

OPENSMT and Applications to Interpolation and Proof Manipulation

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USI Lugano

MIT - June 16, 2011

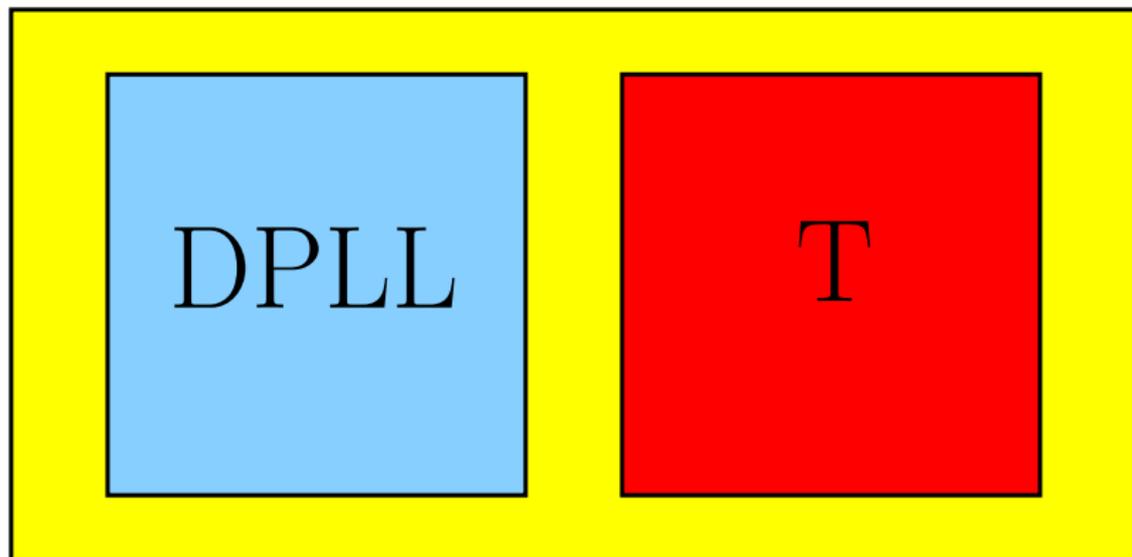
Outline

- 1 The OPENSMT Solver
- 2 Interpolants
- 3 Application to Program Verification
- 4 Computing Interpolants
- 5 Proof Transformation (for interpolation and reduction)

The `OPENSMT` Solver

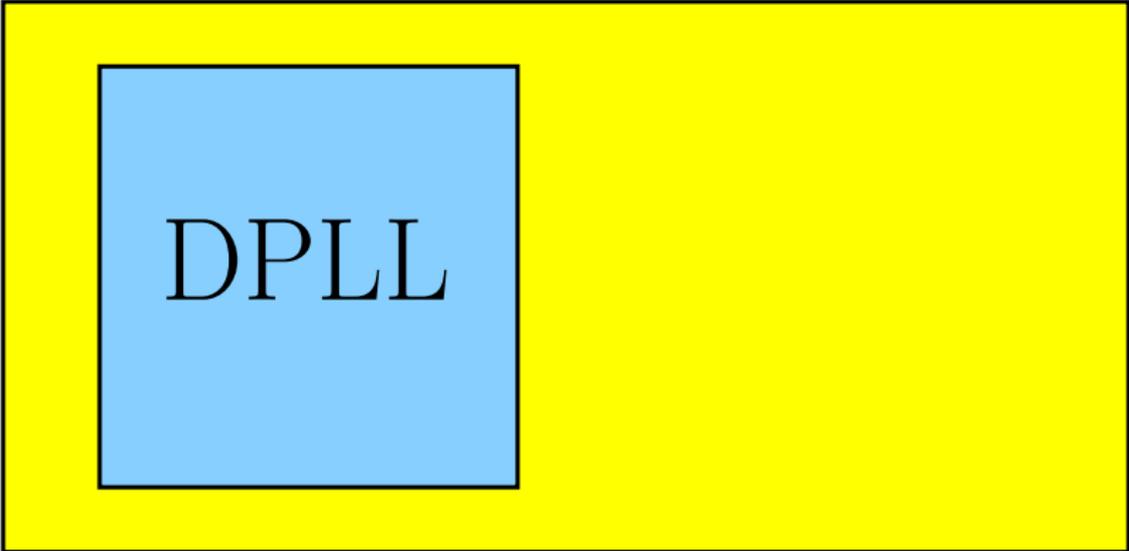
Introduction

$$e(\text{DPLL}(T)) = e(\text{DPLL}) + e(T) + e(\text{COMM})$$



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DPLL

$$e(\text{DPLL}(T)) \approx e(T)$$



Join SMT-COMP !



The OPENSMT Solver

- Open-source solver developed at USI since 2008¹
- Based on MiniSAT, and Efficient (e.g., see SMT-COMP'10)

¹Available at <http://www.verify.usi.ch/opensmt>

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- Coming soon: integration with model-checker MCMT (JWW F.Alberti, S. Ghilardi, S.Ranise)

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Interpolants

Quantifier-free Interpolation

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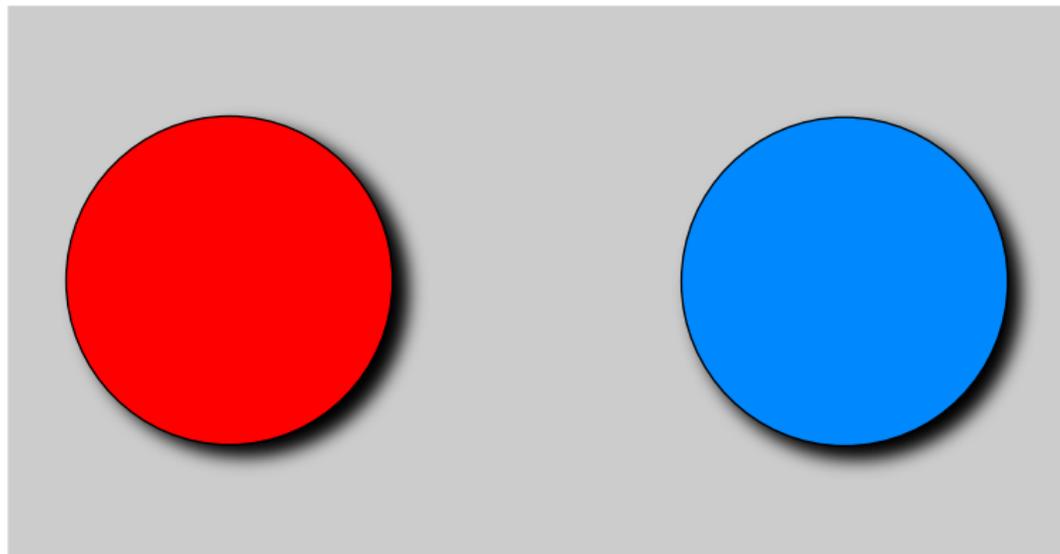
- (i) $T \vdash A \rightarrow I$;
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In short, I is an **overapproximation** of A that is still unsatisfiable with B , and that uses the common language

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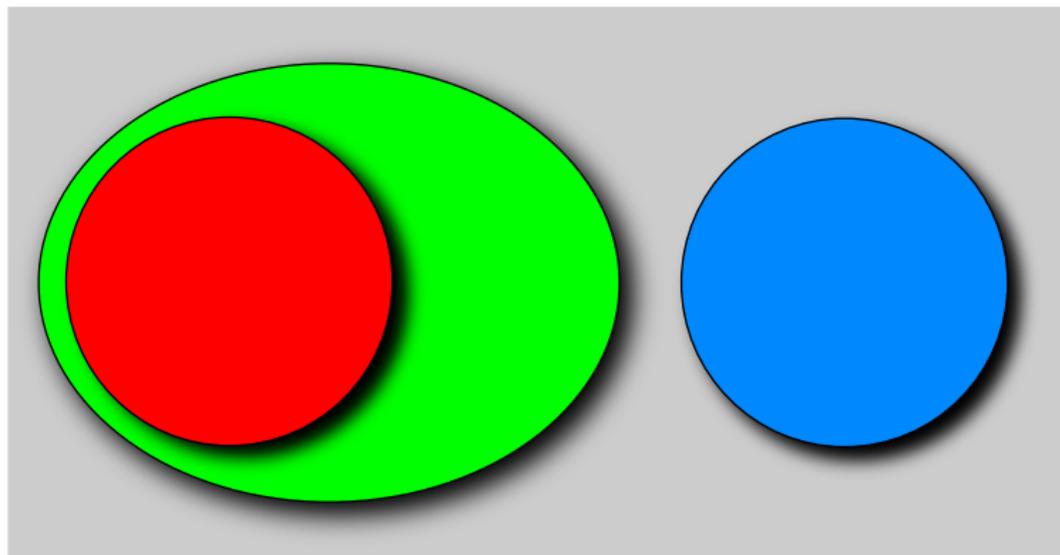
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In general, those theories that admit **Quantifier Elimination**, also admit quantifier-free interpolants

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- `(set-option :produce-interpolants <bool>)`
tells OPENSMT to compute interpolants
- `(assert-partition <formula>)`
tells OPENSMT about a partition
- `(get-interpolant <n>)`
command to retrieve an interpolant

The background of the image is a sunburst pattern consisting of numerous thin, light blue rays radiating outwards from a central point. The rays are arranged in a circular pattern, creating a sense of energy and focus.

**Demo
Time**

Application to Program Verification

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So far we have considered interpolants between two partitions A and B

A more **general definition** involves $n \geq 2$ partitions A_1, \dots, A_n , whose conjunction is unsatisfiable

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A more **general definition** involves $n \geq 2$ partitions A_1, \dots, A_n , whose conjunction is unsatisfiable

Interpolants I_0, \dots, I_n are such that

- (i) $I_0 = \top, I_n = \perp$;
- (ii) $T \vdash (I_k \wedge A_{k+1}) \rightarrow I_{k+1}$;
- (iii) I_k on shared symbols of A_k and A_{k+1} .

For $n = 2$, you get the previous definition for A and B

Application to Program Verification

Lazy Abstraction with Interpolants

Original (Concrete) Program

```
1:  y = x;
2:  while ( x ≥ 1 ) {
3:      x = x - 1;
4:      y = y - 1;
5:  }
6:  if ( y ≥ 1 )
7:      ERROR;
```

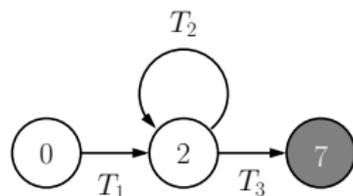
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Control Flow and Transitions



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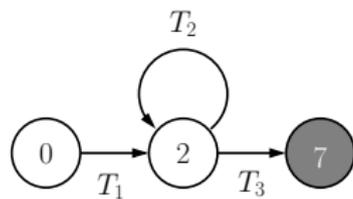
$$T_3: x \leq 0 \wedge y \geq 1 \wedge \begin{cases} x' := x \\ y' := y \end{cases}$$

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(Abstract) Program Unwinding

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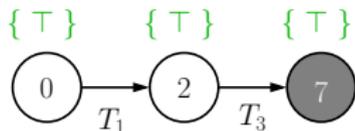
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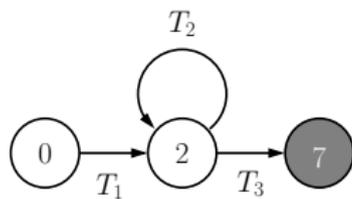
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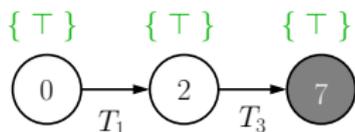
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$x_1 = x_0$

$y_1 = x_0$

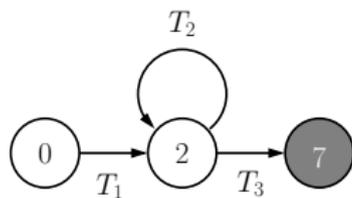
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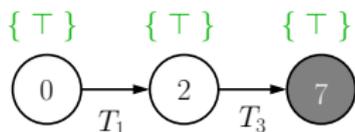
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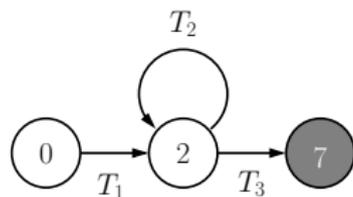
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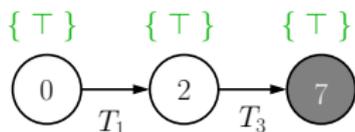
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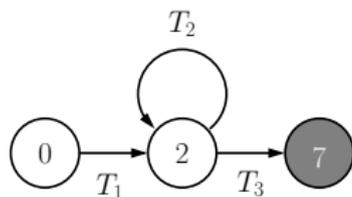
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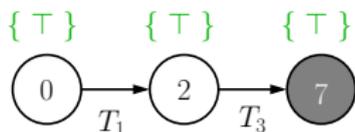
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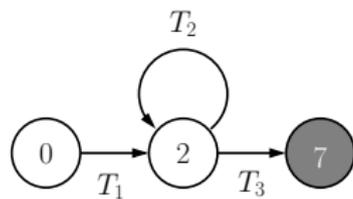
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 $\{ y_1 - x_1 \leq 0 \}$
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 $\{ \perp \}$

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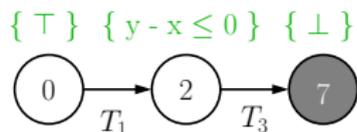
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$y_1 = x_0$

