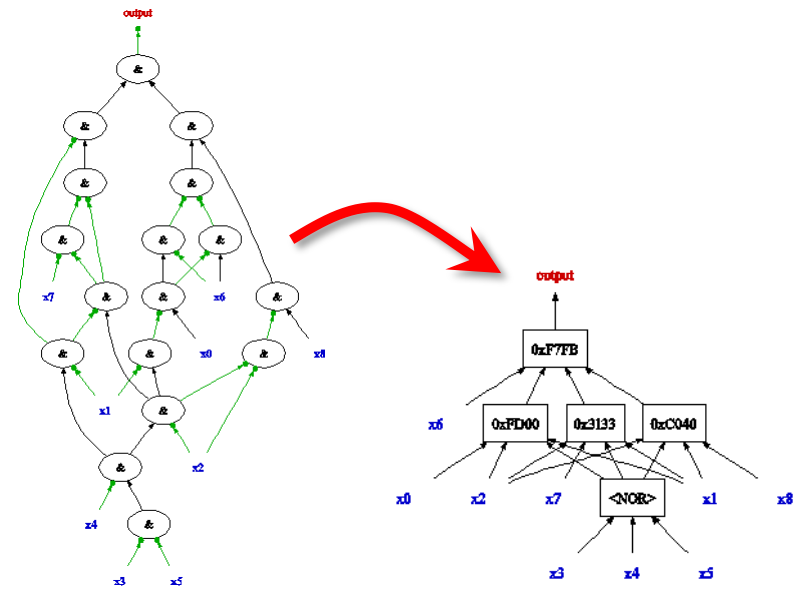
CNF preprocessing

- variable elimination
- subsumption, self-subsuming resolution

Other Techniques (cont.)

Better CNF generation

- If problem on circuit form:
 - Technology mapping for CNF
 - Fanout aware variable elimination
- Certain constraints (e.g. cardinality constraints) have known efficient encodings.



Improvements to incremental SAT

- Domain specific adjustments

Method	Approx. #conflicts (Characteristics)
BMC	100
Interpolation	1,000 (clause deletion, proof logging)
PDR	10,000 (local problems, limited proof logging)
SAT-sweeping	100,000 (local problems)

SAT Research

Practical SAT is an experimental science.

There are three types of papers:

- The conclusion is wrong.
- The conclusion is correct, but not for the stated reasons.
- The conclusion is correct, the stated reasons are valid, but the experimental data does not support it.

Benchmark	1C1	2C1	4C1	8C1
1dlx_c_bp_f	8.26	4.38	2.25	1.1
1dlx_c_ex_bp_u_f	21.86	11.5	6.29	3.25
4pipe	3.12	1.7	0.91	0.49
5pipe	13.3	7.12	3.89	2.04
9vliw_bp_mc	30.64	16.36	8.27	4.62
engine_4.nd	3.85	2.03	1.13	0.68
engine_5.nd_case1	45.61	24.84	13.94	7.62
hanoi5	0.15	0.08	0.04	0.02

It is *hard* to improve the CDCL algorithm.

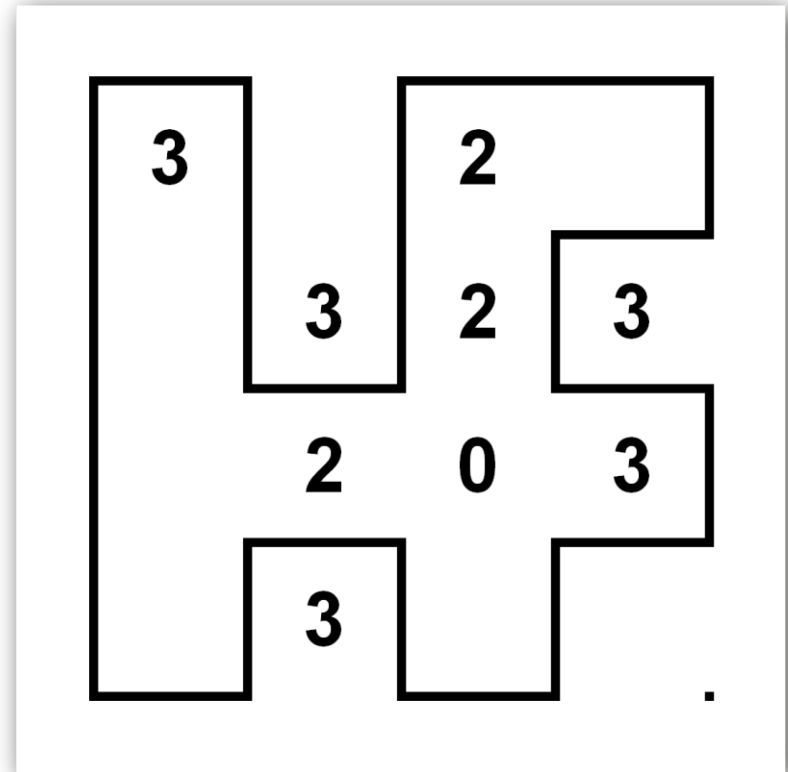
Applying SAT solvers

Solving puzzles

Slither Link

Rules

1. Each number must be surrounded by that many edges.
2. All edges must form a single closed loop.



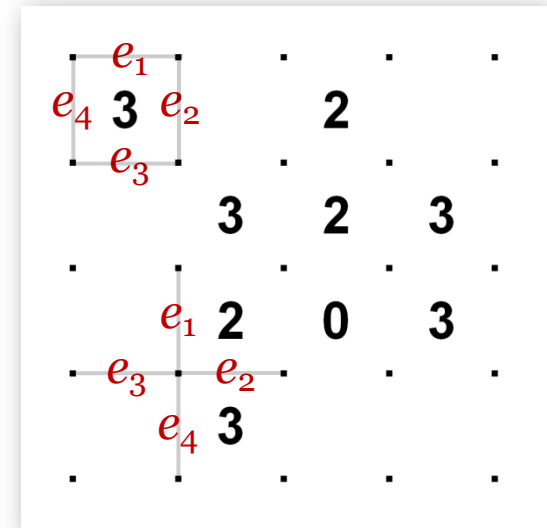
Slither Link

Rules

1. Each number must be surrounded by that many edges.
2. All edges must form a single closed loop.

Constraints

- A. Rule 1 is easily expressed:
- Let e_1, e_2, e_3, e_4 be the edges around a number k .
 - Encode in CNF: $\text{card}(e_1, e_2, e_3, e_4) = k$
- B. An approximation of rule 2 can be enforced locally:
- Every crossing should have either zero or two edges.
 - Encode as: $\text{card}(e_1, e_2, e_3, e_4) = 0 \text{ or } 2$



Example. $k=1$:

$$\{e_1, e_2, e_3, e_4\}, \\ \{\neg e_1, \neg e_2\}, \{\neg e_1, \neg e_3\}, \{\neg e_1, \neg e_4\}, \\ \{\neg e_2, \neg e_3\}, \{\neg e_2, \neg e_4\}, \{\neg e_3, \neg e_4\}$$

Local loop constraint.

$$\{\neg e_1, \neg e_2, \neg e_3\}, \{\neg e_1, \neg e_2, \neg e_4\}, \\ \{\neg e_1, \neg e_3, \neg e_4\}, \{\neg e_2, \neg e_3, \neg e_4\}, \\ \{e_1, e_2, e_3, \neg e_4\}, \{e_1, e_2, \neg e_3, e_4\}, \\ \{e_1, \neg e_2, e_3, e_4\}, \{\neg e_1, e_2, e_3, e_4\}$$

Slither Link (cont.)

Lets run it...

...close, but no cigar.