$\{y_1 - x_1 \leq 0\}$

$x_1 \leq 0$

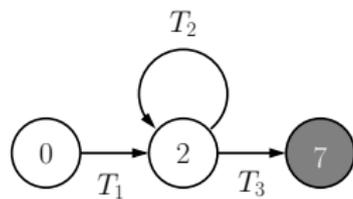
$y_1 \geq 1$

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$\{\perp\}$

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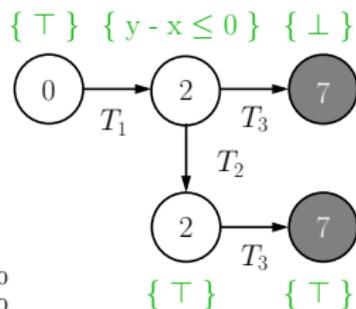
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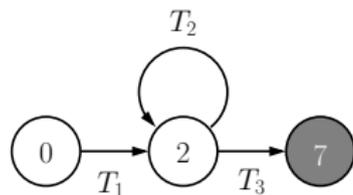
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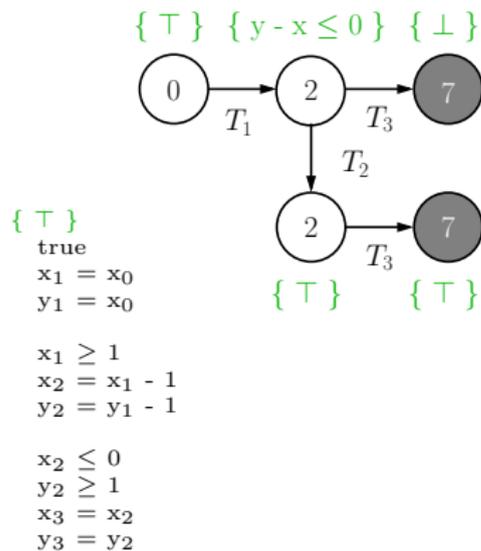
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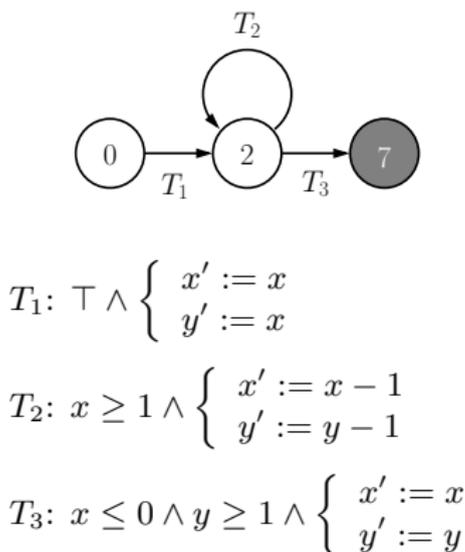
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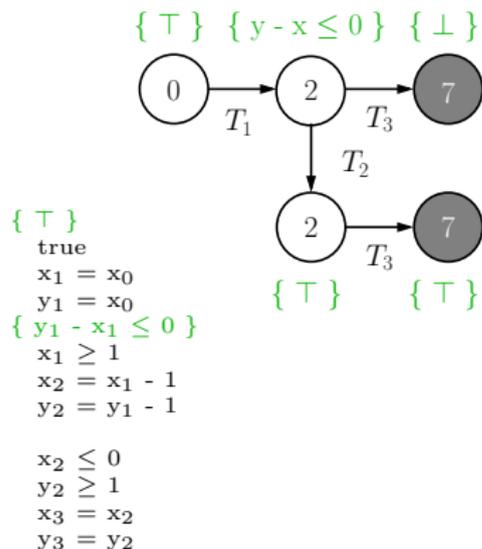
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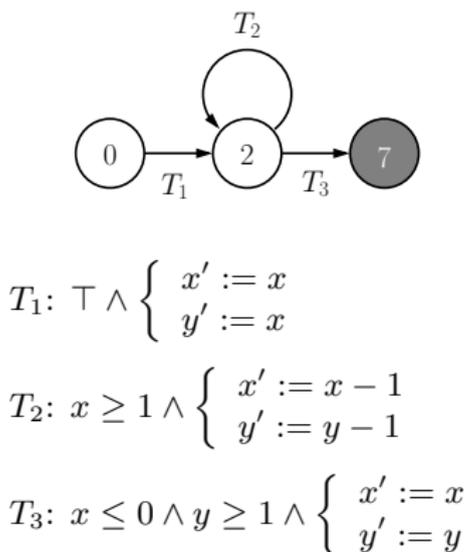
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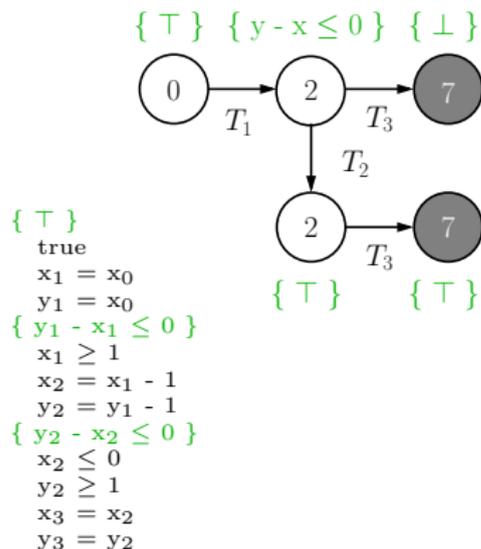
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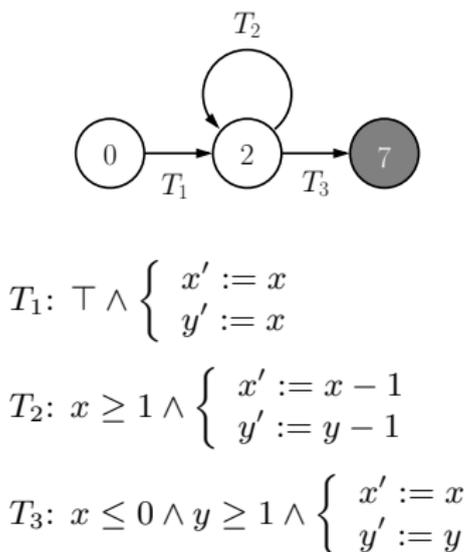
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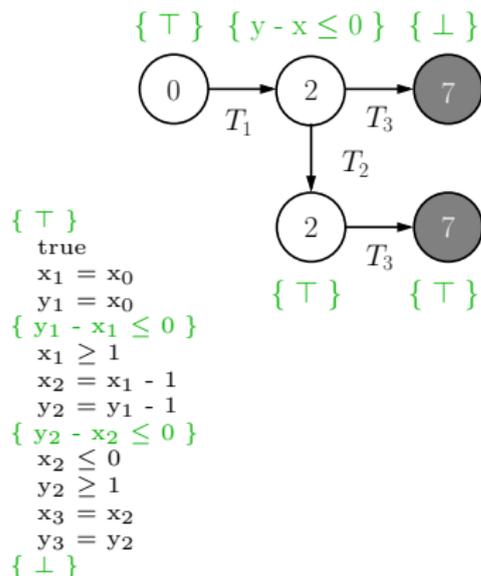
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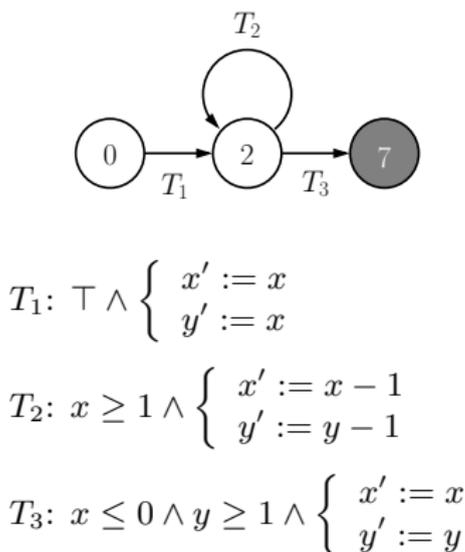
Application to Program Verification

Lazy Abstraction with Interpolants

(Abstract) Program Unwinding



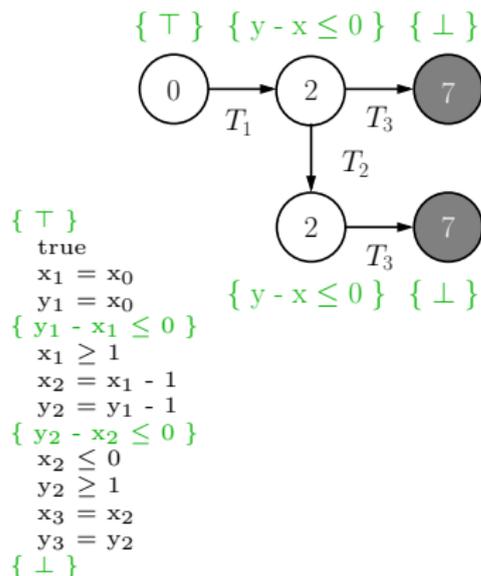
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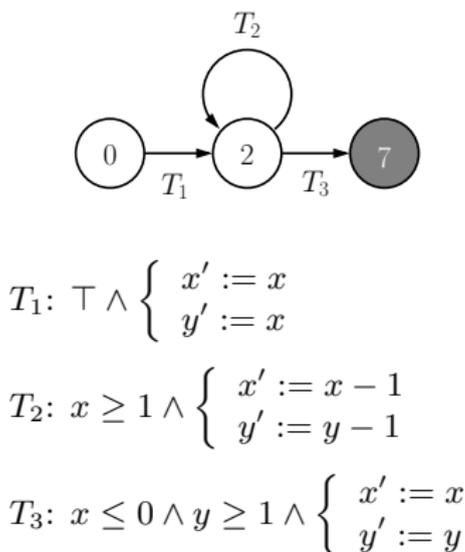
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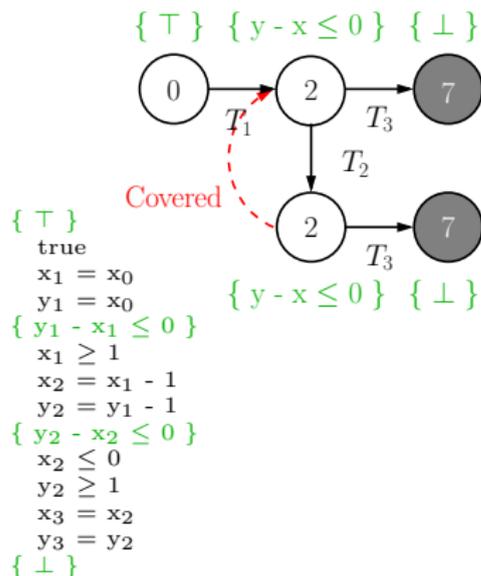
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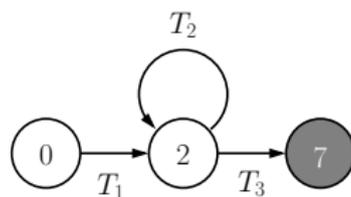
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Control Flow and Transitions



$$T_1: \top \wedge \begin{cases} x' := x \\ y' := x \end{cases}$$

$$T_2: x \geq 1 \wedge \begin{cases} x' := x - 1 \\ y' := y - 1 \end{cases}$$

$$T_3: x \leq 0 \wedge y \geq 1 \wedge \begin{cases} x' := x \\ y' := y \end{cases}$$

The image features a background of radiating lines in two shades of blue, creating a sunburst effect. The lines are evenly spaced and extend from the center towards the edges. In the center of this background, the words "Demo" and "Time" are written in a bold, yellow, sans-serif font. The text is stacked vertically, with "Demo" on top and "Time" below it. Both words have a subtle drop shadow, making them stand out against the blue background.

**Demo
Time**

Computing Interpolants

Interpolants via Quantifier Elimination (QE)

If T admits QE, then an interpolant for $A \wedge B$ can be computed as follows:

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This technique is computationally **too expensive** and is to be avoided

Interpolants Computation in Practice

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	$-2y + 3z \leq 0$	1/2
B	$-\frac{3}{5}x - \frac{3}{2}z \leq -3$	5/3

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(+) Algorithmically precise

(-) Low flexibility

Two-Provers Paradigm

Abstract way of describing interpolation process, detached from any particular calculus or algorithm

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Two identical provers, one for A and one for B cooperate in turns to derive unsatisfiability (similarly to Nelson-Oppen framework). At any step provers either

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γ_1	δ_1
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$A \vdash \gamma_3$

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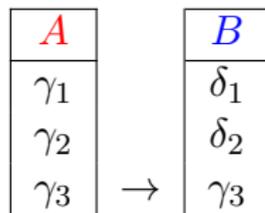
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If γ_3 is on common language

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Interpolant can be computed in backward manner

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Base Cases							
<table border="1"><tr><td><i>A</i></td><td><i>B</i></td></tr><tr><td>...</td><td>...</td></tr><tr><td>⊥</td><td></td></tr></table>	<i>A</i>	<i>B</i>	⊥		
<i>A</i>	<i>B</i>						
...	...						
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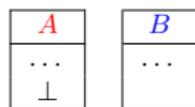
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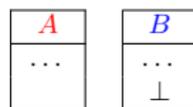
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$$I \equiv \perp$$



$$I \equiv \top$$

Derivations



$$I \equiv I'$$

$$I'$$



$$I \equiv I'$$

$$I'$$

Exchange



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Two-Provers Paradigm

Base Cases																									
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...	...																								
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A	B																								
...	...																								
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Two-Provers Paradigm

Base Cases																									
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A	B																								
...	...																								
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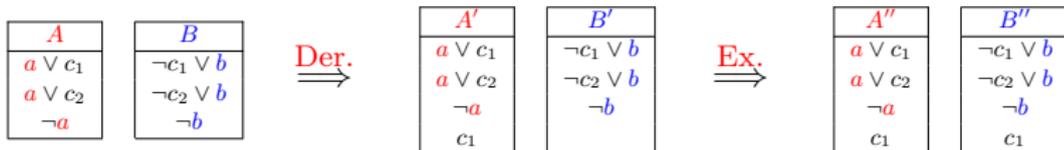
Example - Strategy 1