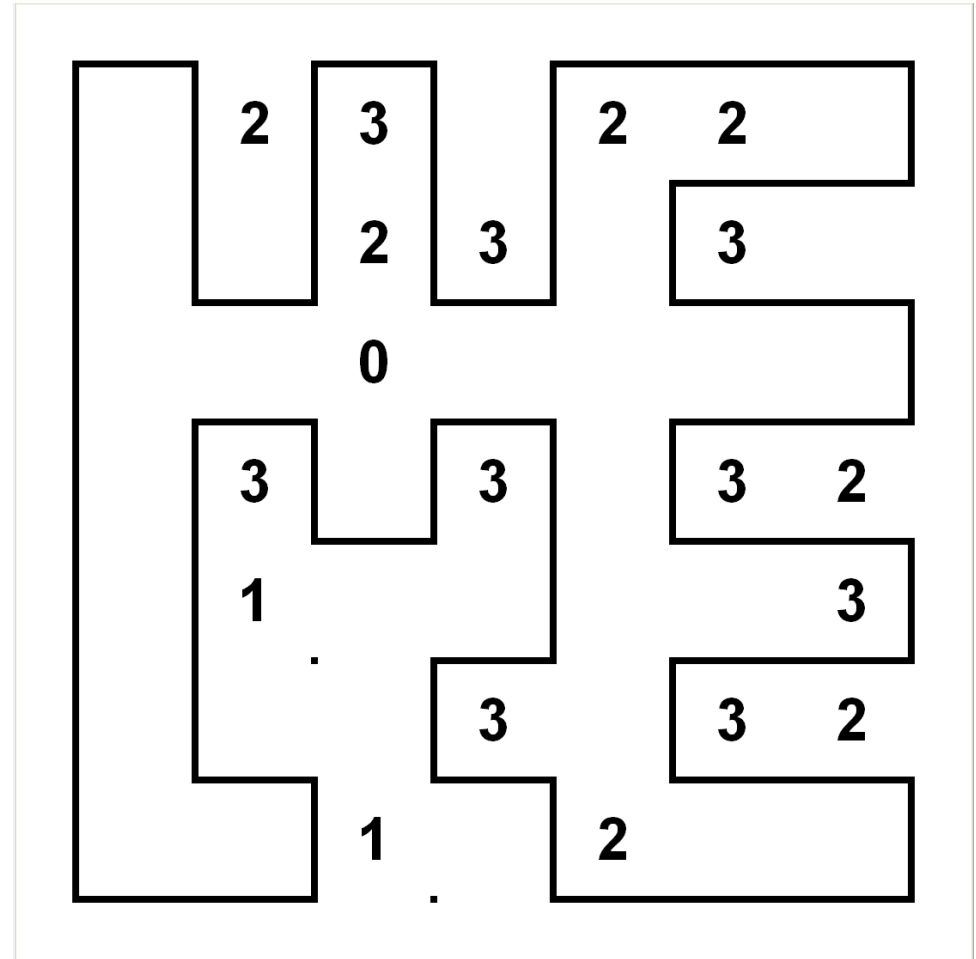
But with a *CEGAR!*

Refine by prohibiting these particular cycles.

Repeat

Repeat

Done!



Slither Link (cont.)

Incremental solution works well for larger sizes too.

Exercise: Formulate a SAT encoding that will solve *Slither Link* non-incrementally (one SAT call only).

```
[leen@turbantad] ~/c/Loopy> r 30x20.lop -fast
| | | | | 13 | | | | | 1 2 | 13 13 | 13 12 | | 1 | | 2 13 | 13 | 13 13 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| 2 2 1 2 | | | | | 12 12 | 12 1 2 | | | 13 | | 13 12 1 | | 1 13 11 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
1 2 2 12 2 | 2 | 2 12 12 | | | | 13 1 | | 1 | | 12 1 | | 2 3 | 13 |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
2 13 11 3 11 | | | | 2 | 11 3 | | | 2 11 | | | 1 | | 1 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| 1 12 | | 1 | 12 13 0 2 | | 12 | 12 | 13 2 12 | 1 | | 1 13 13 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
3 | | | 13 | 12 | | 12 2 | 12 | | 12 | | 12 | | 12 1 | | | 1 2 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| | | | 3 12 | | 12 2 | 2 | 2 | | 12 | 11 2 | 12 2 | | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| | 2 | 13 | | 12 | 12 1 | | 1 13 2 12 1 | 13 | | 11 2 0 2 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| 1 | 2 2 13 13 12 | | | | | 2 | 13 | 3 12 | | 1 12 | | 13 12 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
3 | 13 | | 1 0 | 12 12 | 12 2 | | 12 | | 12 13 13 12 | | 2 12 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| | 2 3 | | 3 | | 11 11 2 | | 3 12 12 | | 11 13 | | 12 |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
2 | | | 2 | | | 13 13 12 | | 1 12 13 | 13 12 | | 12 1 1 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
13 13 12 12 2 | 1 2 | | | 13 1 | 12 1 2 13 1 1 2 | | 12 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| | 2 1 13 13 | | 13 | 3 | | 13 11 2 | | 2 | | | 2 | | 13 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
2 3 | | | 12 12 1 | 12 | 13 1 | | | | | 12 | 12 | | 1 2 |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
13 1 2 | 12 2 12 | 13 12 11 3 | 3 | 12 13 | 12 12 12 | 2 2 2 2 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| 12 | | 11 | | 2 | | 13 11 2 | 12 | | 2 1 1 2 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
13 | 13 | | 1 | 1 13 | 2 | | 12 13 1 | | 1 13 | | | 1 | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
| | | 3 11 3 | | 2 2 | | 12 12 | 12 2 | 13 11 2 1 | 13 11 3 | 12 |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +
0 | 12 1 | 2 12 | 13 | | 13 | | | | | 12 2 | | 2 | | |
+ + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + + +

Iterations: 7
CPU time: 28.0 ms
[leen@turbantad] ~/c/Loopy>
```