A	B
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

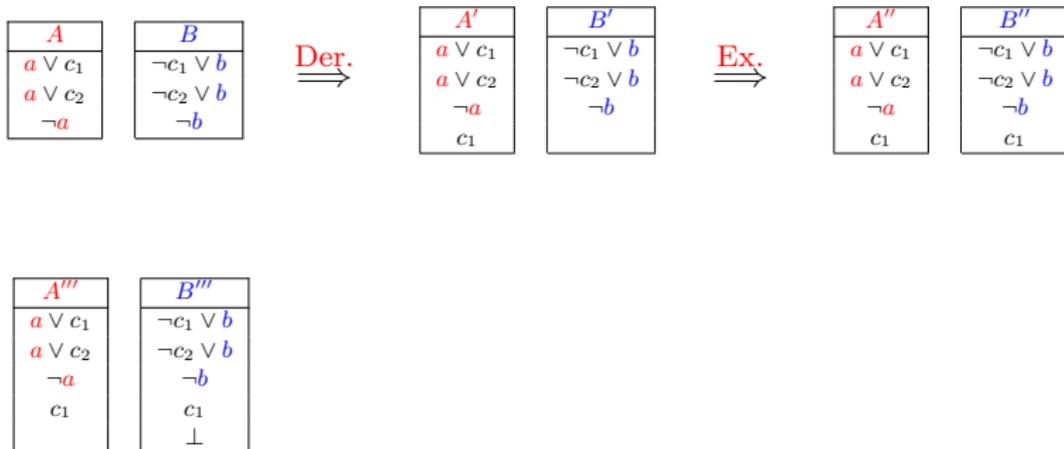
Example - Strategy 1



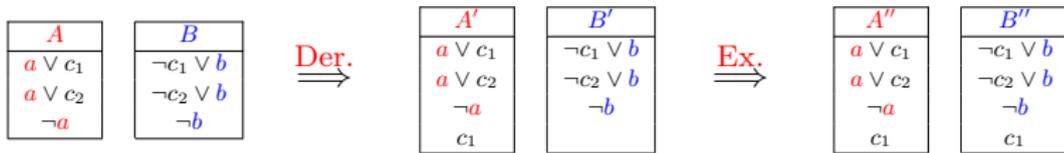
Example - Strategy 1



Example - Strategy 1



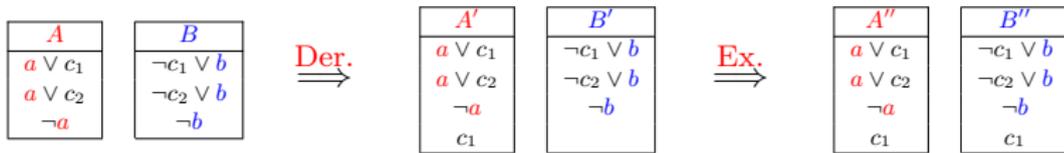
Example - Strategy 1

 $\xRightarrow{\text{Der.}^*}$

A'''	B'''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
	\perp

 $I''' \equiv \top$

Example - Strategy 1



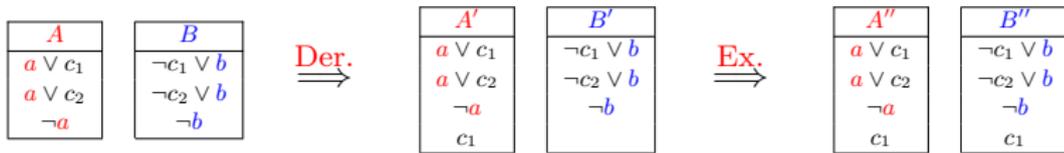
$I'' \equiv \top$

Der.*
 \implies

A'''	B'''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
	\perp

$I''' \equiv \top$

Example - Strategy 1



$$I' \equiv c_1 \wedge \top$$

$$I'' \equiv \top$$

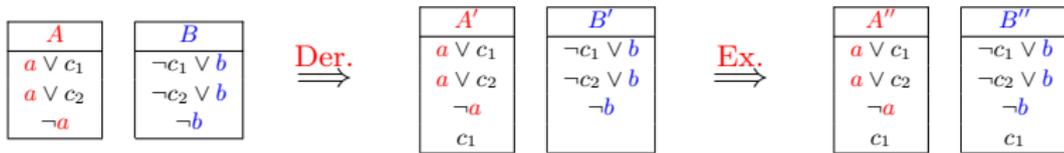
 $\xRightarrow{\text{Der.}^*}$

A'''
$a \vee c_1$
$a \vee c_2$
$\neg a$
c_1

B'''
$\neg c_1 \vee b$
$\neg c_2 \vee b$
$\neg b$
c_1
\perp

$$I''' \equiv \top$$

Example - Strategy 1



$$I \equiv c_1 \wedge \top$$

$$I' \equiv c_1 \wedge \top$$

$$I'' \equiv \top$$

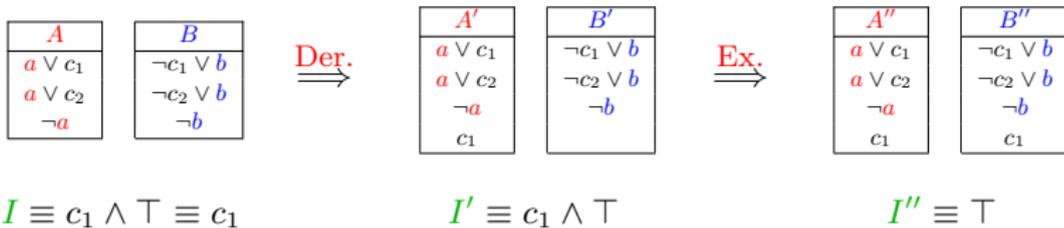
 $\xRightarrow{\text{Der.}^*}$

A'''
$a \vee c_1$
$a \vee c_2$
$\neg a$
c_1

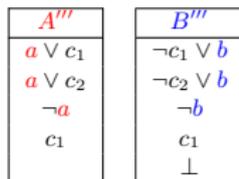
B'''
$\neg c_1 \vee b$
$\neg c_2 \vee b$
$\neg b$
c_1
\perp

$$I''' \equiv \top$$

Example - Strategy 1



$\xRightarrow{\text{Der.}^*}$

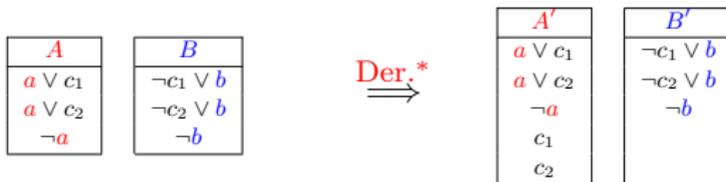


$I''' \equiv \top$

Example - Strategy 2 (Strong interpolant)

<i>A</i>	<i>B</i>
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Example - Strategy 2 (Strong interpolant)



Example - Strategy 2 (Strong interpolant)

A	B	$\xRightarrow{\text{Der.}^*}$	A'	B'
$a \vee c_1$	$\neg c_1 \vee b$		$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$		$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$		$\neg a$	$\neg b$
			c_1	
			c_2	

$\xRightarrow{\text{Ex.}}$

A''	B''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
c_2	c_2

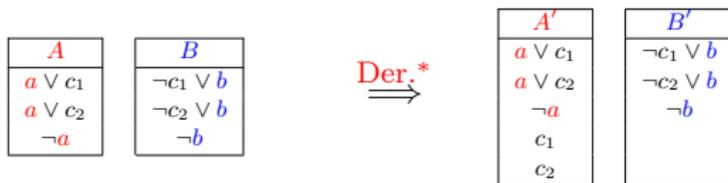
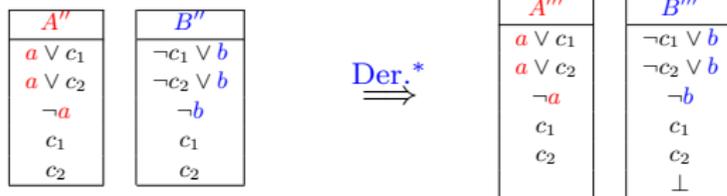
Example - Strategy 2 (Strong interpolant)

<table border="1"><thead><tr><th>A</th></tr></thead><tbody><tr><td>$a \vee c_1$</td></tr><tr><td>$a \vee c_2$</td></tr><tr><td>$\neg a$</td></tr></tbody></table>	A	$a \vee c_1$	$a \vee c_2$	$\neg a$	<table border="1"><thead><tr><th>B</th></tr></thead><tbody><tr><td>$\neg c_1 \vee b$</td></tr><tr><td>$\neg c_2 \vee b$</td></tr><tr><td>$\neg b$</td></tr></tbody></table>	B	$\neg c_1 \vee b$	$\neg c_2 \vee b$	$\neg b$	$\xRightarrow{\text{Der.}^*}$	<table border="1"><thead><tr><th>A'</th></tr></thead><tbody><tr><td>$a \vee c_1$</td></tr><tr><td>$a \vee c_2$</td></tr><tr><td>$\neg a$</td></tr><tr><td>c_1</td></tr><tr><td>c_2</td></tr></tbody></table>	A'	$a \vee c_1$	$a \vee c_2$	$\neg a$	c_1	c_2	<table border="1"><thead><tr><th>B'</th></tr></thead><tbody><tr><td>$\neg c_1 \vee b$</td></tr><tr><td>$\neg c_2 \vee b$</td></tr><tr><td>$\neg b$</td></tr></tbody></table>	B'	$\neg c_1 \vee b$	$\neg c_2 \vee b$	$\neg b$
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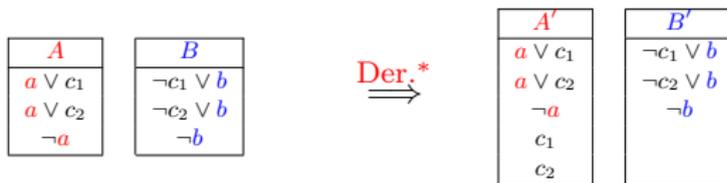
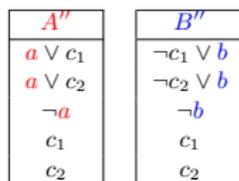
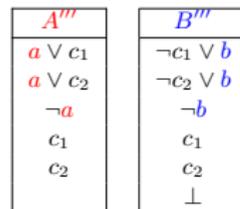
$\xRightarrow{\text{Ex.}}$

<table border="1"><thead><tr><th>A''</th></tr></thead><tbody><tr><td>$a \vee c_1$</td></tr><tr><td>$a \vee c_2$</td></tr><tr><td>$\neg a$</td></tr><tr><td>c_1</td></tr><tr><td>c_2</td></tr></tbody></table>	A''	$a \vee c_1$	$a \vee c_2$	$\neg a$	c_1	c_2	<table border="1"><thead><tr><th>B''</th></tr></thead><tbody><tr><td>$\neg c_1 \vee b$</td></tr><tr><td>$\neg c_2 \vee b$</td></tr><tr><td>$\neg b$</td></tr><tr><td>c_1</td></tr><tr><td>c_2</td></tr></tbody></table>	B''	$\neg c_1 \vee b$	$\neg c_2 \vee b$	$\neg b$	c_1	c_2	$\xRightarrow{\text{Der.}^*}$	<table border="1"><thead><tr><th>A'''</th></tr></thead><tbody><tr><td>$a \vee c_1$</td></tr><tr><td>$a \vee c_2$</td></tr><tr><td>$\neg a$</td></tr><tr><td>c_1</td></tr><tr><td>c_2</td></tr></tbody></table>	A'''	$a \vee c_1$	$a \vee c_2$	$\neg a$	c_1	c_2	<table border="1"><thead><tr><th>B'''</th></tr></thead><tbody><tr><td>$\neg c_1 \vee b$</td></tr><tr><td>$\neg c_2 \vee b$</td></tr><tr><td>$\neg b$</td></tr><tr><td>c_1</td></tr><tr><td>c_2</td></tr><tr><td>\perp</td></tr></tbody></table>	B'''	$\neg c_1 \vee b$	$\neg c_2 \vee b$	$\neg b$	c_1	c_2	\perp
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$\neg c_2 \vee b$																													
$\neg b$																													
c_1																													
c_2																													
\perp																													

Example - Strategy 2 (Strong interpolant)


 $\xRightarrow{\text{Ex.}}$

 $I''' \equiv \top$

Example - Strategy 2 (Strong interpolant)


 \Rightarrow
Ex.

 $I'' \equiv \top$
 \Rightarrow
Der.*

 $I''' \equiv \top$

Example - Strategy 2 (Strong interpolant)

<i>A</i>	<i>B</i>
<i>a</i> ∨ <i>c</i> ₁	¬ <i>c</i> ₁ ∨ <i>b</i>
<i>a</i> ∨ <i>c</i> ₂	¬ <i>c</i> ₂ ∨ <i>b</i>
¬ <i>a</i>	¬ <i>b</i>

$\xRightarrow{\text{Der.}^*}$

<i>A'</i>	<i>B'</i>
<i>a</i> ∨ <i>c</i> ₁	¬ <i>c</i> ₁ ∨ <i>b</i>
<i>a</i> ∨ <i>c</i> ₂	¬ <i>c</i> ₂ ∨ <i>b</i>
¬ <i>a</i>	¬ <i>b</i>
<i>c</i> ₁	
<i>c</i> ₂	

$$I' \equiv c_1 \wedge c_2 \wedge \top$$

 $\xRightarrow{\text{Ex.}}$

<i>A''</i>	<i>B''</i>
<i>a</i> ∨ <i>c</i> ₁	¬ <i>c</i> ₁ ∨ <i>b</i>
<i>a</i> ∨ <i>c</i> ₂	¬ <i>c</i> ₂ ∨ <i>b</i>
¬ <i>a</i>	¬ <i>b</i>
<i>c</i> ₁	<i>c</i> ₁
<i>c</i> ₂	<i>c</i> ₂

$\xRightarrow{\text{Der.}^*}$

<i>A'''</i>	<i>B'''</i>
<i>a</i> ∨ <i>c</i> ₁	¬ <i>c</i> ₁ ∨ <i>b</i>
<i>a</i> ∨ <i>c</i> ₂	¬ <i>c</i> ₂ ∨ <i>b</i>
¬ <i>a</i>	¬ <i>b</i>
<i>c</i> ₁	<i>c</i> ₁
<i>c</i> ₂	<i>c</i> ₂
	⊥

$$I'' \equiv \top$$

$$I''' \equiv \top$$

Example - Strategy 2 (Strong interpolant)

A	B
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Der.*
 \Rightarrow

A'	B'
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	
c_2	

$$I \equiv c_1 \wedge c_2 \wedge \top$$

$$I' \equiv c_1 \wedge c_2 \wedge \top$$

Ex.
 \Rightarrow

A''	B''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
c_2	c_2

Der.*
 \Rightarrow

A'''	B'''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
c_2	c_2
	\perp

$$I'' \equiv \top$$

$$I''' \equiv \top$$

Example - Strategy 2 (Strong interpolant)

A	B
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Der.*
 \Rightarrow

A'	B'
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	
c_2	

$$I \equiv c_1 \wedge c_2 \wedge \top \equiv c_1 \wedge c_2$$

$$I' \equiv c_1 \wedge c_2 \wedge \top$$

Ex.
 \Rightarrow

A''	B''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
c_2	c_2

Der.*
 \Rightarrow

A'''	B'''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
c_1	c_1
c_2	c_2
	\perp

$$I'' \equiv \top$$

$$I''' \equiv \top$$

Example - Strategy 3 (weak interpolant)

<i>A</i>	<i>B</i>
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Example - Strategy 3 (weak interpolant)

A
$a \vee c_1$
$a \vee c_2$
$\neg a$

B
$\neg c_1 \vee b$
$\neg c_2 \vee b$
$\neg b$

Der.*
 \implies

A'
$a \vee c_1$
$a \vee c_2$
$\neg a$

B'
$\neg c_1 \vee b$
$\neg c_2 \vee b$
$\neg b$
$\neg c_1$
$\neg c_2$

Example - Strategy 3 (weak interpolant)

A	B
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Der.*
 \implies

A'	B'
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
	$\neg c_1$
	$\neg c_2$

Ex.
 \implies

A''	B''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
$\neg c_1$	$\neg c_1$
$\neg c_2$	$\neg c_2$

Example - Strategy 3 (weak interpolant)

A	B
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$

Der.^*
 \implies

A'	B'
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
	$\neg c_1$
	$\neg c_2$

Ex.
 \implies

A''	B''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
$\neg c_1$	$\neg c_1$
$\neg c_2$	$\neg c_2$

Der.^*
 \implies

A'''	B'''
$a \vee c_1$	$\neg c_1 \vee b$
$a \vee c_2$	$\neg c_2 \vee b$
$\neg a$	$\neg b$
$\neg c_1$	$\neg c_1$
$\neg c_2$	$\neg c_2$
\perp	

Example - Strategy 3 (weak interpolant)

A	B
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$a \vee c_2$	$\neg c_2 \vee b$
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Der.*
 \Rightarrow

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$a \vee c_2$	$\neg c_2 \vee b$
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	$\neg c_2$

Ex.
 \Rightarrow

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\perp	

$I''' \equiv \perp$

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$I' \equiv (\neg c_1 \wedge \neg c_2) \rightarrow \perp$

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$$I' \equiv (\neg c_1 \wedge \neg c_2) \rightarrow \perp$$

Ex.
 \implies

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$a \vee c_2$	$\neg c_2 \vee b$
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Proof Transformation (for interpolation and reduction)

Proof Transformation and Reduction

Motivation

- Resolution proofs find application in several ambits

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- Resolution proofs find application in several ambits
 - Interpolation-based model checking
 - Abstraction techniques
 - Unsatisfiable core extraction in SAT/SMT
 - Automatic theorem proving

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Proof Transformation and Reduction

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 - Interpolation-based model checking
 - Abstraction techniques
 - Unsatisfiable core extraction in SAT/SMT
 - Automatic theorem proving
- Problems
 - Clean structure of proofs is required for interpolation generation
 - Size affects efficiency
 - Size can be exponential w.r.t. input formula

Interpolation

Generation for Boolean logic

- Interpolant I for unsatisfiable conjunction of formulae $A \wedge B$

Interpolation

Generation for Boolean logic

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Interpolation

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- Interpolant I for unsatisfiable conjunction of formulae $A \wedge B$
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 - Derivation of unsatisfiability resolution proof of $A \wedge B$
 - Computation of I from proof structure in linear time

Resolution System

Background

- Literal p \bar{p}

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- Literal $p \quad \bar{p}$
- Clause $p \vee \bar{q} \vee r \vee \dots \rightarrow p\bar{q}r \dots$ Empty clause \perp

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- Resolution rule
$$\frac{pC \quad \bar{p}D}{CD} p$$

Antecedents: $pC \quad \bar{p}D$ Resolvent: CD Pivot: p

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- Resolution proof of unsatisfiability of a set of clauses S
 - Tree
 - Leaves as clauses of S
 - Intermediate nodes as resolvents
 - Root as unique empty clause

Resolution Proofs

SAT

$$\blacksquare A \equiv \{\overline{pq}, p\overline{q}\} \quad B \equiv \{q\overline{r}, qr\}$$

Interpolant Generation

SAT [Pudlák97]

- Computation of interpolant I for $A \wedge B$ from proof structure

Interpolant Generation

SAT [Pudlák97]

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Interpolant Generation

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 - Pivot
 - Partial interpolants for antecedents

Interpolant Generation

SAT [Pudlák97]

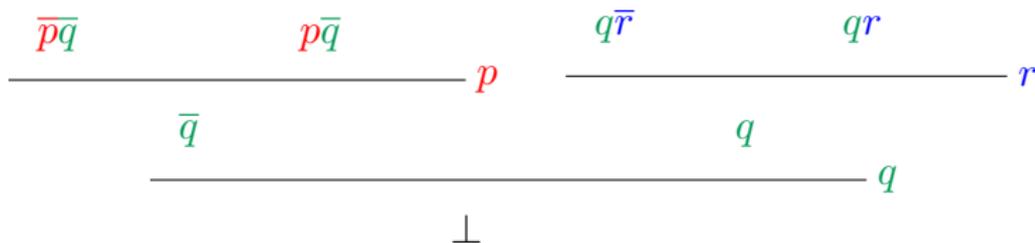
- Computation of interpolant I for $A \wedge B$ from proof structure
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 - Pivot
 - Partial interpolants for antecedents
- Partial interpolant for \perp is I

Interpolant Generation

SAT [Pudlák97]

■ $A \equiv \{\overline{pq}, p\overline{q}\}$ $B \equiv \{q\overline{r}, qr\}$

■ Proof of unsatisfiability



Interpolant Generation

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$$\frac{\frac{\overline{pq} \ \{\perp\} \quad p\overline{q} \ \{\perp\}}{\overline{q}} \quad p \quad \frac{q\overline{r} \quad qr}{q} \quad r}{q} \perp$$

Interpolant Generation

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$$\frac{\overline{pq} \{\perp\} \quad p\overline{q} \{\perp\}}{\overline{q}} \quad \frac{q\overline{r} \{\top\} \quad qr \{\top\}}{q} \quad \frac{\overline{q} \quad q}{\perp}$$

p r

Interpolant Generation

SAT [Pudlák97]

$$\blacksquare A \equiv \{\overline{pq}, p\overline{q}\} \quad B \equiv \{q\overline{r}, qr\}$$

■ Proof of unsatisfiability

$$\frac{\frac{\overline{pq} \{\perp\} \quad p\overline{q} \{\perp\}}{\overline{q} \{\perp \vee \perp\}} \quad p \quad \frac{q\overline{r} \{\top\} \quad qr \{\top\}}{q} \quad r}{\perp} \quad q$$

Interpolant Generation

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Resolution Proofs

SMT

$$\blacksquare A \equiv \left\{ \overbrace{(5x - y \leq 1)}^p, \overbrace{(y - 5x \leq -1)}^q \right\}, B \equiv \left\{ \overbrace{(y - 5z \leq 3)}^r, \overbrace{(5z - y \leq -2)}^s \right\}$$

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- Theory lemmata

Resolution Proofs

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■ Theory lemmata

■ $\mathcal{LIA}: \left[\overbrace{(x - z \leq 0)}^t \right] \left[\overbrace{(x - z \geq 1)}^u \right]$

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■ Theory lemmata

$$\blacksquare \mathcal{LIA}: \left[\overbrace{(x - z \leq 0)}^t \right] \left[\overbrace{(x - z \geq 1)}^u \right]$$

$$\blacksquare \mathcal{LRA}: \left[\overbrace{(5x - y \not\leq 1)}^{\bar{p}} \right] \left[\overbrace{(y - 5z \not\leq 3)}^{\bar{r}} \right] \left[\overbrace{(x - z \not\leq 1)}^{\bar{u}} \right]$$

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Resolution Proofs

SMT

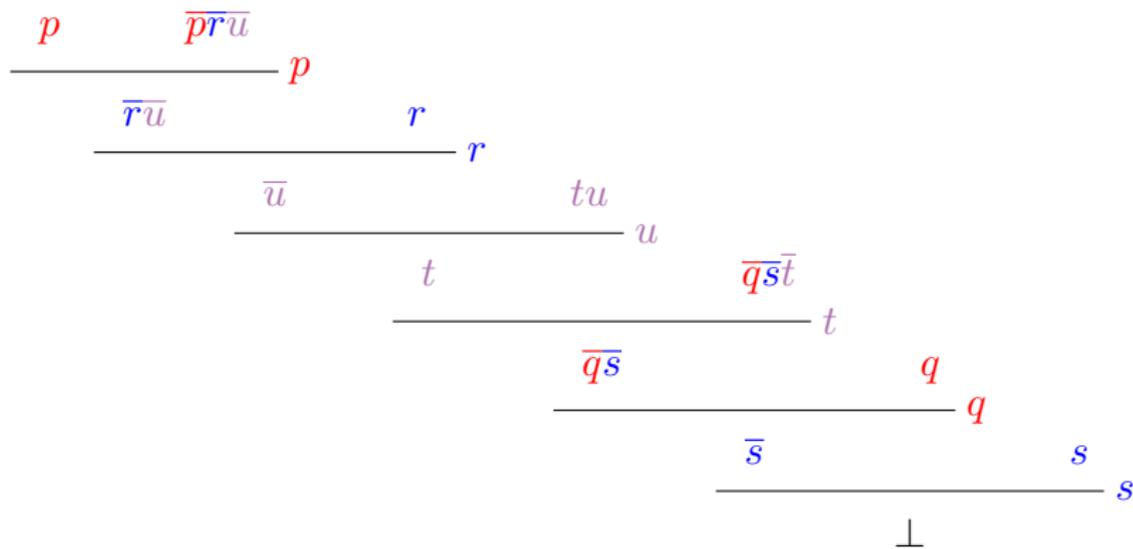
$$\blacksquare A \equiv \{p, q\} \quad B \equiv \{r, s\} \quad L \equiv \{tu, \overline{pru}, \overline{qst}\}$$

Resolution Proofs

SMT

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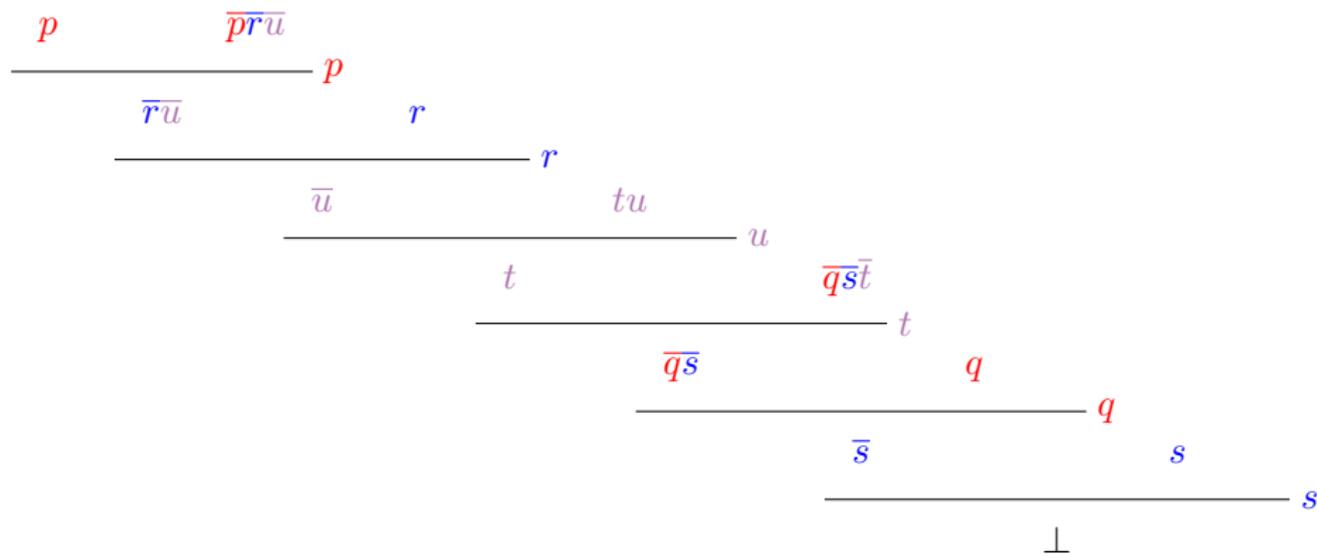