Applying SAT solvers

Verification

Incremental SAT

MiniSat API

- void *addClause*(Vec<Lit> **clause**)
- bool *solve*(Vec<Lit> **assumps**)
- bool *readModel*(Var **x**) – for SAT results
- bool *assumpUsed*(Lit **p**) – for UNSAT results

The method *solve()* treats the literals in **assumps** as unit clauses to be temporary assumed during the SAT-solving.

More clauses can be added after *solve()* returns, then incrementally another SAT-solving executed.

Allows for...

Refinement loop

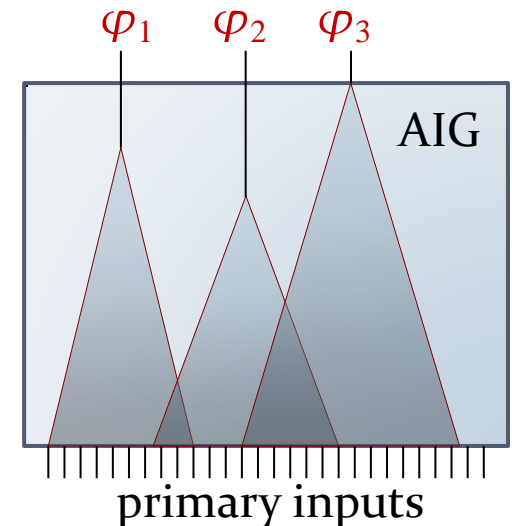
- More clauses can be added with *addClause()*

Restricted clause deletion

- Clauses can be tagged by an **activation literal** "*a*":
 $\{\neg a, p_0, p_1, \dots, p_n\}, \{\neg a, q_0, q_1, \dots, q_m\}, \dots$
- Activated by passing *a* as part of **assumps** to *solve()*
- Deleted by *addClause*({ $\neg a$ })

Poor-mans proof logging

- If we have several sets of clauses A_1, A_2, \dots with different activation literals a_1, a_2, \dots , *assumpUsed()* tells us which sets were used for proving UNSAT
- Also works for output of cones of logic in a circuit