Interpolant Generation

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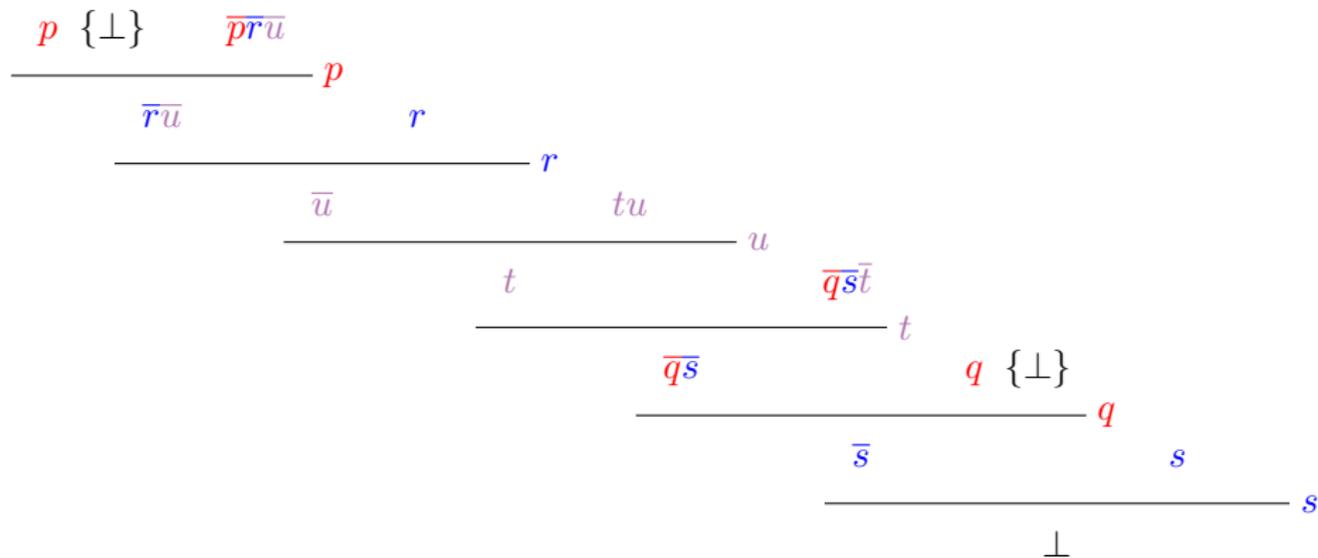


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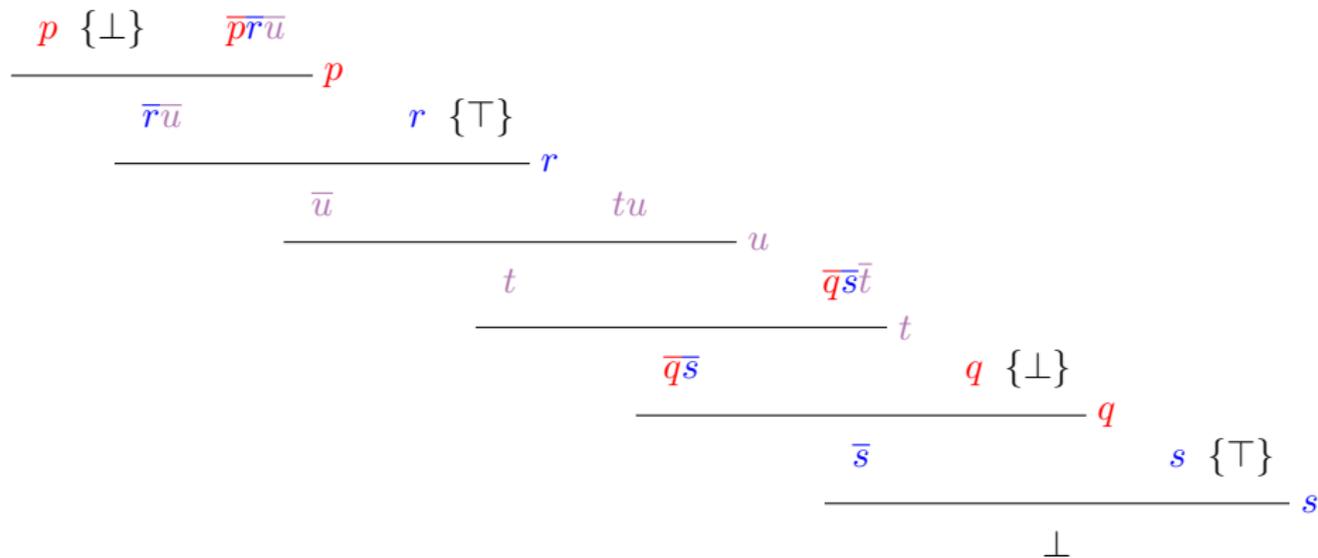


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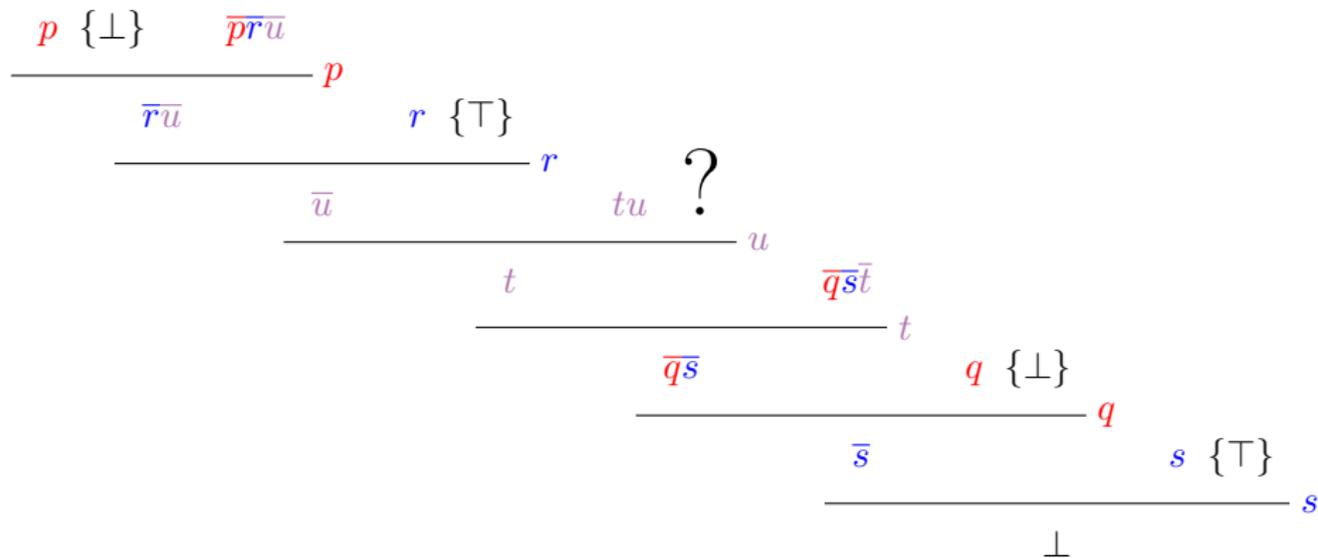


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Interpolation

Challenge

- State-of-the-art approach [Pudlák97, McMillan04]

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 - Derivation of unsatisfiability proof of $A \wedge B$
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 - Need for proof not to contain AB-mixed predicates
- A-local B-local AB-common AB-mixed

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A-local

B-local

AB-common

AB-mixed

$A \equiv \{ (5x - y \leq 1), \dots \}$

$B \equiv \{ (y - 5z \leq 3), \dots \}$

Interpolation

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- State-of-the-art approach [Pudlák97, McMillan04]
 - Derivation of unsatisfiability proof of $A \wedge B$
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A-local B-local AB-common AB-mixed

$$A \equiv \{ \boxed{(5x - y \leq 1)}, \dots \} \quad B \equiv \{ \boxed{(y - 5z \leq 3)}, \dots \}$$

$$L \equiv \{ \boxed{(x - z \leq 0)}, \dots \}$$

Interpolation

Possible Solutions

- Need for proof not to contain AB-mixed predicates

Interpolation

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- Need for proof not to contain AB-mixed predicates
- Tune solvers to avoid generating AB-mixed predicates
[Cimatti08,Beyer08]

Interpolation

Possible Solutions

- Need for proof not to contain AB-mixed predicates
- Tune solvers to avoid generating AB-mixed predicates [Cimatti08,Beyer08]
- **Transform proof** to remove AB-mixed predicates

Proof Transformation

Motivation

- Proof transformation approach

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- Motivation: more flexibility by decoupling SMT solving and interpolant generation

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Proof Transformation

Motivation

- Proof transformation approach
- Motivation: more flexibility by decoupling SMT solving and interpolant generation
- Motivation: standard SMT techniques can require addition of AB-mixed predicates
 - Theory reduction via Lemma on Demand [DeMoura02, Barrett06]
 - Reduction of \mathcal{AX} to \mathcal{EUF}
 - Reduction of \mathcal{LIA} to \mathcal{LRA}
 - Ackermann's Expansion
 - Theory combination via DTC [Bozzano05]

Proof Transformation Framework

- Proof rewriting framework based on local rules

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- Isolation of AB-mixed predicates into subtrees

Proof Transformation Framework

- Proof rewriting framework based on local rules
- Isolation of AB-mixed predicates into subtrees
- Removal of AB-mixed subtrees

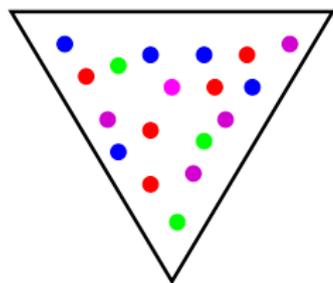
Proof Transformation Framework

- Proof rewriting framework based on local rules
- Isolation of AB-mixed predicates into subtrees
- Removal of AB-mixed subtrees
- No more AB-mixed predicates, proof still valid

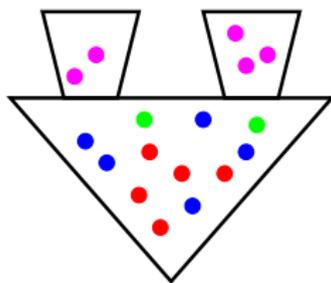
Proof Transformation

Effect

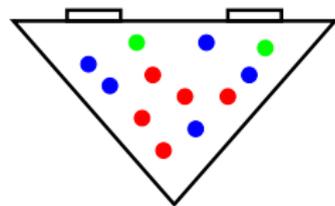
- (a) Initial proof: **A-local**, **B-local**, **AB-common**, **AB-mixed**
- (b) Transformed proof: AB-mixed predicates isolated into subtrees
- (c) Final proof: AB-mixed subtrees removed, new leaves are theory lemmata



(a)



(b)



(c)

Proof Transformation

Advantages

- No more AB-mixed predicates, new leaves are theory lemmata

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Proof Transformation

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- No more AB-mixed predicates, new leaves are theory lemmata
- Easy combination of SMT and interpolation techniques
 - Theory reduction, theory combination without restrictions
 - Interpolant generation for propositional resolution proofs of unsatisfiability [Pudlák97]
 - (Partial) interpolant generation for theory (combination) lemmata [Yorsh05]

Proof Transformation Framework

Features

- Local rewriting rules

Proof Transformation Framework

Features

- Local rewriting rules
- Rule context

$$\frac{\frac{pqC \quad \bar{p}D}{p} \quad \bar{q}E}{qCD} q \quad CDE$$

Proof Transformation Framework

Features

- Local rewriting rules

- Rule context

$$\frac{\frac{pqC \quad \bar{p}D}{p} \quad \bar{q}E}{qCD \quad CDE} q$$

- Exhaustiveness up to symmetry

Proof Transformation Framework

Local Rewriting Rules



$$\frac{\frac{pqC \quad \bar{p}D}{p} \quad \frac{qCD \quad \bar{q}E}{q}}{CDE} \Rightarrow \frac{\frac{pqC \quad \bar{q}E}{q} \quad \bar{p}D}{CDE} p$$

Proof Transformation Framework

Local Rewriting Rules

■

$$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{q}E}{CDE} q \Rightarrow \frac{\frac{pqC \quad \bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$$

■ Pivots swapping

Proof Transformation Framework

Local Rewriting Rules

■

$$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{q}E}{CDE} q \Rightarrow \frac{\frac{pqC \quad \bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$$

■ Pivots swapping

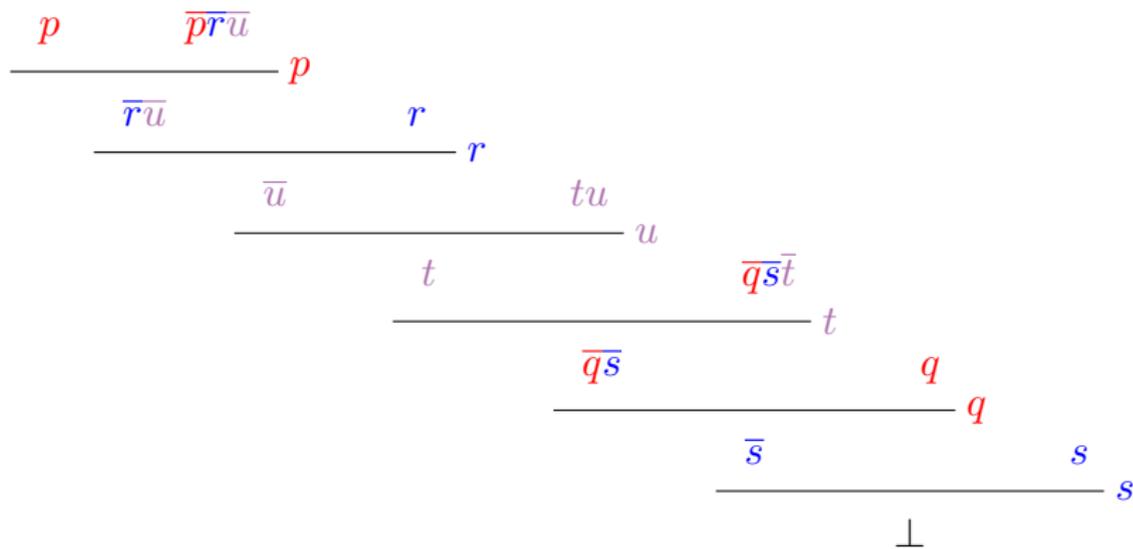
■ AB-mixed predicates isolation into subtrees

Reduction \mathcal{LIA} to \mathcal{LRA}

Transformation

■ $A \equiv \{p, q\}$ $B \equiv \{r, s\}$ $L \equiv \{tu, \overline{pru}, \overline{qst}\}$

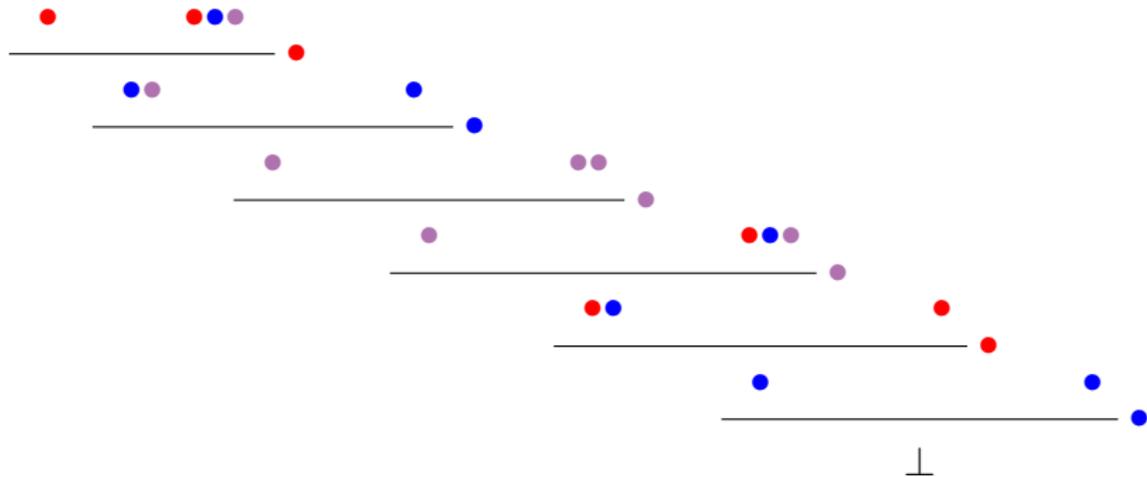
- Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

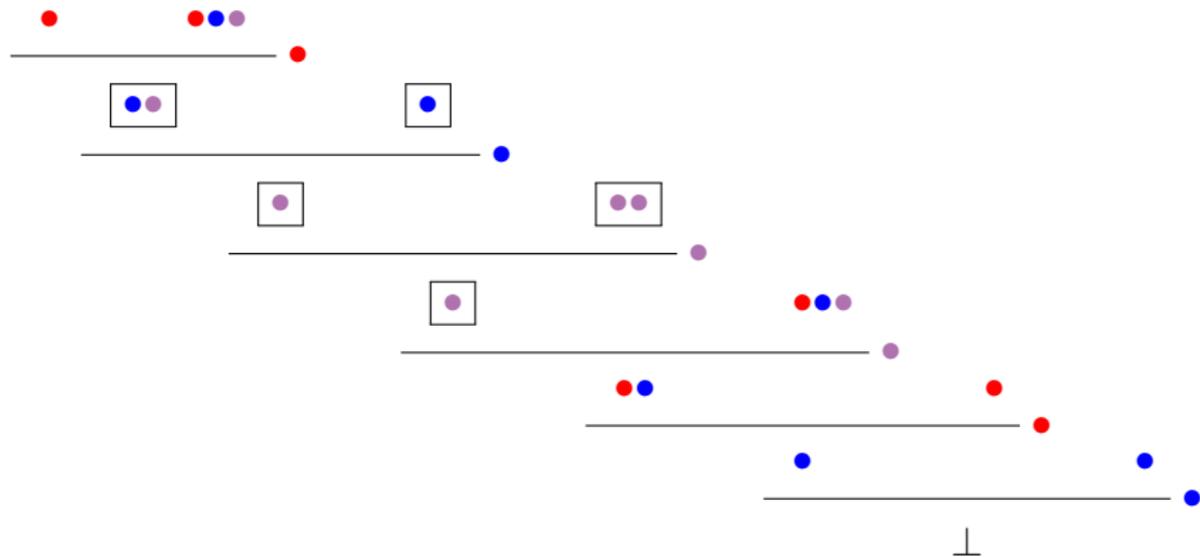
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

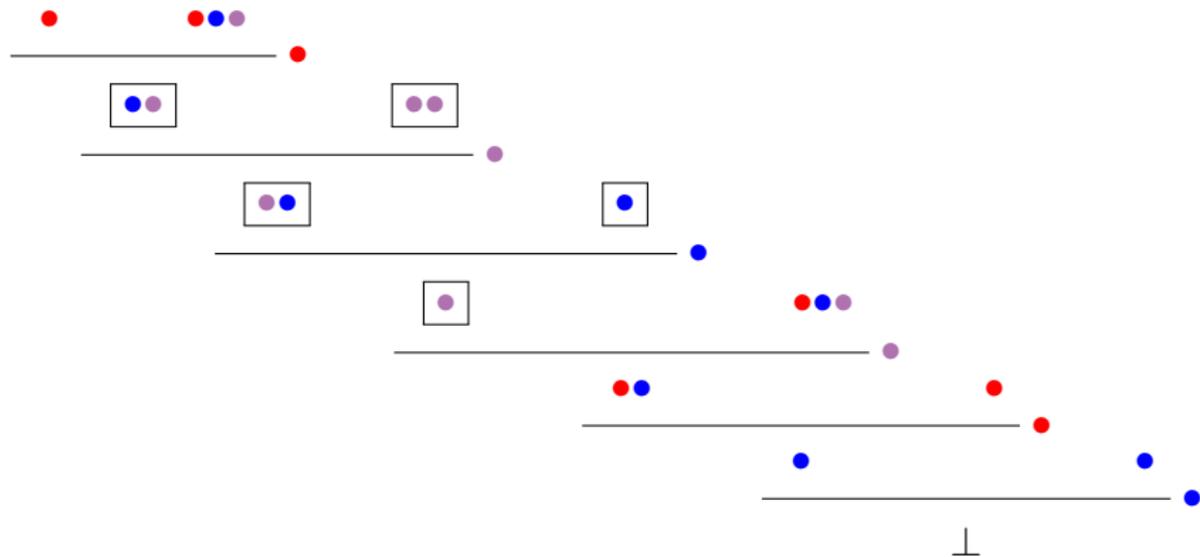
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

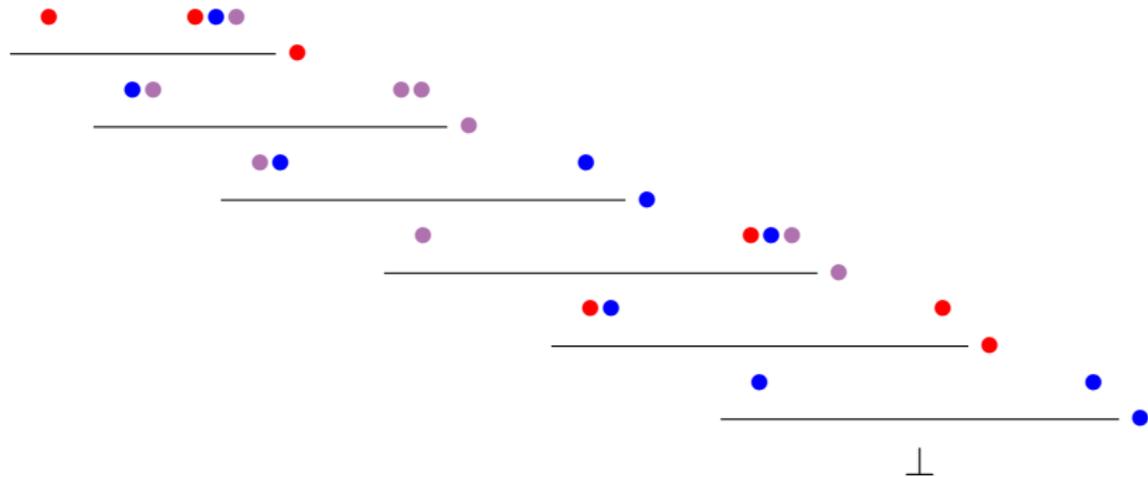
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

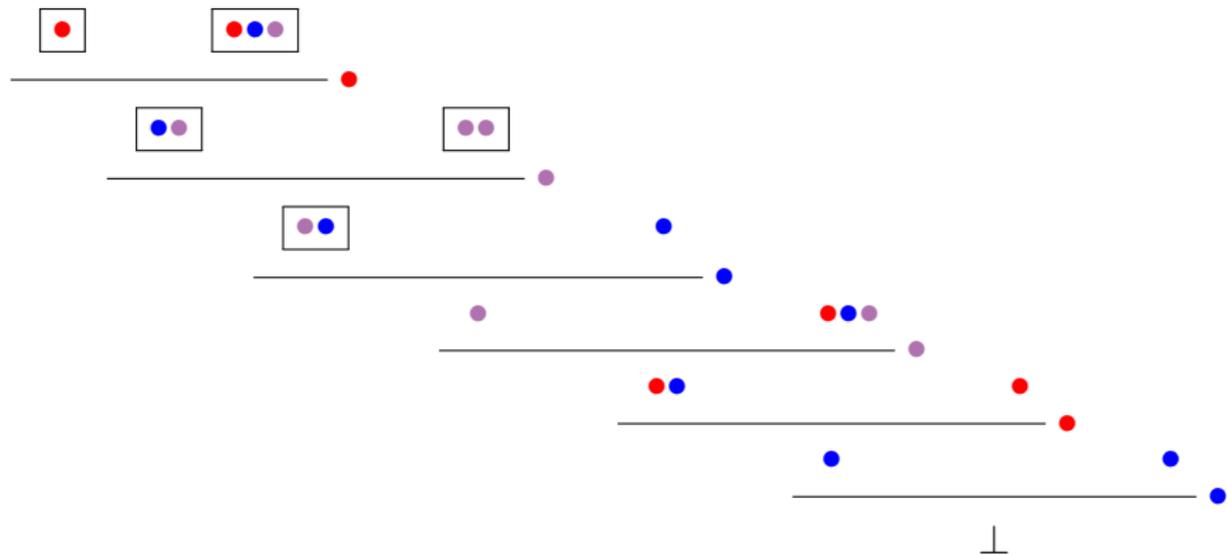
■ Proof of unsatisfiability



Reduction \mathcal{LIA} to \mathcal{LRA}

Transformation

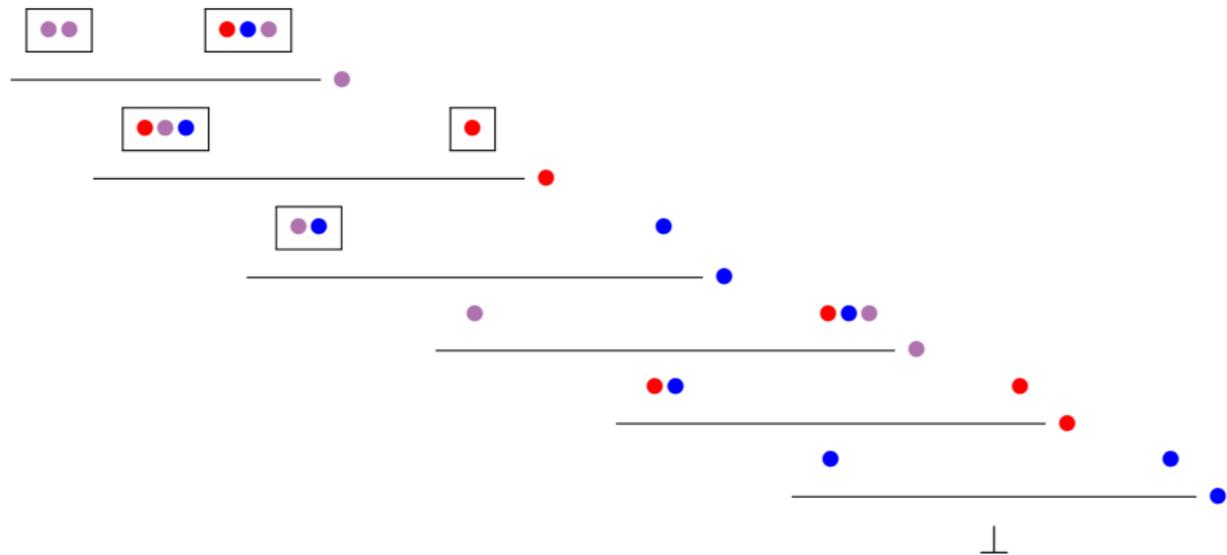
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