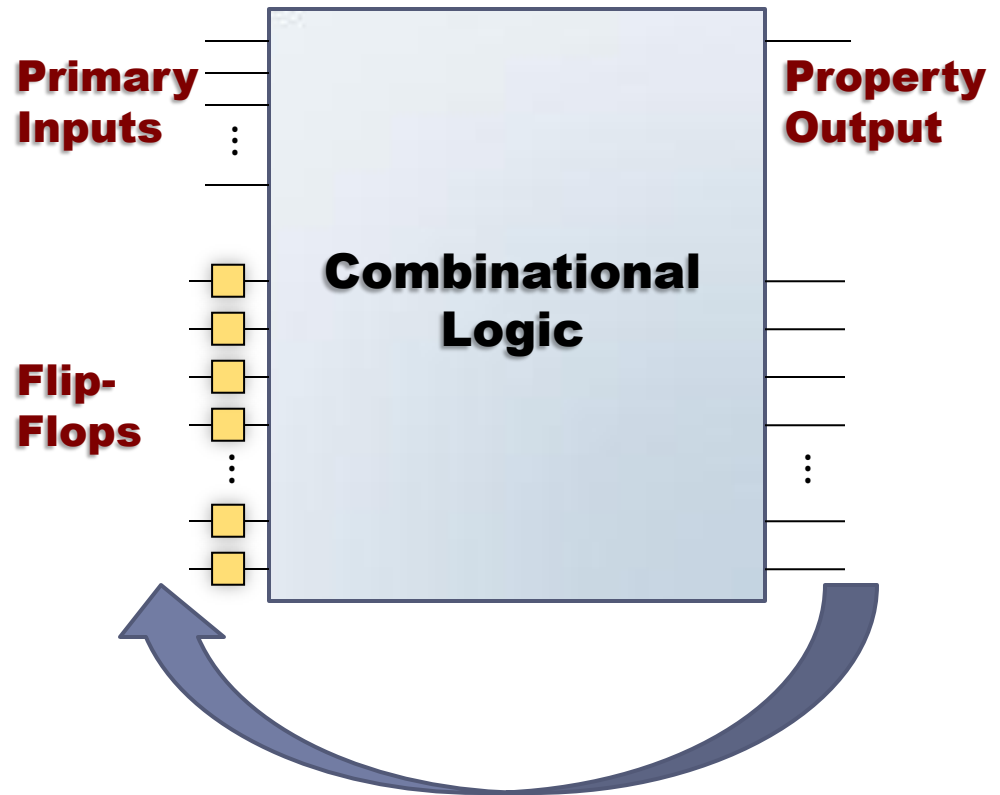


Bit-level Verification

Design is given as a netlist of:

- AND gates
- PIs
- Flops

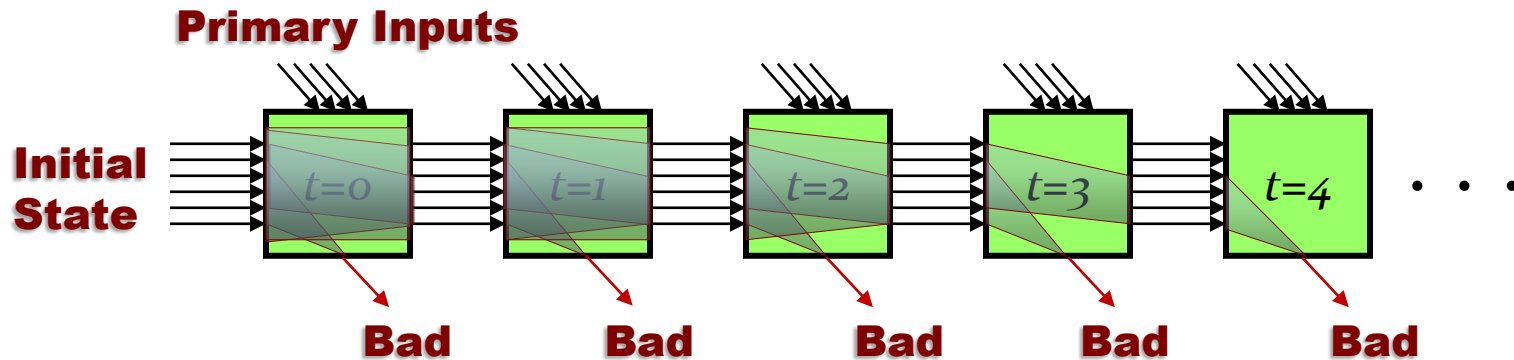
Wires can be complemented. A special output is marked as the *property*.



Bounded Model Checking

Unroll the design for 1, 2, 3, etc. time-frames.

Check if the property can fail in the last frame.



for k in $1..∞$:

$p_{\text{bad}} = \text{CNF}(\text{logic cone of } \text{Bad}_k)$

if ($\text{solve}(\{p_{\text{bad}}\})$)

return CounterExample

$\text{addClause}(\{\neg p_{\text{bad}}\})$

Questions

- Why grow trace "forward"?
- Increase by more than one frame at a time?
- How about SAT preprocessing?
- Better just skip incremental SAT?

Conclusions

- SAT-solvers are implication engines.
- Clauses are the "assembly language" of propositional reasoning.
- Two important techniques of CDCL solvers are:
 - Conflict analysis
 - Variable activity
- Most applications use *incremental SAT* and encode an *abstraction* of the real problem.