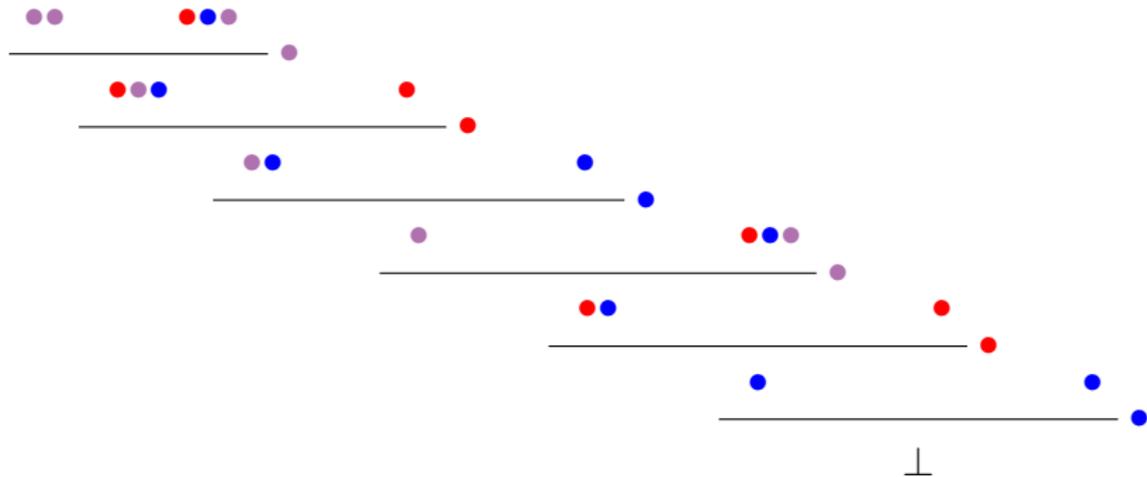
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Reduction \mathcal{LIA} to \mathcal{LRA}

Transformation

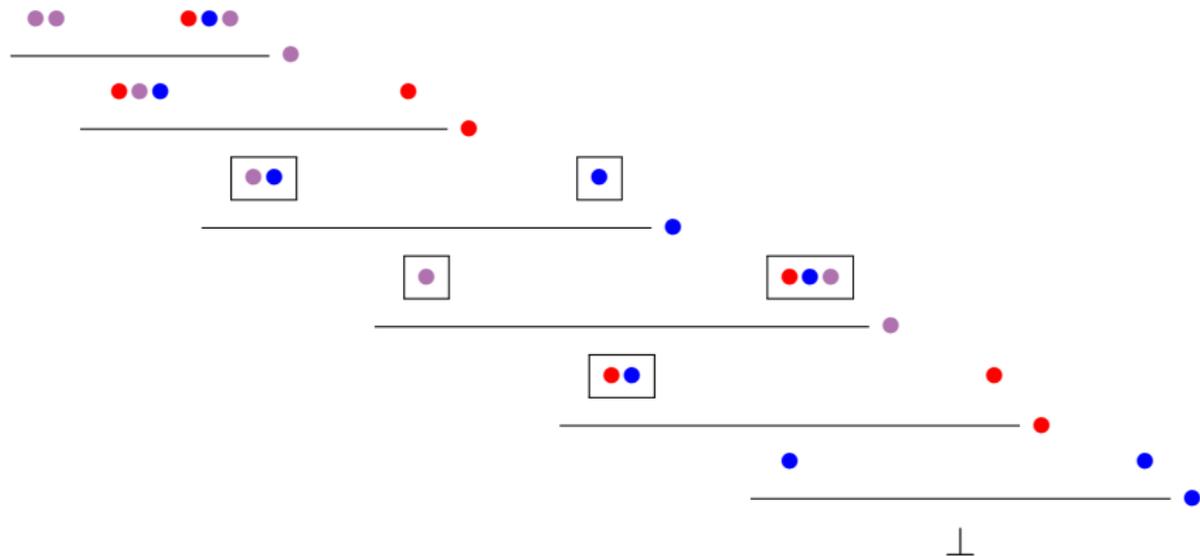
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

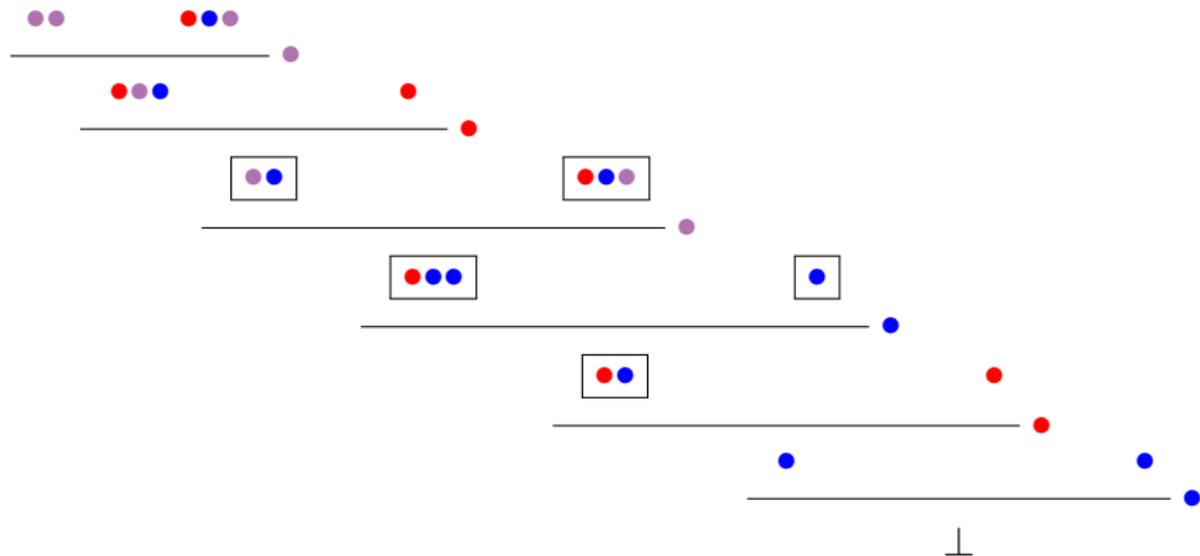
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

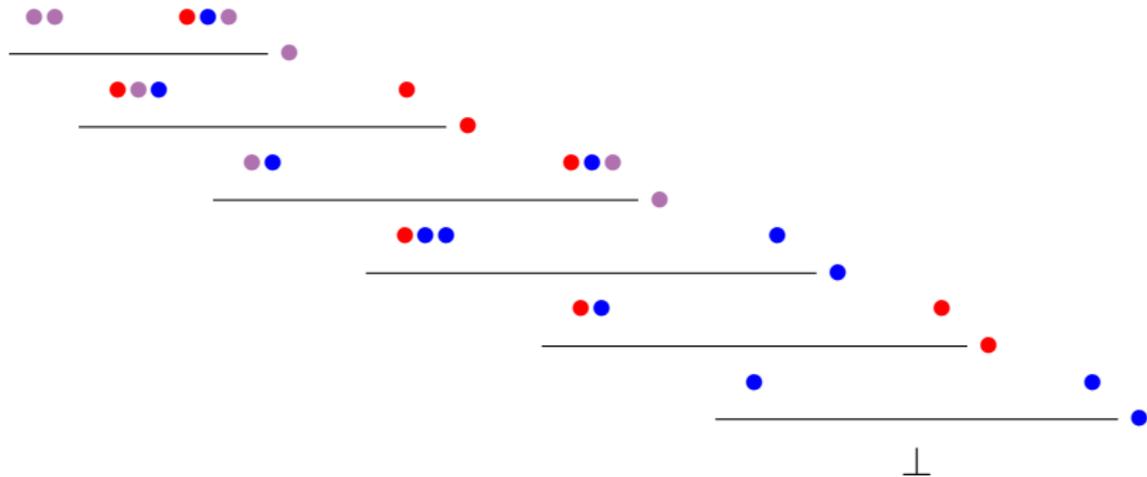
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Reduction \mathcal{LIA} to \mathcal{LRA}

Transformation

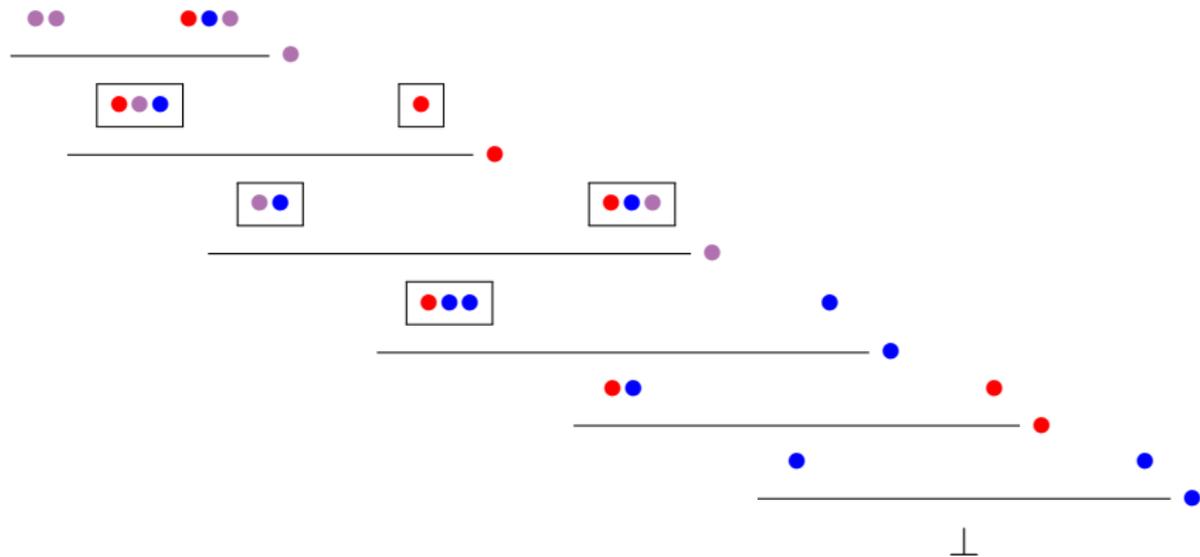
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

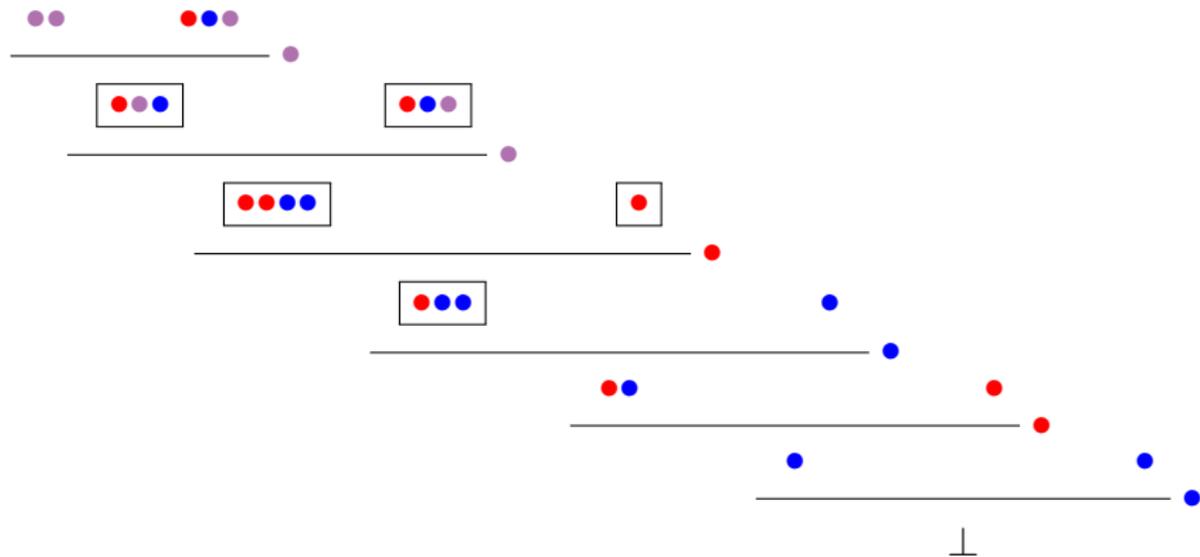
■ Proof of unsatisfiability



Reduction \mathcal{LTA} to \mathcal{LRA}

Transformation

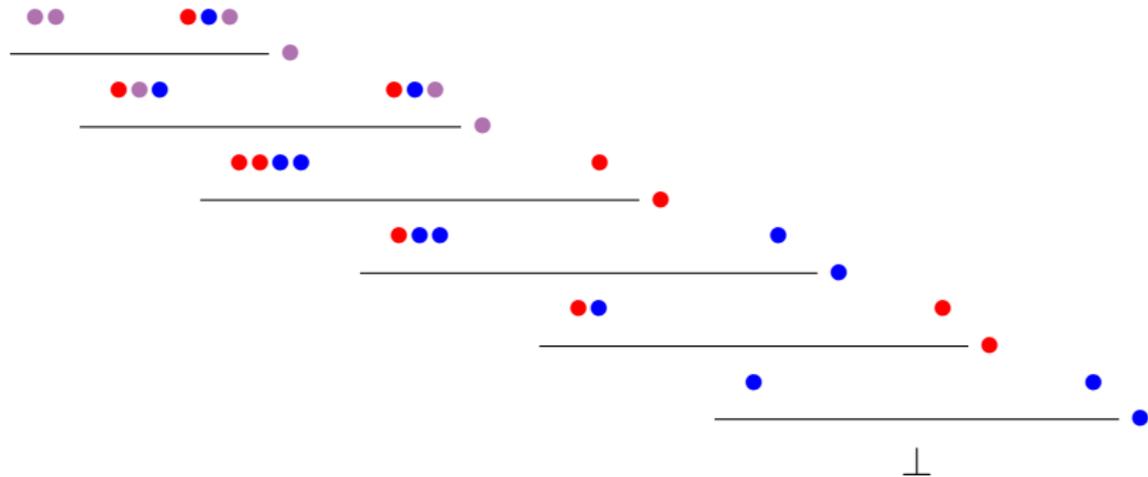
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Transformation

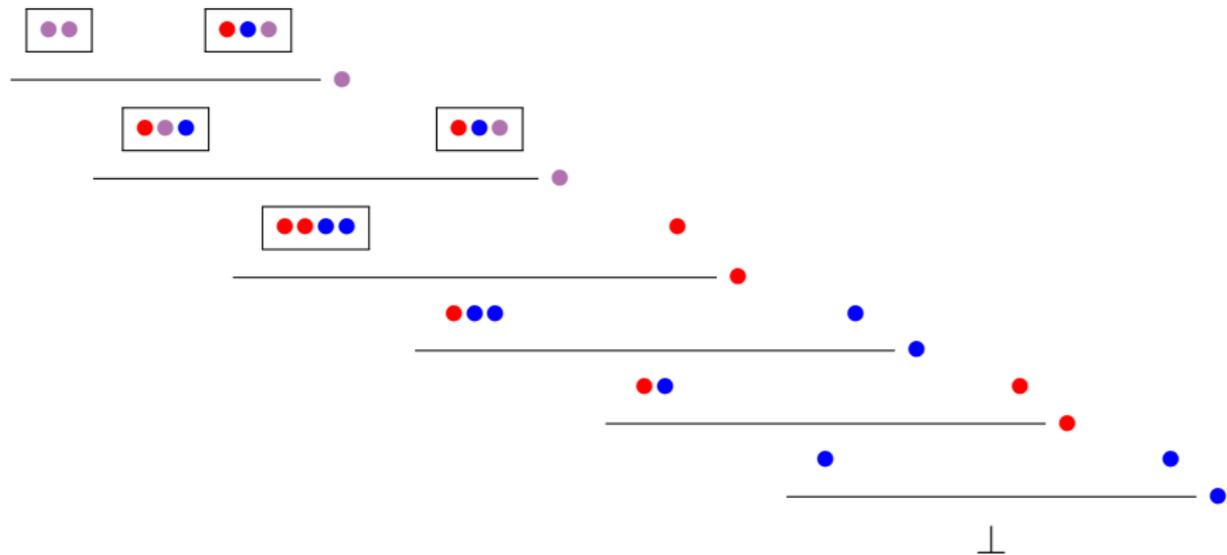
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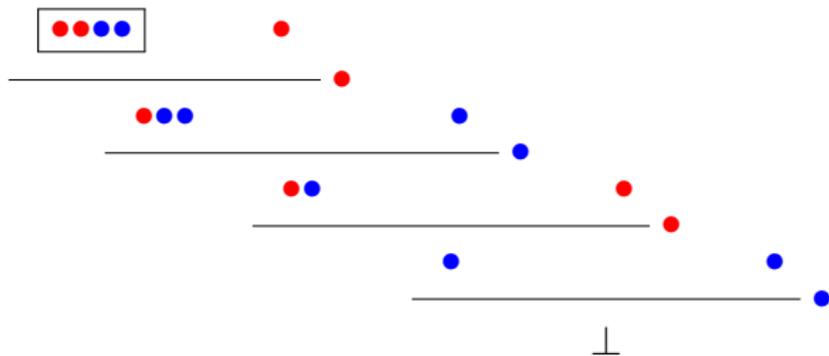
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Reduction \mathcal{LIA} to \mathcal{LRA}

Transformation

■ Proof of unsatisfiability



Proof Transformation Framework

Considerations

- Potential drawbacks

Proof Transformation Framework

Considerations

- Potential drawbacks
 - Overhead w.r.t. solving time

Proof Transformation Framework

Considerations

- Potential drawbacks
 - Overhead w.r.t. solving time
 - Increase of proof size

Transformation Framework

Features

- Local rewriting rules

Transformation Framework

Features

- Local rewriting rules
 - **B** reduction
 - **A** perturbation

Transformation Framework

Features

- Local rewriting rules
 - **B** reduction
 - **A** perturbation

- Rule context

$$\frac{\frac{pqC \quad \bar{p}D}{qCD} \quad p \quad \bar{q}E}{CDE} q$$

Transformation Framework

Features

- Local rewriting rules
 - **B** reduction
 - **A** perturbation

- Rule context

$$\frac{\frac{pqC \quad \bar{p}D}{qCD} \quad p \quad \bar{q}E}{CDE} q$$

- Exhaustiveness up to symmetry

Transformation Framework

Local rewriting rules

■ B rules

$B1$	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad p\bar{q}E}{pCDE} q \Rightarrow \frac{pqC \quad p\bar{q}E}{pCE} q$
------	--

Transformation Framework

Local rewriting rules

■ B rules

$B1$	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad p\bar{q}E}{pCDE} q \quad \Rightarrow \quad \frac{pqC \quad p\bar{q}E}{pCE} q$
------	--

- Redundancy as reintroduction variable after elimination

Transformation Framework

Local rewriting rules

■ B rules

$B1$	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad p\bar{q}E}{pCDE} q \quad \Rightarrow \quad \frac{pqC \quad p\bar{q}E}{pCE} q$
------	--

- Redundancy as reintroduction variable after elimination
- Subproof simplification

Transformation Framework

Local rewriting rules

■ B rules

$B1$	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad p\bar{q}E}{pCDE} q \quad \Rightarrow \quad \frac{pqC \quad p\bar{q}E}{pCE} q$
------	--

- Redundancy as reintroduction variable after elimination
- Subproof simplification
- Subproof root strengthening

Transformation Framework

Local rewriting rules

■ A rules

$A2$	$\frac{\frac{\frac{pqC}{qCD} \quad \bar{p}D}{CDE} p \quad \bar{q}E}{q} \Rightarrow \frac{\frac{pqC}{pCE} \quad \bar{q}E}{CDE} q \quad \bar{p}D}{p}$
------	---

Transformation Framework

Local rewriting rules

■ A rules

$A2$	$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{q}E}{CDE} q \quad \Rightarrow \quad \frac{\frac{pqC \quad \bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$
------	---

■ Pivots swapping

Transformation Framework

Local rewriting rules

■ A rules

$A2$	$\frac{\frac{\frac{pqC}{qCD} \quad \bar{p}D}{CDE} p \quad \bar{q}E}{q} \Rightarrow \frac{\frac{pqC}{pCE} \quad \bar{q}E}{CDE} q \quad \bar{p}D}{p}$
------	---

■ Pivots swapping

■ Topology perturbation

Transformation Framework

Local rewriting rules

■ A rules

$A2$	$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{q}E}{CDE} q \quad \Rightarrow \quad \frac{\frac{pqC \quad \bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$
------	---

- Pivots swapping
- Topology perturbation
- Redundancies exposure

Local rewriting rules

A1	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad \bar{q}E}{CDE} q \Rightarrow \frac{\frac{pqC \quad \bar{q}E}{pCE} \quad \frac{\bar{q}E \quad \bar{p}qD}{\bar{p}DE} q}{CDE} p$
A2	$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{q}E}{CDE} q \Rightarrow \frac{\frac{pqC \quad \bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$
B1	$\frac{\frac{pqC \quad \bar{p}qD}{qCD} p \quad p\bar{q}E}{pCDE} q \Rightarrow \frac{pqC \quad p\bar{q}E}{pCE} q$
B2	$\frac{\frac{pqC \quad \bar{p}D}{qDC} p \quad p\bar{q}E}{pCDE} q \Rightarrow \frac{\frac{pqC \quad p\bar{q}E}{pCE} q \quad \bar{p}D}{CDE} p$
B2'	$\frac{\frac{pqC \quad \bar{p}D}{qDC} p \quad p\bar{q}E}{pCDE} q \Rightarrow \frac{pqC \quad p\bar{q}E}{pCE} q$
B3	$\frac{\frac{pqC \quad \bar{p}D}{qCD} p \quad \bar{p}qE}{\bar{p}CDE} q \Rightarrow \bar{p}D$

Evaluation

Framework and Benchmarks

- OPENSMT

- OPENSMT
 - C++ open-source SMT solver developed at USI
 - Fastest open-source solver in SMT-comp 2009, 2010 for various logics

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- Benchmarks

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- Benchmarks
 - SMT: SMT-LIB library
 - Academic and industrial problems

Experimental results over QF_UFIDL

Group	#	# <i>AB</i>	% <i>time</i>	% <i>nodes</i>	% <i>edges</i>
RDS	2	7	84%	-16%	-19%
EufLaAr	2	74	18%	187%	193%
pete	15	20	16%	66%	68%
pete2	52	13	6%	73%	80%
uclid	11	12	29%	87%	90%
Overall	82	16	13%	74%	79%

- # — number of benchmarks solved
- #*AB* — average number of *AB*-mixed predicates in proof
- %*time* — average time overhead
- %*nodes*, %*edges* — average difference in proof size

Comparison

- RecyclePivots (closest related work) [Strichman'08]

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 - Global information
 - Fast and effective
 - **Cons**
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 - **Cons**
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- Rule-based approach
 - **Pros**
 - Flexibility in rules application
 - Flexibility in amount of transformation
 - Can expose redundancies
 - **Cons**
 - Local information

Implementation

Reduction Algorithm

- Based on a sequence of proof traversals (e.g. topological order)

Implementation

Reduction Algorithm

- Based on a sequence of proof traversals (e.g. topological order)
- Parameterized in number of traversals and time limit

Implementation

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- Based on a sequence of proof traversals (e.g. topological order)
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- Examination non-leaf clauses

Implementation

Reduction Algorithm

- Based on a sequence of proof traversals (e.g. topological order)
- Parameterized in number of traversals and time limit
- Examination non-leaf clauses
 - Pivot in both antecedents \rightarrow update, match context, apply rule

$$\frac{qC'D' \quad \bar{q}E'}{CDE} q \Rightarrow \frac{qC'D' \quad \bar{q}E'}{C'D'E'} q \Rightarrow \frac{\frac{pqC' \quad \bar{p}D'}{qC'D'} p}{C'D'E'} \bar{q}E' q$$

Implementation

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- Pivot not in both antecedents \rightarrow remove resolution step

$$\frac{C'D' \quad \bar{q}E'}{CDE} q \Rightarrow C'D'$$

Implementation

Reduction Algorithm

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- Pivot not in both antecedents \rightarrow remove resolution step

$$\frac{C'D' \quad \bar{q}E'}{CDE} q \Rightarrow C'D'$$

- Easy combination with RecyclePivots

Evaluation

Framework and Benchmarks

- Implemented in C++ and integrated with OpenSMT
- Available at [**www.inf.usi.ch/phd/rollini/hvc.html**](http://www.inf.usi.ch/phd/rollini/hvc.html)

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Framework and Benchmarks

- Implemented in C++ and integrated with OpenSMT
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- Benchmarks
 - SMT: SMT-LIB library
 - SAT: SAT competition
 - Academic and industrial problems

Combined Approach Evaluation

Experimental results over SMT: QF_UF, QF_IDL, QF_LRA, QF_RDL

	#	Avg_{nodes}	Avg_{edges}	Avg_{core}	$T(s)$	Max_{nodes}	Max_{edges}	Max_{core}
RP	1370	6.7%	7.5%	1.3%	1.7	65.1%	68.9%	39.1%
Ratio								
0.01	1366	8.9%	10.7%	1.4%	3.4	66.3%	70.2%	45.7%
0.025	1366	9.8%	11.9%	1.5%	3.6	77.2%	79.9%	45.7%
0.05	1366	10.7%	13.0%	1.6%	4.1	78.5%	81.2%	45.7%
0.075	1366	11.4%	13.8%	1.7%	4.5	78.5%	81.2%	45.7%
0.1	1364	11.8%	14.4%	1.7%	5.0	78.8%	83.6%	45.7%
0.25	1359	13.6%	16.6%	1.9%	7.6	79.6%	84.4%	45.7%
0.5	1348	15.0%	18.4%	2.0%	11.5	79.1%	85.2%	45.7%
0.75	1341	16.0%	19.5%	2.1%	15.1	79.9%	86.1%	45.7%
1	1337	16.7%	20.4%	2.2%	18.8	79.9%	86.1%	45.7%

- *Ratio* — time threshold as fraction of solving time
- # — number of benchmarks solved
- Avg_{nodes} , Avg_{edges} , Avg_{core} — average reduction in proof size
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Combined Approach Evaluation

Experimental results over SAT

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0.5	25	7.8%	9.1%	1.9%	243.5	41.0%	41.9%	32.1%
0.75	25	7.9%	9.3%	1.9%	360.0	41.6%	42.6%	32.1%
1	23	8.4%	9.9%	2.1%	175.6	33.1%	33.4%	30.6%

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- Max_{nodes} , Max_{edges} , Max_{core} — max reduction in proof size

Combined Approach Evaluation

Experimental results over SAT

	#	Avg_{nodes}	Avg_{edges}	Avg_{core}	$T(s)$	Max_{nodes}	Max_{edges}	Max_{core}
RP	25	5.9%	6.5%	1.7%	10.8	33.1%	33.4%	30.3%
<i>Ratio</i>								
0.01	25	6.8%	7.9%	1.7%	32.3	34.0%	34.4%	30.5%
0.025	25	6.8%	7.9%	1.7%	32.3	34.0%	34.4%	30.5%
0.05	25	7.0%	8.2%	1.8%	40.0	34.0%	34.4%	30.5%
0.075	25	7.2%	8.4%	1.8%	49.3	34.7%	35.1%	30.5%
0.1	25	7.3%	8.4%	1.8%	60.2	34.7%	35.1%	30.5%
0.25	25	7.6%	8.8%	1.9%	125.3	39.8%	40.6%	31.7%
0.5	25	7.8%	9.1%	1.9%	243.5	41.0%	41.9%	32.1%
0.75	25	7.9%	9.3%	1.9%	360.0	41.6%	42.6%	32.1%

- *Ratio* — time threshold as fraction of solving time
- # — number of benchmarks solved
- Avg_{nodes} , Avg_{edges} , Avg_{core} — average reduction in proof size
- $T(s)$ — average transformation time in seconds
- Max_{nodes} , Max_{edges} , Max_{core} — max reduction in proof size

- OPENSMT Solver
- Application to Lazy Abstraction with Interpolants
- Proof Manipulation for Interpolation and Reduction
- <http://verify.inf.usi.ch>

Thanks

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